

United States District Court
Northern District of California

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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

TWITTER, INC.,
Plaintiff,
v.
JEFFERSON B. SESSIONS, III, ET AL.,
Defendants.

Case No. 14-cv-04480-YGR

**ORDER DENYING GOVERNMENT’S MOTION
FOR SUMMARY JUDGMENT WITHOUT
PREJUDICE; GRANTING TWITTER’S
MOTION FOR ORDER DIRECTING
DEFENDANTS TO EXPEDITE SECURITY
CLEARANCE**

Re: Dkt. Nos. 124, 145

Defendants Jefferson B. Sessions, III,¹ the United States Department of Justice, and the Federal Bureau of Investigation (“the Government”) filed a motion for summary judgment on the claims in Plaintiff Twitter, Inc.’s second amended complaint. (Dkt. No. 145.) Twitter filed a motion for an order directing the Government to expedite a security clearance process for lead counsel in this matter to review materials relevant to this litigation. (Dkt. No. 124.)

Having carefully considered the papers submitted, the admissible evidence², and the pleadings in this action, and for the reasons set forth below, the Court **DENIES** the motion for

¹ Mr. Sessions has been substituted as the Attorney General of the United States by automatic operation of Fed. R. Civ. P. 25(d).

² Twitter seeks judicial notice of documents obtained on the internet, purporting to be transparency reports and annual reports of various companies. Twitter offers them to show that such reports and data are publicly available and accessible to foreign enemies of the United States. “The court may judicially notice a fact that is not subject to reasonable dispute because it . . . can be accurately and readily determined from sources whose accuracy cannot reasonably be questioned.” See Fed. R. Evid. 201(b)(2). Further, any evidence considered by the Court must be relevant evidence. Here, the documents neither appear to be relevant to any matter at issue nor do they appear to be from a source the accuracy of which cannot be questioned. The request for judicial notice is therefore **DENIED**.

1 summary judgment **WITHOUT PREJUDICE**. The Court finds the Government has not met its high
2 burden to overcome the strong presumption of unconstitutionality on the record before the Court.
3 The Government's restrictions on Twitter's speech are content-based prior restraints subject to the
4 highest level of scrutiny under the First Amendment. The restrictions are not narrowly tailored to
5 prohibit only speech that would pose a clear and present danger or imminent harm to national
6 security. The Government argues that the limitations imposed on Twitter are necessary because
7 disclosure of data concerning the number and type of national security legal process that it
8 received in a time period would impair national security interests and is properly classified.
9 However, the Government has not presented evidence, beyond a generalized explanation, to
10 demonstrate that disclosure of the information in the Draft Transparency Report would present
11 such a grave and serious threat of damage to national security as to meet the applicable strict
12 scrutiny standard.

13 The Court **GRANTS** the motion for an order directing the Government to expedite the
14 appropriate national security clearances for lead counsel, Andrew J. Pincus and Lee H. Rubin.

15 **I. BACKGROUND**

16 The procedural history of this case is lengthy and is detailed in the Court's prior orders.
17 (*See* October 14, 2015 Order Denying Motion To Dismiss As Moot (Dkt. No. 85); May 2, 2016
18 Order Granting In Part and Denying In Part Motion to Dismiss Amended Complaint (Dkt. No.
19 113).) The Court offers an abbreviated summary of the history relevant here.

20 On April 1, 2014, Twitter submitted to the Government a draft transparency report
21 containing information and discussion about the aggregate numbers of national security letters
22 ("NSLs") and court orders pursuant to the Foreign Intelligence Surveillance Act of 1978 ("FISA"),
23 if any, it received in the second half of 2013. Twitter requested "a determination as to exactly
24 which, if any, parts of its Transparency Report are classified or, in the [government's] view, may
25 not lawfully be published online." (Second Amended Complaint, Dkt. No. 114, ¶ 55.) Several
26 months later, the Government notified Twitter that "information contained in the report is
27 classified and cannot be publicly released," because it did not comply with the government's
28 approved framework for reporting data about FISA orders and NSLs, as set forth in a letter from

1 then-Deputy Attorney General James M. Cole (“the DAG Letter”). (*Id.* ¶¶ 49, 57.) The
2 framework set forth in the DAG Letter was abrogated subsequently by the USA FREEDOM Act,
3 which codified and broadened the scope of the reporting bands. However, the essentials of the
4 dispute continue unchanged.

5 **II. SUMMARY JUDGMENT STANDARDS**

6 Summary judgment is appropriate when there is no genuine dispute as to any material fact
7 and the moving party is entitled to judgment as a matter of law. Fed.R.Civ.P. 56(a). Any party
8 seeking summary judgment bears the initial burden of identifying those portions of the pleadings
9 and discovery responses that demonstrate the absence of a genuine issue of material fact. *Celotex*
10 *Corp. v. Catrett*, 477 U.S. 317, 323 (1986). Material facts are those that might affect the outcome
11 of the case. *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 248 (1986). A dispute as to a material
12 fact is “genuine” if there is sufficient evidence for the finder of fact to return a verdict for the
13 nonmoving party. *Id.*

14 If the moving party meets its initial burden, the opposing party must then set out
15 specific facts showing a genuine issue for trial in order to defeat the motion. *Anderson*, 477
16 U.S. 242, 250; *Soremekun v. Thrifty Payless, Inc.*, 509 F.3d 978, 984 (9th Cir. 2007); *see also*
17 Fed. R. Civ. P. 56(c), (e). A court may only consider admissible evidence in ruling on a motion
18 for summary judgment. *See* Fed.R.Civ.P. 56(c)(2); *Beyene v. Coleman Sec. Servs., Inc.*, 854 F.2d
19 1179, 1181 (9th Cir.1988) (“It is well settled that only admissible evidence may be considered by
20 the trial court in ruling on a motion for summary judgment.”). However, when deciding a
21 summary judgment motion, “the court does not make credibility determinations or weigh
22 conflicting evidence.” *Soremekun*, 509 F.3d at 984. Instead, the court must view the evidence in
23 the light most favorable to the nonmoving party and draw all justifiable inferences in its favor.
24 *Anderson*, 477 U.S. at 255; *Hunt v. City of Los Angeles*, 638 F.3d 703, 709 (9th Cir.2011).

25 Rule 56(d) provides that “[i]f a nonmovant shows by affidavit or declaration that, for
26 specified reasons, it cannot present facts essential to justify its opposition, the court may: (1) defer
27 considering the motion or deny it; (2) allow time to obtain affidavits or declarations or to take
28 discovery; or (3) issue any other appropriate order.” Fed. R. Civ. P. 56(d). The non-moving party

1 should set forth the particular facts it expects to obtain and why it cannot provide those facts at the
2 time for opposition. *See Mackey v. Pioneer Nat. Bank*, 867 F.2d 520, 524 (9th Cir. 1989) (citing
3 former Rule 56(f)).

4 **III. DISCUSSION**

5 **A. Overview of Issues**

6 The Government moves for summary judgment on the grounds that the information
7 Twitter seeks to publish in its Draft Transparency Report is all properly classified information that
8 would harm national security if disclosed, and therefore the First Amendment does not prohibit the
9 Government's restrictions on Twitter's publication of the Draft Transparency Report. Twitter
10 contends that the Government's restrictions violate the First Amendment both as prior restraints
11 on speech and content-based limitations. Twitter asserts that more granular data on the volume of
12 process cannot be considered properly classified information under Executive Order 13526, since
13 the Government offers no specific evidence to demonstrate that the disclosure of this information
14 would pose a threat to national security, let alone one that is serious or exceptionally grave.
15 Twitter further argues that the motion is not ripe for consideration because it is entitled to
16 complete discovery pursuant to Rule 56(d) before the Court makes a determination on summary
17 judgment.

18 As the moving party, the burden is on the Government to show that Twitter's constitutional
19 challenges have no merit. The Government's basis for prohibiting disclosure relies on three
20 interrelated arguments: (1) the aggregate data is classified under Executive Order 13526; (2) the
21 USA FREEDOM Act, at 50 U.S.C. section 1874, limits disclosure of aggregate data about the
22 volume national security process to reporting within certain numerical bands; and (3) the
23 underlying FISA statutes permit the FBI to restrict disclosure about the existence of FISA process.
24 While the Government relies primarily on the first argument here, it intertwines the statutory bases
25 as further support for its classification decision.

26 The Government submits an unclassified declaration of Michael B. Steinbach, Executive
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1 Assistant Director of the National Security Branch of the Federal Bureau of Investigation. (Dkt.
 2 No. 147-1, Steinbach Decl.)³ Steinbach attests that “[d]isclosure of the more detailed and
 3 disaggregated information at issue in Twitter’s report reasonably could be expected to result in
 4 damage to the national security, and it pertains to intelligence activities [section 1.4(c)]; foreign
 5 relations or foreign activities of the United States [section 1.4(d)]; and vulnerabilities or
 6 capabilities of systems, installations, infrastructures, project, plans, or protection services relating
 7 to the national security [section 1.4(g)].” (Steinbach Decl. at ¶ 29). Steinbach concludes that the
 8 information “Twitter seeks to publish—data reflecting its receipt of national security process with
 9 a level of specificity that is far more granular than has been declassified by the DNI and allowed
 10 by the USA Freedom Act—was properly classified at the time that Twitter’s draft transparency
 11 report was received by the FBI in 2014 and continues to be properly classified at this time.” (*Id.*)
 12 The Government contends that Steinbach’s declarations specifically address the proposed
 13 disclosure that Twitter seeks to make, rather than simply addressing the classification of all
 14 materials generally under the bands prescribed in the USA FREEDOM Act. The Government
 15 urges that Steinbach’s determination should be given the “utmost deference” by this Court, given
 16 his expertise in national security matters.

17 In opposition, Twitter argues that the Government’s restrictions on its ability to report
 18 more granular data regarding national security legal process requests it receives hinder its ability
 19 communicate truthful information to users of the online information platform, and potentially chill
 20 those users’ speech. Twitter seeks “to dispel . . . users’ [well-documented] fears” about the
 21 privacy of the information they share with Twitter by providing more precise (but aggregate) data
 22 about “the limited scope of U.S. surveillance on its platform.” (Notice Regarding Classified
 23 Document, Dkt. No. 21–1, Exh. 1 Unclassified, Redacted Version of Twitter’s Draft Transparency
 24 Report [“Draft Transparency Report”] at 2.) The Government’s restrictions not only prevent

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27 ³ Steinbach also submitted a declaration for the Court’s review *in camera*. Citations to the
 28 Steinbach Declaration in this Order refer only to the unclassified, publicly filed declaration.

1 Twitter from conveying this message, but also compel Twitter “to mislead [its] users by reporting
2 overly broad ranges of requests.” *Id.* Because social media users express concerns about
3 government surveillance, Twitter’s inability to report more detailed information about government
4 legal process seeking information about Twitter’s users could have a chilling effect on users’
5 speech. More broadly, Twitter argues that restricting information about the scope of a government
6 surveillance program will prevent the public from being able to scrutinize the program or hold
7 government officials accountable for their conduct.

8 Whether the restriction here on Twitter’s speech is viewed as a product of the FBI’s
9 classification decision, the underlying FISA statutes permitting the FBI to restrict disclosure about
10 the existence of FISA process, or the FISA public disclosure statute, 50 U.S.C. section 1874, the
11 fact remains that the Government has limited Twitter’s ability to speak on the subject of the
12 number of orders it may have received. For the reasons set forth below, the Court finds that such
13 limitations are subject to strict scrutiny under the First Amendment.

14 **B. First Amendment Framework**

15 “The First Amendment reflects ‘a profound national commitment to the principle that
16 debate on public issues should be uninhibited, robust, and wide-open.’” *Snyder v. Phelps*, 562 U.S.
17 443, 452 (2011) (quoting *N.Y. Times Co. v. Sullivan*, 376 U.S. 254, 270 [*Pentagon Papers*]
18 (1964)). “The right of citizens to inquire, to hear, to speak, and to use information to reach
19 consensus is a precondition to enlightened self-government and a necessary means to protect it.”
20 *Citizens United v. Fed. Election Comm’n*, 558 U.S. 310, 339 (2010). “[S]peech on public issues
21 occupies the highest rung of the hierarchy of First Amendment values, and is entitled to special
22 protection.” *Connick v. Myers*, 461 U.S. 138, 145 (1983).

23 At the same time, First Amendment rights are not absolute and do not automatically
24 override all other constitutional values. *See Nebraska Press Ass’n v. Stuart*, 427 U.S. 539, 570
25 (1976) (“We reaffirm that the guarantees of freedom of expression are not an absolute prohibition
26 under all circumstances, but the barriers to prior restraint remain high and the presumption against
27 its use continues intact.”). Government limitations on speech are subject to varying levels of
28 scrutiny depending upon such factors as substance of the speech and limitations involved. Strict

1 scrutiny is reserved for speech implicating core concerns of the First Amendment. *Republican*
2 *Party of Minn. v. White*, 536 U.S. 765, 774–75 (2002). Our Supreme Court has held repeatedly
3 that both prior restraints and content-based restrictions are subject to strict scrutiny.

4 Prior restraints on speech are “the most serious and the least tolerable infringement on First
5 Amendment rights.” *Nebraska Press Ass’n*, 427 U.S. at 559; *Near v. Minnesota ex rel. Olson*, 283
6 U.S. 697 (1931) (“chief purpose of the (First Amendment’s) guaranty [is] to prevent previous
7 restraints upon publication”). The term prior restraint is used to describe administrative and
8 judicial orders forbidding certain communications when issued in advance of the time that such
9 communications are to occur.” *Alexander v. United States*, 509 U.S. 544, 550 (1993)
10 (“Temporary restraining orders and permanent injunctions—*i.e.*, court orders that actually forbid
11 speech activities—are classic examples of prior restraints.”) A system of prior restraints “bear[s] a
12 heavy presumption against its constitutional validity,” and the Government “carries a heavy
13 burden of showing justification for the imposition of such a restraint.” *Capital Cities Media, Inc.*
14 *v. Toole*, 463 U.S. 1303, 1305 (1983) (citation omitted). In order to justify a prior restraint, the
15 government must demonstrate that the restraint is justified without reference to the content of the
16 speech, and is narrowly tailored to serve a compelling governmental interest. *See Nebraska Press*
17 *Ass’n*, 427 U.S. at 571; *Forsyth Cty., Ga. v. Nationalist Movement*, 505 U.S. 123, 130 (1992);
18 *Ward v. Rock Against Racism*, 491 U.S. 781, 791 (1989).

19 Similarly, “[c]ontent-based laws—those that target speech based on its communicative
20 content—are presumptively unconstitutional and may be justified only if the government proves
21 that they are narrowly tailored to serve compelling state interests.” *Reed v. Town of Gilbert, Ariz.*,
22 ___ U.S. ___, 135 S.Ct. 2218, 2226 (2015). “Government regulation of speech is content based if a
23 law applies to particular speech because of the topic discussed or the idea or message expressed.
24 *Id.* at 2227 (citing *Sorrell v. IMS Health, Inc.*, 564 U.S. 552, 564 (2011)); *Consol. Edison Co. of*
25 *New York v. Pub. Serv. Comm’n*, 447 U.S. 530, 536 (1980) (government regulation “may not be
26 based upon either the content or subject matter of speech.”); *Berger v. City of Seattle*, 569 F.3d
27 1029, 1051 (9th Cir. 2009) (*en banc*) (restriction “is content-based if either the underlying purpose
28 of the regulation is to suppress particular ideas or if the regulation, by its very terms, singles out

1 particular content for differential treatment.”). Even if facially content-neutral, restrictions will be
2 considered content-based if they cannot be “justified without reference to the content of the
3 regulated speech,” and must likewise satisfy strict scrutiny. *Reed*, 1135 S.Ct. at 2227, quoting
4 *Ward*, 491 U.S. at 791; *see also Al Haramain Islamic Found., Inc. v. U.S. Dep’t of Treasury*, 686
5 F.3d 965, 997, 1001 (9th Cir. 2012) (“*Al Haramain v. Treasury*”) (applying strict scrutiny and
6 finding content-based prior restraints unconstitutional despite government’s stated justification of
7 preventing terrorism); *Doe v. Gonzales*, 386 F. Supp. 2d 66, 75 (D. Conn. 2005) (finding FISA
8 restriction on ability to disclose receipt of NSL was content-based and subject to strict scrutiny
9 because disclosure could be a means of expressing a particular view about the reach of federal
10 investigative powers).

11 In addition to substantive concerns warranting heightened scrutiny, courts also consider
12 whether the First Amendment requires procedural safeguards to minimize the extent of any
13 government restrictions on speech. *John Doe, Inc. v. Mukasey*, 549 F.3d 861, 871 (2d Cir. 2008),
14 *as modified* (Mar. 26, 2009) [“*Doe v. Mukasey*”] (“Where expression is conditioned on
15 governmental permission, such as a licensing system for movies, the First Amendment generally
16 requires procedural protections to guard against impermissible censorship.”) (citing *Freedman v.*
17 *State of Md.*, 380 U.S. 51, 58 (1965)). Such procedural protections include: (1) restraints prior to
18 judicial review may be imposed “only for a specified brief period during which the status quo
19 must be maintained;” (2) availability of “expeditious judicial review;” and (3) the government
20 entity seeking to restrain the speech bears the burden seeking judicial review and the burden of
21 proof in court. *Thomas v. Chi. Park Dist.*, 534 U.S. 316, 321 (2002) (citing *Freedman*, 380 U.S.
22 58-60). Courts considering whether content-based governmental restrictions or prior restraints on
23 speech will pass constitutional muster take into account both the procedural safeguards and
24 substantive strict scrutiny requirements. *See Microsoft Corp. v. United States Dep’t of Justice*,
25 No. C16-0538JLR, 2017 WL 530353, at *11 (W.D. Wash. Feb. 8, 2017) (“even if the procedural
26 safeguards outlined in *Freedman* are met, the Government must show that the statute in question
27 meets strict scrutiny”); *In re Nat’l Sec. Letter*, 930 F.Supp.2d 1064, 1071 (N.D. Cal. 2013) [“*In re*
28 *NSL*”] (government must “meet the heightened justifications for sustaining prior-restraints” in

1 *Freedman* and “must be narrowly tailored to serve a compelling government interest”); *Admiral*
 2 *Theatre v. City of Chi.*, 832 F.Supp. 1195, 1203 (N.D. Ill. 1993) (noting that even if procedural
 3 safeguards are met “the system is still subject to ‘least restrictive means’ scrutiny to determine its
 4 constitutionality”).

5 **C. Constitutional Analysis of Restrictions on Twitter’s Transparency Report**

6 **I. Application of Strict Scrutiny Standard**

7 The Government argues its decision to preclude Twitter from disclosing and publishing in
 8 its Draft Transparency Report information the Government deemed classified should be subject to
 9 no greater First Amendment scrutiny than simply ascertaining whether the classification
 10 determination was made “with reasonable specificity, demonstrat[ing] a logical connection
 11 between the detailed information [at issue] and the reasons for classification.” *Shaffer v. D.I.A.*,
 12 102 F. Supp. 3d 1, 11 (D.D.C. 2015) (citing *McGehee v. Casey*, 718 F.2d 1137, 1148 (D.C. Cir.
 13 1983)); see *Stillman v. C.I.A.*, 319 F.3d 546, 549 (D.C. Cir. 2003). The Government contends that
 14 the Court should not “second guess” its classification determinations so long as it has provided a
 15 reasonably specific explanation of the logical connection between the information classified and
 16 its reasons for doing so, citing *Shaffer*, 102 F. Supp. 3d at 11, and *Al-Haramain Islamic Found.,*
 17 *Inc. v. Bush*, 507 F.3d 1190, 1203 (9th Cir. 2007) (“*Al-Haramain v. Bush*”).⁴ Further, the
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19 ⁴ Executive Order 13526 stated four conditions for classifying information:
 20 (1) the information must be classified by an “original classification authority”;
 21 (2) the information must be “owned by, produced by or for, or [be] under the control
 22 of” the Government;
 23 (3) the information must fall within one of the authorized classification categories listed
 24 in section 1.4 of the Executive Order; and
 25 (4) the original classification authority must “determine[] that the unauthorized
 26 disclosure of the information reasonably could be expected to result in damage to the
 27 national security” and must be “able to identify or describe the damage.”
 28 (Exec. Order 13526, § 1.1.) For classification at the “Secret” and “Top Secret” levels, the
 classifying entity must expect “serious” and “exceptionally grave” damage, respectively. (*Id.* §
 1.2.) In addition to the categories listed in Executive Order 13526, the Federal Bureau of
 Investigation National Security Information Classification Guide (“NSICG”) provides guidance
 concerning the classification of national security information, advising that information should be
 classified as “Secret” if it pertains to “investigative methods or techniques used in
 counterterrorism or national security investigations, including the use of national security legal
 (*cont’d . . .*)

1 Government argues that the judgment of Congress, as reflected in the USA FREEDOM Act's
2 adoption of the disclosure band framework espoused by the Department of Justice, provides
3 further reason to give deference to the Executive's determination that the more granular
4 information cannot be disclosed without incurring unacceptable risk to national security. The
5 Government's position, however, ignores the important First Amendment safeguards that would
6 be imperiled by such extreme deference to the Executive's classification decisions.

7 The Court previously determined that the First Amendment does not allow individuals
8 subject to secrecy obligations to disclose classified national security information. However, the
9 Court does not agree with the Government's position that simply determining information meets
10 the requirements for classification under Executive Order 13526 ends the Constitutional analysis.
11 That the information is classified is not, in itself, a sufficient basis for the Government's
12 prohibition on its disclosure in the absence of the sorts of secrecy obligations on government
13 employees and contractors present in *Snepp, Wilson, and Stillman*. See *Stillman*, 319 F.3d at 548
14 (citing longstanding principles of judicial restraint to avoid reaching constitutional questions
15 where it is unnecessary, court determined propriety of classification decision first because proper
16 restrictions on employee meant he had not First Amendment right to publish information); cf.
17 *Snepp v. United States*, 444 U.S. 507 (1980) (former CIA employee sought prepublication review
18 of confidential information in book draft); *Wilson v. C.I.A.*, 586 F.3d 171 (2d Cir. 2009) (CIA
19 employee brought action against her employer).

20 The First Amendment requires strict scrutiny of content-based restrictions and prior
21 restraints, regardless of the Government's basis for nondisclosure. Even in the context of

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23 (. . . *cont'd*)

24 process, where disclosure of that method or technique would, if made public, reduce the
25 effectiveness of that technique." (*Id.*) (Steinbach Decl. ¶ 27 n.10.)

26 Steinbach indicates that specific aggregate data concerning NSLs and FISA orders reported
27 in Department of Justice annual reports to Congress is classified as "Secret," and that a 2013 order
28 containing aggregate numbers of NSLs and FISA orders by type, issued in 2013, was initially
classified as Top Secret, but declassified by the Director of National Intelligence on June 23, 2014.
(Steinbach Decl. ¶¶ 15 n. 7, 17.) He does not indicate any aggregate data is classified as "Top
Secret."

1 classified information, as the Supreme Court held in *Pentagon Papers*, “[a]ny system of prior
2 restraints of expression comes to this Court bearing a heavy presumption against its constitutional
3 validity and the Government thus carries a heavy burden of showing justification for the
4 imposition of such a restraint.” *Pentagon Papers*, 403 U.S. at 714 (internal citations omitted); *see*
5 *also Nebraska Press Ass’n*, 427 U.S. at 591 (Brennan, J., concurring) (while *Near* and *Pentagon*
6 *Papers* cases contemplated that there might be an exception to the near-complete ban on prior
7 restraints of speech due to countervailing interests such as national security, such an exception
8 “has only been adverted to in dictum and has never served as the basis for [the Supreme Court]
9 actually upholding a prior restraint against the publication of constitutionally protected
10 materials”); *In re Washington Post Co.*, 807 F.2d 383, 391–92 (4th Cir. 1986) (“[T]roubled as we
11 are by the risk that disclosure of classified information could endanger the lives of both Americans
12 and their foreign informants, we are equally troubled by the notion that the judiciary should
13 abdicate its decisionmaking responsibility to the executive branch whenever national security
14 concerns are present.”)

15 In *Pentagon Papers*, while certain justices acknowledged their concern that disclosure of
16 the classified information at issue might be harmful to the national interest, the Supreme Court
17 nevertheless held that the Government had not met its burden under the First Amendment to
18 justify enjoining publication of that classified information. *Pentagon Papers*, 403 U.S. at 714.⁵
19 As Justice Stewart stated in his concurrence to the *per curiam* opinion, the government had not
20 shown that publication would result in “direct, immediate, and irreparable damage to our Nation or
21 its people” so as to support an injunction. *Id.* at 729 (Stewart, J. concurring). “[T]he First
22 Amendment tolerates absolutely no prior judicial restraints of the press predicated upon surmise or
23 conjecture that untoward consequences may result.” *Id.* at 725–26 (Brennan, J., concurring). Such
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26 ⁵ At the time of publication in the *Pentagon Papers* case, the United States was still
27 engaged in a war in Vietnam, and the information at issue contained material about the war that
28 had been classified as “Top Secret” and “Secret.” *See United States v. N.Y. Times Co.*, 328 F.
Supp. 324, 326 (S.D.N.Y. 1971).

1 restraints are only permitted in an extremely narrow class of cases such as in times of war to
2 prevent disclosure of such information as troop locations and movements. *Id.* In the words of
3 Justice Black, “[t]he guarding of military and diplomatic secrets at the expense of informed
4 representative government provides no real security for our Republic.” *Id.* at 719. Thus, while
5 observing that the Executive branch is charged with great power in the areas of national defense
6 and international relations, the Supreme Court nevertheless found that it could not obstruct the
7 flow of information to its citizenry based solely on a statement that the matters were classified. *Id.*
8 at 727-28.⁶

9 The Court finds the most closely analogous cases have applied a high degree of scrutiny in
10 the context of constitutional challenges to restrictions on disclosure of information with national
11 security implications, though stopping short of unequivocally adopting the *Pentagon Papers*
12 standard. In *Doe v. Mukasey*, the plaintiff was an internet service provider upon which the FBI
13 had served an NSL seeking certain information about electronic communication records in
14 furtherance of an investigation, pursuant to 18 U.S.C. section 2709. *Doe v. Mukasey*, 549 F.3d at
15 864. Section 2709 permits the FBI to seek records relevant to an authorized investigation to
16 protect against international terrorism or clandestine intelligence activities. If the Director of the
17 FBI or his designee certifies that disclosure of the request may result in “a danger to the national
18 security of the United States; interference with a criminal, counterterrorism, or counterintelligence
19 investigation; interference with diplomatic relations; or danger to the life or physical safety of any
20 person,” section 2709(c) prohibits the recipient of an NSL from disclosing that such a request has
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23 ⁶ As stated by Justice Stewart, to simply rely on a classification decision to justify a prior
restraint would risk overuse of the classification authority:

24 For when everything is classified, then nothing is classified, and the system
25 becomes one to be disregarded by the cynical or the careless, and to be
26 manipulated by those intent on self-protection or self-promotion. I should suppose,
27 in short, that the hallmark of a truly effective internal security system would be the
maximum possible disclosure, recognizing that secrecy can best be preserved only
when credibility is truly maintained.

28 *Pentagon Papers*, 403 U.S. at 729. (Stewart, J., concurring).

1 been received. The Second Circuit, in considering the appropriate level of scrutiny to apply to a
2 constitutional challenge to section 2709(c)'s nondisclosure requirement, found that "[a]lthough the
3 nondisclosure requirement is in some sense a prior restraint . . . it is not a typical example of such
4 a restriction for it is not a restraint imposed on those who customarily wish to exercise rights of
5 free expression, such as speakers in public fora, distributors of literature, or exhibitors of movies."
6 *Id.* at 876. The court held that the nondisclosure requirement in the statute was "not a typical prior
7 restraint or a typical content-based restriction warranting the most rigorous First Amendment
8 scrutiny," but at the same time was not a context which warranted "a significantly diminished
9 standard of review." *Id.* at 877.

10 Though the context was narrow and limited to a single nondisclosure order, the corporate
11 plaintiff nonetheless had been restrained from public expression of information relevant to and
12 critical of a government activity, a core concern of the First Amendment. *Id.* at 877–78, citing
13 *Gentile v. State Bar of Nevada*, 501 U.S. 1030, 1034 (1991) ("There is no question that speech
14 critical of the exercise of the State's power lies at the very center of the First Amendment.");
15 *Landmark Commc'ns, Inc. v. Virginia*, 435 U.S. 829, 838 (1978) ("Whatever differences may
16 exist about interpretations of the First Amendment, there is practically universal agreement that a
17 major purpose of that Amendment was to protect the free discussion of governmental affairs.")
18 (internal citation and quotation marks omitted). While the panel could not agree on whether to
19 apply traditional strict scrutiny or something slightly less than that, it ultimately determined that
20 the distinction would not have changed the outcome. *Doe v. Mukasey*, 549 F.3d at 878.
21 Moreover, the Government conceded strict scrutiny was the appropriate standard. *Id.*

22 In another closely aligned case, *In re NSL*, the district court stated that it was adopting the
23 analysis in *Doe v. Mukasey*, but nevertheless hesitated to apply the "extraordinarily rigorous
24 *Pentagon Papers* test." *In re NSL*, 930 F. Supp. 2d at 1071. There, an electronic communication
25 service provider petitioned the court to set aside an NSL received from the FBI under section
26 2709, as well as the associated nondisclosure requirement, seeking subscriber information. *Id.* at
27 1066. The court found that "while section 2709(c) may not be a 'classic prior restraint' or a
28 'typical' content-based restriction on speech, the nondisclosure provision clearly restrains speech

1 of a particular content—significantly, speech about government conduct.” *Id.* at 1071. The court
2 determined that the *Pentagon Papers* standard would be “too exacting” given the “text and
3 function of the NSL statute.” *Id.* However, the court found that the government was required to
4 offer “heightened justifications for sustaining prior restraints on speech,” as required by *Freeman*,
5 and to demonstrate that its restrictions were “narrowly tailored to serve a compelling
6 governmental interest.” *Id.* at 1071; *see also Microsoft v. DOJ*, 2017 WL 530353, at *10-12
7 (W.D. Wash. Feb. 8, 2017) (plaintiff stated a constitutional challenge to statutory provisions
8 indefinitely restraining communication about the existence of electronic surveillance orders
9 whether strict scrutiny or some standard short of that, applied).

10 Both *In re NSL* and *Doe v. Mukasey* counsel application of a heightened level of scrutiny
11 in the case at bar. At the same time, they are distinguishable from the present case in ways that
12 suggest the Supreme Court’s usual strict scrutiny standard, rather than some modified version,
13 should apply here. *In re NSL* and *Doe v. Mukasey* concerned challenges to nondisclosure
14 provisions in *individual* orders, implicating different concerns from those attending the
15 dissemination of abstracted data about the volume of requests at issue here. The relative balance
16 of jeopardy to an investigation, or to national security generally, as compared to the public’s need
17 for information about the functioning of its government weighs very differently when the
18 disclosure concerns the details of a single, particular FBI request as compared to data about the
19 mere quantity of requests without touching upon the specifics of any of them.

20 Further, both *In re NSL* and *Doe v. Mukasey* were focused on the circumstance where the
21 recipient of the NSL or other legal process was a telephone or internet service provider, not a
22 social media outlet that functions as an information broadcast medium more akin to a newspaper
23 or television network. The challenges in those cases arose from nondisclosure restrictions on
24 entities which arguably do not occupy the same sort of communicative role as Twitter does. In
25 some ways, Twitter acts as the modern, electronic equivalent of a public square. The court in *Doe*
26 *v. Mukasey* determined it should apply something other than strict scrutiny because, “[a]lthough
27 the nondisclosure requirement is in some sense a prior restraint . . . it is not a typical example of
28 such a restriction for it is not a restraint imposed on those who customarily wish to exercise rights

1 of free expression, such as speakers in public fora, distributors of literature, or exhibitors of
2 movies.” *Doe v. Mukasey*, 549 F.3d at 876 (analogizing to *Seattle Times Co. v. Rhinehart*, 467
3 U.S. 20, 33 (1984), where prohibitions on disclosure of pretrial discovery was held not to be a
4 “class prior restraint” subject to strict scrutiny). Here, restrictions on Twitter’s ability to disclose
5 information relevant to its own functioning as a social media outlet appear much more like the
6 kind of restraints on “speakers in public fora, distributors of literature, or exhibitors of movies”
7 that would not permit an exception to strict scrutiny. *Doe v. Mukasey*, 549 F.3d at 876; *see also*
8 *Bland v. Roberts*, 730 F.3d 368, 386 (4th Cir. 2013), *as amended* (Sept. 23, 2013) (“liking a
9 political candidate’s campaign page [on Facebook] communicates the user’s approval of the
10 candidate and . . . is the Internet equivalent of displaying a political sign in one’s front yard,”
11 implicating First Amendment protections).

12 Thus, the Court finds the Government’s decision to restrict the information in Twitter’s
13 Draft Transparency Report is based upon its content and a prior restraint of publication.
14 Accordingly, Supreme Court authority requires that such restrictions be subject to strict scrutiny.
15 *See, e.g., Pentagon Papers*, 403 U.S. at 714 (prior restraints bear “a heavy presumption against
16 [their] constitutional validity” and are subject to strict scrutiny, regardless of assertions of national
17 security); *Organization for a Better Austin v. Keefe*, 402 U.S. 415, 419 (1971); *Nebraska Press*
18 *Assn.*, 427 U.S. at 589 (prior restraints are “the essence of censorship” and accorded greater First
19 Amendment protection than subsequent punishments for particular speech); *Forsyth County*, 505
20 U.S. at 130 (permitting regulation on free speech cannot be based upon content and must be
21 narrowly tailored with objective and definite standards for abridgment); *Reed*, 135 S. Ct. at 2227
22 (content-based regulations subject to strict scrutiny).

23 Even where courts have hesitated to apply the highest level of scrutiny due to competing
24 secrecy and national security concerns, they have nevertheless held that heightened or rigorous
25 scrutiny of such restrictions on speech is required. *Doe v. Mukasey*, 549 F.3d at 876; *Holder v.*
26 *Humanitarian Law Project*, 561 U.S. 1, 27–28 (2010) (applying “rigorous scrutiny,” and rejecting
27 “intermediate scrutiny,” in context of statute that criminalized knowing provision of material
28 support to terrorist organizations standard, and distinguishing facts from a prior restraint

1 restriction on “pure political speech” which would be even less likely to survive review); *see also*
 2 *Matter of Grand Jury Subpoena for: [Redacted]@yahoo.com*, 79 F.Supp.3d 1091, 1091 (N.D.
 3 Cal. 2015) (striking down gag order under Stored Communications Act because “an indefinite
 4 order would amount to an undue prior restraint of Yahoo!’s First Amendment right to inform the
 5 public of its role in searching and seizing its information.”); *In re Sealing & Non-Disclosure of*
 6 *Pen/Trap/2703(d) Orders*, 562 F. Supp. 2d 876, 882 (S.D. Tex. 2008) (nondisclosure requirements
 7 in connection with FBI surveillance orders were subject to “rigorous scrutiny”)⁷; *cf. Defense*
 8 *Distributed v. United States Dep’t of State*, 838 F.3d 451, 466 (5th Cir. 2016) (holding, under
 9 strict scrutiny analysis, that regulation on export of technical data related to prohibited munitions
 10 via publication on the internet of instructions for 3-D printing of firearms violated plaintiff’s First
 11 Amendment as an unconstitutional prior restraint and content-based regulation, despite State
 12 Department’s national security concerns). Though it has been classified by the FBI as information
 13 that “reasonably could be expected to result in damage to the national security,” that fact alone
 14 does not exempt the restriction from rigorous scrutiny. Simply asserting that information is
 15 classified under the Executive Order does not meet the Government’s burden to justify the
 16 disclosure in the face of such rigorous scrutiny. *See Pentagon Papers*, 403 U.S. at 714; *see also*
 17 *Al-Haramain v. Bush*, 507 F.3d at 1203 (“[s]imply saying ‘military secret,’ ‘national security,’ . . .
 18 ‘terrorist threat’ or invoking an ethereal fear that disclosure will threaten our nation is insufficient”
 19 to justify a content-based restraint on speech)⁸.

20 _____
 21 ⁷ As the district court in *In re Sealing* aptly noted, disclosure of the existence and numbers
 22 of surveillance orders is important to an informed public since, “[c]umulatively considered, these
 23 secret orders, issued by the thousands year after year by court after court around the country, may
 24 conceal from the public the actual degree of government intrusion that current legislation
 25 authorizes.” *In re Sealing*, 562 F. Supp. 2d at 886. “It may very well be that, given full disclosure
 26 of the frequency and extent of these orders, the people and their elected representatives would
 27 heartily approve without a second thought. But then again, they might not.” *Id.*

28 ⁸ The Ninth Circuit’s decision in *Al-Haramain v. Bush*, although not a constitutional
 challenge, is instructive. The Ninth Circuit reached its conclusion there only after “spen[ding]
 considerable time examining the government’s declarations (both publicly filed and those filed
 under seal),” and finding that the government’s assertions were “*exceptionally* well documented.”
Id. at 1203 (emphasis added). While the court “acknowledge[d]” the need for some “defer[ence]
 (cont’d . . .)

1 Here, the declarations of Steinbach, both *in camera* and public, fail to provide sufficient
2 details indicating that the decision to classify the information in the Draft Transparency Report
3 was based on anything more specific than the reporting bands in section 1874 and the FBI's
4 position that more granular information "could be expected to harm national security." The
5 declarations do not provide an indication of grave or imminent harm arising from the disclosures
6 in the Draft Transparency Report. Rather, the concerns raised to relate to the overall concern from
7 one or more of *any* electronic communication service regardless of the specific provider or
8 circumstance. Merely declaring a view that more granular reporting would create an unacceptable
9 risk does not make it so, especially in light of the Government's acknowledgement of the strong
10 public interest in the information.

11 The Government has not sufficiently explained how a restriction on reporting, beyond the
12 bands in section 1874, could be characterized as narrowly tailored to prevent a national security
13 risk of sufficient gravity to justify the restraint, either in general or with respect to Twitter
14 specifically. Steinbach does not indicate that the classification decision reflected any narrow
15 tailoring of the decision to take into consideration, for instance, the nature of the provider, the
16 volume of any requests involved or the number of users on the platform. These considerations are
17 significant, since Twitter, by recent estimation, had users numbering in the hundreds of millions.
18 Despite it being over three years since the decision, Steinbach stands by the continued
19 classification of the information therein. (Steinbach Decl. at ¶ 29.)⁹ Rather, the declaration
20

21 (. . . *cont'd*)

22 to the Executive on matters of foreign policy and national security," it nonetheless insisted that the
23 Government produce "sufficient detail" to permit "meaningful examination" of its state secrets
24 privilege claim. *Id.* at 1203.

25 ⁹ The Government concedes that it previously classified its own report summarizing the
26 aggregate number of NSLs and FISA orders issued in 2013 as "Top Secret," reflecting an
27 assessment that public disclosure was "expected to cause exceptionally grave damage to the
28 national security." (Steinbach Decl. ¶ 17.) That report was declassified on June 23, 2014—within
six months—and is now available to public. (*Id.*) The Government does not indicate that
"exceptionally grave damage" to national security resulted from disclosure of that report or other
subsequently declassified material.

1 largely relies on a generic, and seemingly boilerplate, description of the mosaic theory and a broad
2 brush concern that the information at issue will make more difficult the complications associated
3 with intelligence gathering in the internet age. *Cf. Detroit Free Press v. Ashcroft*, 303 F.3d 681,
4 709–10 (6th Cir. 2002) (seemingly unlimited logic of mosaic theory would permit the government
5 to “operate in virtual secrecy in all matters dealing, even remotely, with ‘national security,’
6 resulting in a wholesale suspension of First Amendment rights.”) Without some more specific
7 articulation of the inference the Government believes can be drawn from the information Twitter
8 itself seeks to publish, even years later, the Court cannot find that the Government has met the
9 high burden to overcome a presumption that its restrictions are unconstitutional.

10 Moreover, the Supreme Court has held that restrictions of this type require procedural
11 safeguards to ensure that they are imposed for a limited time and subject to review at the earliest
12 juncture. *Freedman*, 380 U.S. at 58-60. Neither the Government’s classification decision nor the
13 disclosure reporting statute provide such safeguards, nor do the FISA nondisclosure provisions at
14 issue provide for review that would encompass just the aggregate volume data. Indeed, the ban on
15 disclosure of this aggregate data, relying as it does on section 1874, does not appear to have a limit
16 to the duration of nondisclosure, only a narrowing of the disclosure bands after one year. 50
17 U.S.C. § 1874(a)(4). Despite section 1874(c)’s grant of discretion to permit greater detail in
18 reporting, the statute offers no procedure to petition for such exercise of discretion. It does not
19 provide a mechanism for review of any Government decisions under that exception, or
20 classification decisions in connection with such disclosures generally. Further, as noted it does
21 not distinguish in any way between large and small providers, the nature of the provider itself, and
22 the levels of information they may report.

23 In short, the Government’s restrictions here are not the product of an individualized
24 inquiry or narrow tailoring. They impose a prior restraint on Twitter’s speech, not based on an
25 actual finding that permitting the speech would seriously damage national security, but because
26 Twitter’s proposed disclosure was more precise than the permissive band structure in the USA
27 FREEDOM Act and its predecessor DAG Letter. Finally, they do not include any procedural
28 safeguards to ensure that the decision is one that comports with the appropriate high level of

1 scrutiny warranted by such prior restraints.

2 **2. Government's Authorities Espousing Deferential Review Are Not**
 3 **Applicable**

4 The Government relies on *Stillman*, *Wilson*, and *Shaffer*, in which employees or
 5 contractors of the Government were prohibited from publishing classified information. These
 6 cases do not persuade. As the Supreme Court has held, a government employee “voluntarily
 7 assume[s] a duty of confidentiality, governmental restrictions on disclosure are not subject to the
 8 same stringent standards that would apply to efforts to impose restrictions on unwilling members
 9 of the public.” *United States v. Aguilar*, 515 U.S. 593, 606 (1995); see *United States v. Nat'l*
 10 *Treasury Employees Union*, 513 U.S. 454, 465-66 (1995) (“Congress may impose restraints on the
 11 job-related speech of public employees that would be plainly unconstitutional if applied to the
 12 public at large.”). *Stillman* concerned restrictions on a government employee, and the court there
 13 specifically held that “[o]nce a government employee signs an agreement not to disclose
 14 information properly classified pursuant to executive order, that employee ‘simply has no first
 15 amendment right to publish’ such information. *Stillman* 319 F.3d at 548, citing *Snepp*, 444 U.S. at
 16 510 n. 3 (“When *Snepp* accepted employment with the CIA [Central Intelligence Agency], he
 17 voluntarily signed the agreement that expressly obligated him to submit any proposed publication
 18 for prior review”). *Stillman* held that the CIA, as the employer had the power to “impos[e]
 19 reasonable restrictions on employee activities that in other contexts might be protected by the First
 20 Amendment.” *Id.* Similarly, the court in *Wilson* very specifically stated that the “CIA’s
 21 requirement that current and former employees obtain Agency clearance before disseminating any
 22 material related to their employment is not, however, a ‘system of prior restraints’ in the classic
 23 sense.” *Wilson*, 586 F.3d at 183-84 (citing *McGehee*, 718 F.2d at 1147-48); accord *Doe v.*
 24 *Mukasey*, 549 F.3d at 871, 876 n. 12, 877 (distinguishing between former CIA employees and
 25 entities that “had no interaction with the Government until the Government imposed its
 26 nondisclosure requirement upon [them]”). The courts in these cases subject the Government
 27 agency’s censorship to a minimal and deferential review: “if the Agency censors a manuscript
 28 because it contains classified information, the author is entitled to judicial review of that decision

1 to ensure that the information in question is, in fact, properly classified under the standards set
2 forth in the applicable executive order,” not more. *Wilson*, 586 F.3d at 185-86; *see also Shaffer*,
3 102 F.Supp.3d at 14-15 (conducting review of classification decision precluding former military
4 officer’s request to publish information in his memoir under *Stillman*). Here, the caselaw offers
5 no basis to treat restraints on speech by Twitter the same as “reasonable restrictions on employee
6 activities,” worthy of less than the full panoply of rights under the First Amendment.

7 **3. Government’s efforts to distinguish *Pentagon Papers* fail**

8 The Government seeks a lower level of scrutiny contending that *Pentagon Papers* is
9 distinguishable because the *New York Times* and *Washington Post* had obtained the classified
10 information at issue through an apparent leak of information while here the information at issue
11 arises from Twitter’s “participation” in judicial proceedings. Thus, the Government argues that,
12 like a party in discovery or a grand jury witness, Twitter can be restricted from disclosing
13 information.

14 The Government’s analogies are not particularly apt. Restrictions on disclosure of grand
15 jury testimony have only been upheld when they were limited in duration, allowed for broad
16 judicial review, and did not preclude an individual from disclosing information known outside of
17 their direct participation in the proceedings. *See Butterworth v Smith*, 494 U.S. 624, 632 (1990).
18 Further, the justification for limitations on disclosure of information learned in pre-trial civil
19 litigation yields when the information bears on public proceedings or concerns. *Cf. Seattle Times*,
20 467 U.S. at 33 (distinguishing restrictions on pretrial discovery disclosure from information
21 admitted into evidence).

22 The Government also distinguishes *Pentagon Papers* on the ground that it concerned an
23 injunction against publication rather than a statutory limitation on publication or a Court-ordered
24 limitation imposed pursuant to a statute permitting the Executive to request nondisclosure. These
25 distinctions lack substance. Whether a Government restriction is imposed as a flat-out injunction
26 or a nondisclosure order by a court, it still constitutes a prior restraint based upon the content of
27 the message. Similarly, regardless of whether the Executive’s decision to limit the scope of the
28 disclosure arises out of a statutory framework enacted by Congress or a request made to the Court,

United States District Court
Northern District of California

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the Executive’s exercise of discretion results in the same prohibition on speech.

The Government offers no evidence that Congress’s decision to adopt the disclosure framework, first applied in the DAG letter, was based upon a determination that disclosure of any more granular information would be, in all cases, a clear and present danger or a serious and imminent threat to a compelling government interest such that less restrictive, more narrowly tailored means to protect that interest did not exist. *See generally Nebraska Press Ass’n*, 427 U.S. at 565; *In re Sealing & Non-Disclosure of Pen/Trap/2703(d) Orders*, 562 F. Supp. 2d at 882. Thus, while evidence may exist, the Government has not yet made a sufficient showing.

IV. CONCLUSION

The motion for summary judgment is **DENIED WITHOUT PREJUDICE** to a renewed motion upon a more fulsome record. Twitter has sought a security clearance to be permitted to review any *in camera* filing by the Government.

The Government is **ORDERED** to move forward on granting Twitter’s lead counsel, Andrew J. Pincus and Lee H. Rubin, security clearances that would permit review of relevant classified materials in this matter.

IT IS SO ORDERED.

This terminates Docket Nos. 124 and 145.

Dated: July 6, 2017


YVONNE GONZALEZ ROGERS
UNITED STATES DISTRICT JUDGE