

**U.S. District Court  
Southern District of New York (Foley Square)  
CIVIL DOCKET FOR CASE #: 1:10-cv-06950-AT-RWL**

Chen-Oster et al v. Goldman, Sachs & Co. et al  
Assigned to: Judge Analisa Torres  
Referred to: Magistrate Judge Robert W. Lehrburger  
Cause: 42:2000e-2e Job Discrimination (Unlawful Employment Practices)

Date Filed: 09/15/2010  
Jury Demand: Plaintiff  
Nature of Suit: 442 Civil Rights: Jobs  
Jurisdiction: Federal Question

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| Date Filed | #        | Docket Text   |
|------------|----------|---|
| 09/15/2010 |          | Magistrate Judge James C. Francis IV is so designated. (rdz) (Entered: 09/21/2010)  |
| 09/15/2010 |          | Case Designated ECF. (rdz) (Entered: 09/21/2010)  |
| 09/16/2010 | <u>5</u> | COMPLAINT (Duplicate Original) against Goldman, Sachs & Co., The Goldman Sachs Group, Inc. Document filed by Lisa Parisi, H. Christina Chen-Oster, Shanna Orlich.(mro) (ama). (Entered: 10/01/2010)   |
| 09/20/2010 | 1        | SEALED DOCUMENT placed in vault.(cb) (Entered: 09/20/2010)  |
| 09/20/2010 | <u>2</u> | ORDER GRANTING PERMISSION TO FILE COMPLAINT UNDER SEAL: Plaintiffs are granted permission to file under seal the Class Action Complaint and attached exhibits filed on September 15, 2010. The Clerk is directed to accept a redacted copy of the Class Action Complaint and attached exhibits for filing on the public docket. (Signed by Judge Leonard B. Sand on 9/16/10) (db) (Entered: 09/20/2010) |
| 09/20/2010 |          | Transmission to Sealed Records Clerk. Transmitted re: <u>2</u> Order, to the Sealed Records Clerk for the sealing or unsealing of document or case. (db) (Entered: 09/20/2010)  |
| 09/24/2010 | <u>3</u> | NOTICE OF APPEARANCE by Theodore Otto Rogers, Jr on behalf of Goldman, Sachs & Co., The Goldman Sachs Group, Inc. (Rogers, Theodore) (Entered: 09/24/2010)  |
| 09/27/2010 | <u>4</u> | NOTICE OF APPEARANCE by Justin Mitchell Swartz on behalf of H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi (Swartz, Justin) (Entered: 09/27/2010)  |
| 10/01/2010 |          | ***NOTE TO ATTORNEY TO E-MAIL PDF. Note to Attorney Adam T Klein for noncompliance with Section (14.3) of the S.D.N.Y. Electronic Case Filing Rules & Instructions. E-MAIL the PDF for Document <u>5</u> Complaint to: case_openings@nysd.uscourts.gov. (mro) (Entered: 10/01/2010)   |
| 10/05/2010 | <u>6</u> | STIPULATION TO EXTEND TIME TO ANSWER, MOVE OR RESPOND. Goldman, Sachs & Co., answer due 11/15/2010; The Goldman Sachs Group, Inc., answer due 11/15/2010. (Signed by Judge Leonard B. Sand on 10/5/10) (djc) Modified on 10/5/2010 (djc). (Entered: 10/05/2010)   |

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| 10/05/2010 | <u>7</u>  | AFFIDAVIT OF SERVICE. Goldman, Sachs & Co. served on 9/17/2010, answer due 11/15/2010. Service was accepted by Joanna M. Lane. Document filed by Lisa Parisi; H. Christina Chen-Oster; Shanna Orlich. (Klein, Adam) (Entered: 10/05/2010)  |
| 10/05/2010 | <u>8</u>  | AFFIDAVIT OF SERVICE. The Goldman Sachs Group, Inc. served on 9/15/2010, answer due 11/15/2010. Service was accepted by Aixa Flores. Document filed by Lisa Parisi; H. Christina Chen-Oster; Shanna Orlich. (Klein, Adam) (Entered: 10/05/2010)  |
| 10/06/2010 | <u>9</u>  | NOTICE OF APPEARANCE by Zachary D. Fasman on behalf of Goldman, Sachs & Co., The Goldman Sachs Group, Inc. (Fasman, Zachary) (Entered: 10/06/2010)   |
| 10/06/2010 | <u>10</u> | MOTION for Barbara B. Brown to Appear Pro Hac Vice. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.(mbe) (Entered: 10/14/2010)   |
| 10/14/2010 |           | CASHIERS OFFICE REMARK on <u>10</u> Motion to Appear Pro Hac Vice in the amount of \$25.00, paid on 10/06/2010, Receipt Number 916752. (jd) (Entered: 10/14/2010)  |
| 10/18/2010 | <u>11</u> | ORDER ADMITTING ATTORNEY PRO HAC VICE: Mr. C. Geoffrey Weirich is admitted pro hac vice to this Court as counsel for Defendants in this action. (Signed by Judge Leonard B. Sand on 10/18/10) (db) (Entered: 10/18/2010)   |
| 10/18/2010 | <u>12</u> | ORDER FOR ADMISSION PRO HAC VICE. ORDER granting <u>10</u> Motion for Barbara B. Brown to Appear Pro Hac Vice for Goldman, Sachs & Co., The Goldman Sachs Group, Inc. (Signed by Judge Leonard B. Sand on 10/18/10) (db) (Entered: 10/18/2010)   |
| 10/25/2010 | <u>13</u> | MOTION for Alison Stocking to Appear Pro Hac Vice. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi.(mbe) (Entered: 10/26/2010)   |
| 10/25/2010 | <u>14</u> | MOTION for Anne B. Shaver to Appear Pro Hac Vice. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi.(mbe) (Entered: 10/26/2010)  |
| 10/25/2010 | <u>15</u> | MOTION for Heather H. Wong to Appear Pro Hac Vice. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi.(mbe) (Entered: 10/26/2010)   |
| 10/25/2010 | <u>16</u> | MOTION for Kelly M. Dermody to Appear Pro Hac Vice. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi.(mbe) (Entered: 10/26/2010)  |
| 10/28/2010 | <u>17</u> | ORDER: granting <u>15</u> Motion for Heather H. Wong to Appear Pro Hac Vice. So Ordered. (Signed by Judge Leonard B. Sand on 10/28/2010) (js) (Entered: 10/28/2010)  |
| 10/28/2010 | <u>18</u> | ORDER granting <u>14</u> Motion for Anne B. Shaver to Appear Pro Hac Vice. So Ordered. (Signed by Judge Leonard B. Sand on 10/28/2010) (js) (Entered: 10/28/2010)  |
| 10/28/2010 | <u>19</u> | ORDER granting <u>16</u> Motion for Kelly M. Dermody to Appear Pro Hac Vice. So Ordered. (Signed by Judge Leonard B. Sand on 10/28/2010) (js) (Entered: 10/28/2010)  |
| 10/28/2010 | <u>20</u> | ORDER FOR ADMISSION PRO HAC VICE ON WRITTEN MOTION: granting <u>13</u> Motion for Alison Stocking to Appear Pro Hac Vice. So Ordered. (Signed by Judge Leonard B. Sand on 10/28/2010) (js) (Entered: 10/28/2010)   |
| 11/05/2010 |           | CASHIERS OFFICE REMARK on <u>16</u> Motion to Appear Pro Hac Vice, <u>13</u> Motion to Appear Pro Hac Vice, <u>15</u> Motion to Appear Pro Hac Vice, <u>14</u> Motion to Appear Pro Hac Vice in the amount of \$100.00, paid on 10/25/2010, Receipt Number 918837. (jd) (Entered: 11/05/2010)  |
| 11/08/2010 | <u>21</u> | MEMO ENDORSEMENT ON NOTICE OF MOTION ON CONSENT FOR ADMISSION PRO HAC VICE: ORDER ORDER ADMITTING ATTORNEY BARBARA B. BROWN PRO HAC VICE. ENDORSEMENT: Motion granted. So ordered. (Signed by Judge Leonard B. Sand on 11/8/2010) (lnl) (Entered: 11/08/2010)  |
| 11/16/2010 | <u>22</u> | STIPULATION TO EXTEND TIME TO ANSWER, MOVE OR RESPOND: IT IS HEREBY STIPULATED AND AGREED, by and between the undersigned, that the time for defendants Goldman, Sachs & Co. and the Goldman Sachs Group, Inc. to answer, move against or otherwise respond to the Complaint in this action is extended from November 15, 2010 to November 22, 2010.(Goldman, Sachs & Co. answer due 11/22/2010; The Goldman Sachs Group, Inc. answer due 11/22/2010.) (Signed by Judge Leonard B. Sand on 11/16/10) (mro) (Entered: 11/16/2010) |

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| 11/22/2010 | <u>23</u> | MOTION to Stay <i>Plaintiff Parisi's Claims and Compel Individual Arbitration</i> . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. Return Date set for 12/9/2010 at 02:15 PM.(Fasman, Zachary) (Entered: 11/22/2010)   |
| 11/22/2010 | <u>24</u> | MEMORANDUM OF LAW in Support re: <u>23</u> MOTION to Stay <i>Plaintiff Parisi's Claims and Compel Individual Arbitration</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit A Pt. 1, # <u>2</u> Exhibit A Pt. 2, # <u>3</u> Exhibit A Pt. 3, # <u>4</u> Exhibit B, # <u>5</u> Exhibit C)(Fasman, Zachary) (Entered: 11/22/2010)  |
| 11/22/2010 | <u>25</u> | NOTICE OF APPEARANCE by Margaret Elizabeth Bartlett on behalf of Goldman, Sachs & Co., The Goldman Sachs Group, Inc. (Bartlett, Margaret) (Entered: 11/22/2010)  |
| 11/22/2010 | <u>26</u> | NOTICE OF APPEARANCE by John Francis Fullerton, III on behalf of Goldman, Sachs & Co., The Goldman Sachs Group, Inc. (Fullerton, John) (Entered: 11/22/2010)   |
| 11/22/2010 | <u>27</u> | NOTICE OF APPEARANCE by Suhana S. Han on behalf of Goldman, Sachs & Co., The Goldman Sachs Group, Inc. (Han, Suhana) (Entered: 11/22/2010)   |
| 11/22/2010 | <u>28</u> | RULE 7.1 CORPORATE DISCLOSURE STATEMENT. Identifying The Goldman Sachs Group, Inc. (as Corporate Parent of Goldman, Sachs & Co.) as Corporate Parent. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Bartlett, Margaret) (Entered: 11/22/2010)   |
| 11/22/2010 | <u>29</u> | ANSWER to Complaint. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Bartlett, Margaret) (Entered: 11/22/2010)  |
| 11/23/2010 | <u>30</u> | NOTICE OF APPEARANCE by Barbara B. Brown on behalf of Goldman, Sachs & Co., The Goldman Sachs Group, Inc. (Brown, Barbara) (Entered: 11/23/2010)   |
| 11/24/2010 | <u>31</u> | NOTICE OF APPEARANCE by C. Geoffrey Weirich on behalf of Goldman, Sachs & Co., The Goldman Sachs Group, Inc. (Weirich, C.) (Entered: 11/24/2010)   |
| 12/07/2010 | <u>32</u> | FILING ERROR – ELECTRONIC FILING FOR NON–ECF DOCUMENT – MOTION for Extension of Time (STIPULATION TO EXTEND THE DATE BY WHICH PLAINTIFFS MUST FILE THEIR OPPOSITION TO DEFENDANTS MOTION TO STAY PLAINTIFF PARISIS CLAIMS AND COMPEL ARBITRATION). Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. Return Date set for 1/13/2010 at 02:15 PM.(Dermody, Kelly) Modified on 12/8/2010 (ka). (Entered: 12/07/2010)                 |
| 12/08/2010 |           | ***NOTE TO ATTORNEY TO RE–FILE DOCUMENT – NON–ECF DOCUMENT ERROR. Note to Attorney Kelly Dermody to E–MAIL Document No. <u>32</u> Stipulation to extend time judgments@nysd.uscourts.gov. This document is not filed via ECF. (ka) (Entered: 12/08/2010)   |
| 12/08/2010 | <u>33</u> | STIPULATION TO EXTEND THE DATE BY WHICH PLAINTIFFS MUST FILE THEIR OPPOSITION TO DEFENDANTS' MOTION TO STAY PLAINTIFF PARISIS CLAIMS AND COMPEL ARBITRATION: 1. Plaintiffs' opposition will be served no later than December 23, 2010; 2. Defendants' reply will be served no later than January 7, 2011; and 3. The Motion will be returnable on January 13, 2011 at 2:15 pm. (Signed by Judge Leonard B. Sand on 12/8/10) (db) (Entered: 12/08/2010) |
| 12/16/2010 | <u>34</u> | NOTICE OF APPEARANCE by Mariko Hirose on behalf of H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi (Hirose, Mariko) (Entered: 12/16/2010)  |
| 12/20/2010 | <u>35</u> | ORDER REFERRING CASE TO MAGISTRATE JUDGE. Order that case be referred to the Clerk of Court for assignment to a Magistrate Judge for Specific Non–Dispositive Motion/Dispute. Referred to Magistrate Judge James C. Francis. Motions referred to James C. Francis. (Signed by Judge Leonard B. Sand on 12/20/10) (pl) (Entered: 12/20/2010)  |
| 12/21/2010 | <u>36</u> | RULE 26(f) DISCOVERY PLAN REPORT.Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Brown, Barbara) (Entered: 12/21/2010)  |
| 12/23/2010 | <u>37</u> | STIPULATION TO EXTEND THE DATE BY WHICH PLAINTIFFS MUST FILE THEIR OPPOSITION TO DEFENDANTS' MOTION TO STAY PLAINTIFF PARISIS CLAIMS AND COMPEL INDIVIDUAL ARBITRATION. 1. Plaintiffs'   |

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|            |           | opposition will be served no later than seven days after the later of (i) the Court's final decision on Plaintiffs' request to compel discovery related to this Motion and (ii) the production by Defendants of any documents that the Court may require Defendants to produce in response to Plaintiffs' discovery requests related to this Motion; 2. Defendants' reply will be served no later than fourteen days after Plaintiffs' opposition is due; and 3. The Motion will be returnable at 2:15 p.m. on the first Thursday following the date Defendants' reply brief is due. (Signed by Judge Leonard B. Sand on 12/23/10); (djc) (Entered: 12/23/2010) |
| 12/23/2010 | <u>38</u> | PARTIES' REPORT OUTLINING DISCOVERY PLAN; Pursuant to Rule 26(f)(3) of the Federal Rules of Civil Procedure, Goldman, Sachs & Co. and The Goldman Sachs Group, Inc. ("Goldman Sachs" or "Defendants") and H. Cristina Chen-Oster, et al. ("Plaintiffs"), by counsel, jointly request that the Court enter the following as their Report Outlining Discovery Plan. (Signed by Judge Leonard B. Sand on 12/23/10); (djc) (Entered: 12/23/2010)  |
| 01/07/2011 | <u>39</u> | MOTION for Paul W. Mollica to Appear Pro Hac Vice. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi.(mro) (Entered: 01/11/2011)  |
| 01/11/2011 |           | CASHIERS OFFICE REMARK on <u>39</u> Motion to Appear Pro Hac Vice in the amount of \$25.00, paid on 01/07/2011, Receipt Number 925422. (jd) (Entered: 01/11/2011)   |
| 01/14/2011 |           | Minute Entry for proceedings held before Magistrate Judge James C. Francis: Pre-Motion Conference held on 1/14/2011. (mro) (Entered: 01/14/2011)  |
| 01/18/2011 | <u>40</u> | ORDER FOR ADMISSION PRO HAC VICE ON WRITTEN MOTION. granting <u>39</u> Motion for Paul W. Mollica to Appear Pro Hac Vice. (Signed by Judge Leonard B. Sand on 1/18/11) (rjm) (Entered: 01/18/2011)  |
| 01/21/2011 | <u>41</u> | MOTION to Compel <i>Discovery</i> . Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi.(Klein, Adam) (Entered: 01/21/2011)   |
| 01/21/2011 | <u>42</u> | MEMORANDUM OF LAW in Support re: <u>41</u> MOTION to Compel <i>Discovery</i> .. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Klein, Adam) (Entered: 01/21/2011)  |
| 01/21/2011 | <u>43</u> | DECLARATION of Adam T. Klein in Support re: <u>41</u> MOTION to Compel <i>Discovery</i> .. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C, # <u>4</u> Exhibit D, # <u>5</u> Exhibit E)(Klein, Adam) (Entered: 01/21/2011)  |
| 02/04/2011 | <u>44</u> | MEMORANDUM OF LAW in Opposition re: <u>41</u> MOTION to Compel <i>Discovery</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Fasman, Zachary) (Entered: 02/04/2011)   |
| 02/11/2011 | <u>45</u> | REPLY MEMORANDUM OF LAW in Support re: <u>41</u> MOTION to Compel <i>Discovery</i> .. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Klein, Adam) (Entered: 02/11/2011)  |
| 03/01/2011 | <u>46</u> | MEMORANDUM AND ORDER, denying <u>41</u> Motion to Compel. For the reasons set forth above, plaintiff's motion to compel discovery (Docket no. 41) is denied. (Signed by Magistrate Judge James C. Francis on 3/1/11) Copies Mailed By Chambers. (pl) (Entered: 03/01/2011)  |
| 03/07/2011 | <u>47</u> | NOTICE OF CHANGE OF ADDRESS by Paul W. Mollica on behalf of H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. New Address: Outten & Golden LLP, 203 North LaSalle Street, Suite 2100, Chicago, IL, USA 60601, (312) 924-4888. (Mollica, Paul) (Entered: 03/07/2011)  |
| 03/08/2011 | <u>48</u> | MEMORANDUM OF LAW in Opposition re: <u>23</u> MOTION to Stay <i>Plaintiff Parisi's Claims and Compel Individual Arbitration</i> .. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Klein, Adam) (Entered: 03/08/2011)   |
| 03/08/2011 | <u>49</u> | DECLARATION of Adam T. Klein in Opposition re: <u>23</u> MOTION to Stay <i>Plaintiff Parisi's Claims and Compel Individual Arbitration</i> .. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C)(Klein, Adam) (Entered: 03/08/2011)   |



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| 03/10/2011 | <u>50</u> | NOTICE of Supplemental Authority re: <u>48</u> Memorandum of Law in Opposition to Motion. Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A)(Klein, Adam) (Entered: 03/10/2011)   |
| 03/18/2011 | <u>51</u> | PROTECTIVE ORDER AND CONFIDENTIALITY AGREEMENT...regarding procedures to be followed that shall govern the handling of confidential material... (Signed by Magistrate Judge James C. Francis on 3/18/2011) (Inl) (Entered: 03/18/2011)  |
| 03/22/2011 | <u>52</u> | REPLY AFFIDAVIT of REDACTED Affidavit of Pricila M. Hidalgo–Liranzo in Support re: <u>23</u> MOTION to Stay <i>Plaintiff Parisi's Claims and Compel Individual Arbitration</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Fasman, Zachary) (Entered: 03/22/2011)  |
| 03/22/2011 | <u>53</u> | REPLY MEMORANDUM OF LAW in Support re: <u>23</u> MOTION to Stay <i>Plaintiff Parisi's Claims and Compel Individual Arbitration</i> . REDACTED. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Fasman, Zachary) (Entered: 03/22/2011)   |
| 03/22/2011 | 54        | SEALED DOCUMENT placed in vault.(nm) (Entered: 03/22/2011)  |
| 03/25/2011 | <u>55</u> | MOTION for Leave to File Surreply In Opposition To Defendants Motion To Compel Arbitration. Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A)(Dermody, Kelly) (Entered: 03/25/2011)  |
| 03/28/2011 | <u>56</u> | MEMORANDUM OF LAW in Opposition re: <u>55</u> MOTION for Leave to File Surreply In Opposition To Defendants Motion To Compel Arbitration.. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Rogers, Theodore) (Entered: 03/28/2011)  |
| 03/30/2011 | <u>57</u> | ORDER granting <u>55</u> Motion for Leave to File Document. Plaintiffs having moved for leave to file a surreply in opposition to defendants' motion to compel arbitration, it is hereby ORDERED that plaintiffs' motion (Docket no. 55) is granted. (Signed by Magistrate Judge James C. Francis on 3/30/2011) Copies Mailed By Chambers. (mbe) (Entered: 03/30/2011)  |
| 04/26/2011 | <u>58</u> | NOTICE of Withdrawal of Margaret E. Bartlett and John F. Fullerton, III as Counsel for the Defendants. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 04/26/2011)   |
| 04/28/2011 | <u>59</u> | MEMORANDUM AND ORDER: The defendants' motion to stay the case and compel arbitration of Ms. Parisi's claims (Docket no. <u>23</u> ) is denied. SO ORDERED. (Signed by Magistrate Judge James C. Francis on 4/28/2011) Copies Mailed By Chambers. (Inl) (Main Document 59 replaced on 4/28/2011) (jar). (Entered: 04/28/2011)  |
| 05/04/2011 | <u>60</u> | ORDER OF TERMINATIONOF APPEARANCE OF JOHN F. FULLERTON III, ESQ., that because I am no longer associated with S&C and I will not continue to represent Defendants in this matter I respectfully request that my appearance be terminated. Attorney John Francis Fullerton, III terminated. (Signed by Judge Leonard B. Sand on 5/4/11) (pl) (Entered: 05/04/2011)   |
| 05/11/2011 | <u>61</u> | ENDORSED LETTER addressed to Judge Leonard B. Sand from Theodore O. Rogers, Jr. dated 5/10/11 re: Counsel requests that the court confirm that Defendants' time for filing an objection to Magistrate Judge Francis' Order would be tolled during the pendency of a motion for reconsideration, if Defendants were to file such a motion by May 12. ENDORSEMENT: Confirmed as requested. So ordered. (Signed by Judge Leonard B. Sand on 5/11/11) (djc) (Entered: 05/11/2011) |
| 05/12/2011 | <u>62</u> | MOTION for Reconsideration re: <u>59</u> Order on Motion to Stay,. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Fasman, Zachary) (Entered: 05/12/2011)  |
| 05/12/2011 | <u>63</u> | MEMORANDUM OF LAW in Support re: <u>62</u> MOTION for Reconsideration re: <u>59</u> Order on Motion to Stay,.. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B)(Fasman, Zachary) (Entered: 05/12/2011)  |

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| 05/26/2011 | <u>64</u> | MEMORANDUM OF LAW in Opposition re: <u>62</u> MOTION for Reconsideration re; <u>59</u> Order on Motion to Stay,.. Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Klein, Adam) (Entered: 05/26/2011)  |
| 06/06/2011 | <u>65</u> | REPLY to Response to Motion re: <u>62</u> MOTION for Reconsideration re; <u>59</u> Order on Motion to Stay,.. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Fasman, Zachary) (Entered: 06/06/2011)  |
| 06/13/2011 | <u>66</u> | MOTION to Strike <i>Plaintiff's Class Allegations</i> . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. Return Date set for 7/7/2011 at 02:15 PM.(Fasman, Zachary) (Entered: 06/13/2011)   |
| 06/13/2011 | <u>67</u> | FILING ERROR – DEFICIENT DOCKET ENTRY – (SEE DOCUMENT #70) – DECLARATION of Theodore O. Rogers, Jr. in Support re: <u>66</u> MOTION to Strike <i>Plaintiff's Class Allegations</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2, # <u>3</u> Exhibit 3)(Fasman, Zachary) Modified on 6/14/2011 (lb). (Entered: 06/13/2011)                               |
| 06/13/2011 | <u>68</u> | MEMORANDUM OF LAW in Support re: <u>66</u> MOTION to Strike <i>Plaintiff's Class Allegations</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2, # <u>3</u> Exhibit 3)(Fasman, Zachary) (Entered: 06/13/2011)   |
| 06/13/2011 | <u>69</u> | CERTIFICATE OF SERVICE of Notice of Motion, Memorandum of Law in Support of Defendants' Motion to Strike and Declaration of Theodore O. Rogers, Jr. served on Outten & Golden, LLP & Lieff, Cabraser, Heimann & Bernstein, LLP on 06/13/11. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Fasman, Zachary) (Entered: 06/13/2011)  |
| 06/13/2011 | <u>70</u> | DECLARATION of Theodore O. Rogers, Jr. in Support re: <u>66</u> MOTION to Strike <i>Plaintiff's Class Allegations</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit A Redacted, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C, # <u>4</u> Exhibit D)(Fasman, Zachary) (Entered: 06/13/2011)   |
| 06/14/2011 | <u>71</u> | ORDER REFERRING CASE TO MAGISTRATE JUDGE. Order that case be referred to the Clerk of Court for assignment to a Magistrate Judge for General Pretrial (includes scheduling, discovery, non–dispositive pretrial motions, and settlement) and Dispositive Motion (i.e., motion requiring a Report and Recommendation). Referred to Magistrate Judge James C. Francis. (Signed by Judge Leonard B. Sand on 6/14/11) (rjm) (Entered: 06/14/2011) |
| 06/28/2011 | <u>72</u> | NOTICE of NOTICE OF SUPPLEMENTAL AUTHORITY IN SUPPORT OF DEFENDANTS re: <u>62</u> MOTION for Reconsideration re; <u>59</u> Order on Motion to Stay,.. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit)(Fasman, Zachary) (Entered: 06/28/2011)   |
| 07/07/2011 | <u>73</u> | MEMORANDUM AND ORDER re: <u>62</u> MOTION for Reconsideration re: <u>59</u> Order on Motion to Stay, filed by Goldman, Sachs & Co. and The Goldman Sachs Group, Inc. For the reasons discussed within, the defendants' motion for reconsideration is denied. (Signed by Magistrate Judge James C. Francis on 7/7/2011) Copies Mailed By Chambers. (ab) (Entered: 07/07/2011)  |
| 07/14/2011 | <u>74</u> | MEMORANDUM OF LAW in Opposition re: <u>66</u> MOTION to Strike <i>Plaintiff's Class Allegations</i> .. Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Klein, Adam) (Entered: 07/14/2011)   |
| 07/14/2011 | <u>75</u> | DECLARATION of Adam T. Klein in Opposition re: <u>66</u> MOTION to Strike <i>Plaintiff's Class Allegations</i> .. Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C)(Klein, Adam) (Entered: 07/14/2011)   |
| 07/21/2011 | <u>76</u> | MEMORANDUM OF LAW re: <u>59</u> Order on Motion to Stay, <i>In Support of Appeal of Magistrate Judge's Memorandum and Order</i> . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Certificate of Service)(Fasman, Zachary) (Entered: 07/21/2011)  |
| 07/21/2011 | <u>77</u> | DECLARATION of Erin E. LaRuffa in Support re: <u>76</u> Memorandum of Law, Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..   |

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|            |           | (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2, # <u>3</u> Certificate of Service)(Fasman, Zachary) (Entered: 07/21/2011)  |
| 07/21/2011 | <u>78</u> | REPLY MEMORANDUM OF LAW in Support re: <u>66</u> MOTION to Strike <i>Plaintiff's Class Allegations</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Certificate of Service)(Fasman, Zachary) (Entered: 07/21/2011)   |
| 07/21/2011 | <u>79</u> | DECLARATION of Supplemental Declaration of Theodore O. Rogers in Support re: <u>66</u> MOTION to Strike <i>Plaintiff's Class Allegations</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> A, # <u>2</u> B Pt. 1, # <u>3</u> B Pt. 2, # <u>4</u> Certificate of Service)(Fasman, Zachary) (Entered: 07/21/2011)   |
| 07/21/2011 | <u>80</u> | CERTIFICATE OF SERVICE of Revised Certificate of Service for Reply Memorandum of Law in Support of Defendants' Motion to Strike Plaintiff's Class Allegations served on Adam T. Klein; Cara E. Greene; Jennifer L. Liu of Outten & Golden, LLP and Kelly M. Dermody; Anne B. Shaver; Heather H. Wong of Lieff, Cabraser, Heimann & Bernstein, LLP on 07/21/2011. Service was made by Court's ECF System. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Fasman, Zachary) (Entered: 07/21/2011)                              |
| 07/21/2011 | <u>81</u> | CERTIFICATE OF SERVICE of Revised Certificate of Service for Supplemental Declaration of Theodore O. Rogers, Esq. served on Adam T. Klein; Cara E. Greene; Jennifer L. Liu of Outten & Golden, LLP and Kelly M. Dermody; Anne B. Shaver; Heather H. Wong of Lieff, Cabraser, Heimann & Bernstein, LLP on 07/21/2011. Service was made by Court's ECF System. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Fasman, Zachary) (Entered: 07/21/2011)  |
| 07/22/2011 | <u>82</u> | MOTION to Strike <i>All Class Allegations and for Partial Summary Judgment as to Plaintiffs' Disparate Impact Claim Under Title VII</i> . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. Return Date set for 8/4/2011 at 02:15 PM.(Fasman, Zachary) (Entered: 07/22/2011)  |
| 07/22/2011 | <u>83</u> | DECLARATION of Theodore O. Rodgers Jr. in Support of Defendants' Motion to Strike All Class Allegations and for Partial Summary Judgment as to Plaintiffs' Disparate Impact Claim. in Support re: <u>82</u> MOTION to Strike <i>All Class Allegations and for Partial Summary Judgment as to Plaintiffs' Disparate Impact Claim Under Title VII</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C)(Fasman, Zachary) (Entered: 07/22/2011) |
| 07/22/2011 | <u>84</u> | MEMORANDUM OF LAW in Support re: <u>82</u> MOTION to Strike <i>All Class Allegations and for Partial Summary Judgment as to Plaintiffs' Disparate Impact Claim Under Title VII</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Fasman, Zachary) (Entered: 07/22/2011)   |
| 07/22/2011 | <u>85</u> | RULE 56.1 STATEMENT. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Fasman, Zachary) (Entered: 07/22/2011)  |
| 07/22/2011 | <u>86</u> | CERTIFICATE OF SERVICE of Notice of Motion, Declaration of Theodore O. Rodgers, Jr in Support of Motion, Memorandum of Law in Support of Motion, Rule 56.1 Statement served on Outten & Golden, LLP and Lieff, Cabraser, Heimann & Bernstein, LLP on 7/22/2011. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Fasman, Zachary) (Entered: 07/22/2011)   |
| 07/25/2011 | <u>87</u> | NOTICE OF CHANGE OF ADDRESS by Zachary D. Fasman on behalf of Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. New Address: Paul Hastings LLP, 75 East 55th Street, New York, New York, United States of America 10022, 212-318-6000. (Fasman, Zachary) (Entered: 07/25/2011)  |
| 07/25/2011 | <u>88</u> | MEMORANDUM OF LAW in Support re: <u>82</u> MOTION to Strike <i>All Class Allegations and for Partial Summary Judgment as to Plaintiffs' Disparate Impact Claim Under Title VII</i> . ( <i>Corrected</i> ). Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Fasman, Zachary) (Entered: 07/25/2011)  |
| 07/25/2011 | <u>89</u> | CERTIFICATE OF SERVICE of Corrected Memorandum of Law in Support served on Outten & Golden LLP and Lieff, Cabraser Heimann & Bernstein LLP on 7/25/2011. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group,  |

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|            |           | Inc.. (Fasman, Zachary) (Entered: 07/25/2011)  |
| 07/25/2011 | <u>90</u> | DECLARATION of Theodore O. Rogers, Jr. (Corrected) in Support re: <u>66</u> MOTION to Strike <i>Plaintiff's Class Allegations</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B–Part 1, # <u>3</u> Errata B–Part 2, # <u>4</u> Certificate of Service)(Fasman, Zachary) (Entered: 07/25/2011)   |
| 07/25/2011 | <u>91</u> | REPLY MEMORANDUM OF LAW in Support re: <u>66</u> MOTION to Strike <i>Plaintiff's Class Allegations</i> . (Corrected). Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Certificate of Service)(Fasman, Zachary) (Entered: 07/25/2011)   |
| 07/27/2011 |           | Minute Entry for proceedings held before Magistrate Judge James C. Francis: Interim Pretrial Conference held on 7/27/2011. (lmb) (Entered: 07/29/2011)   |
| 08/01/2011 | <u>92</u> | JOINT STIPULATION RE: BRIEFING SCHEDULE, 1. Plaintiffs will provide Defendants with a copy of their proposed First Amended Complaint by August 5, 2011, and Defendants will advise Plaintiffs by August 10, 2011 whether they will consent to its filing, understanding that their consent if provided will not waive any objections to or grounds for requesting relief with respect to that Complaint; and 2. All further submissions on the Motion to Strike are deferred pending Plaintiffs' filing by August 17, 2011 of either a First Amended Complaint based on written consent or a Motion to Amend the Complaint; and 3. Plaintiffs will be permitted to file an opposition to the Appeal no later than August 19, 2011; and 4. Defendants will be permitted to reply in support of the Appeal no later than September 9, 2011; and 5. The Appeal will be returnable on September 22, 2011 at 2:15 p.m., should the Court wish to hear argument. (Signed by Judge Leonard B. Sand on 8/1/11) (pl) Modified on 8/1/2011 (pl). (Entered: 08/01/2011)   |
| 08/10/2011 | <u>93</u> | FILING ERROR – ELECTRONIC FILING FOR NON–ECF DOCUMENT – NOTICE of JOINT STIPULATION RE FIRST AMENDED COMPLAINT. Document filed by H. Christina Chen–Oster, Goldman, Sachs & Co., Shanna Orlich, Lisa Parisi, The Goldman Sachs Group, Inc.. (Shaver, Anne) Modified on 8/11/2011 (ka). (Entered: 08/10/2011)   |
| 08/11/2011 |           | ***NOTE TO ATTORNEY TO RE–FILE DOCUMENT – NON–ECF DOCUMENT ERROR. Note to Attorney Anne B. Shaver to E–MAIL to judgments@nysd.uscourts.gov., Document No. <u>93</u> Joint Stipulation regarding First Amended Complaint. This document is not filed via ECF. (ka) (Entered: 08/11/2011)  |
| 08/11/2011 | <u>94</u> | NOTICE OF APPEARANCE by Carmelyn Pingol Malalis on behalf of H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi (Malalis, Carmelyn) (Entered: 08/11/2011)   |
| 08/16/2011 | <u>96</u> | JOINT STIPULATION REGARDING FIRST AMENDED COMPLAINT: withdrawing <u>82</u> Motion to Strike Document No. <u>84</u> . 1. Defendants hereby consent to the filling by Plaintiffs of their proposed First Amended Complaint by August 10, 2011, with the understanding that such consent does not waive any objections to or grounds for requesting relief with respect to that Complaint and specifically reserving all of Defendants' rights with respect to that Complaint; and 2. In light of the filing of the First Amended Complaint, the pending Motion to Strike and for Partial Summary Judgment will be withdrawn and Defendants will file a Motion to Strike and for Partial Summary Judgment directed at the allegations of the First Amended Complaint and 3. Defendants will file their Motion to Strike and for Partial Summary Judgment directed at the allegations of the First Amended Complaint by September 8, 2011, Plaintiffs will file opposition papers by September 29, 2011 and Defendants will file reply papers by October 11, 2011 and 4. Defendant's time to Answer the First Amended Complaint is hereby adjourned until 14 days after the Court's decision on Defendant's Motion to Strike and for Partial Summary Judgment directed at the allegations of the First Amended Complaint. SO ORDERED. (Signed by Judge George B. Daniels on 8/15/2011) (ama) (Entered: 08/16/2011) |
| 08/16/2011 |           | Set/Reset Deadlines: Replies due by 10/11/2011. (ama) (Entered: 08/16/2011)  |
| 08/19/2011 | <u>97</u> | MEMORANDUM OF LAW in Opposition re: <u>76</u> Memorandum of Law, <i>In Opposition to Defendants' Appeal of Magistrate Judge's Memorandum and Order</i> . Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Klein, Adam) (Entered: 08/19/2011)  |

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| 09/08/2011 | <u>98</u>  | MOTION to Strike <i>all Class Allegations in Plaintiffs' First Amended Complaint and for Partial Summary Judgment as to Plaintiffs' Disparate Impact Claim Under Title VII</i> . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. Return Date set for 10/13/2011 at 02:15 PM.(Fasman, Zachary) (Entered: 09/08/2011)  |
| 09/08/2011 | <u>99</u>  | DECLARATION of Theodore O. Rogers, Jr. in Support re: <u>98</u> MOTION to Strike <i>all Class Allegations in Plaintiffs' First Amended Complaint and for Partial Summary Judgment as to Plaintiffs' Disparate Impact Claim Under Title VII</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B Pt. 1, # <u>3</u> Exhibit B Pt. 2, # <u>4</u> Exhibit C, # <u>5</u> Exhibit D, # <u>6</u> Exhibit E)(Fasman, Zachary) (Entered: 09/08/2011)   |
| 09/08/2011 | <u>100</u> | RULE 56.1 STATEMENT. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Fasman, Zachary) (Entered: 09/08/2011)   |
| 09/08/2011 | <u>101</u> | MEMORANDUM OF LAW in Support re: <u>98</u> MOTION to Strike <i>all Class Allegations in Plaintiffs' First Amended Complaint and for Partial Summary Judgment as to Plaintiffs' Disparate Impact Claim Under Title VII</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Fasman, Zachary) (Entered: 09/08/2011)   |
| 09/09/2011 | <u>102</u> | REPLY MEMORANDUM OF LAW re: <u>97</u> Memorandum of Law in Opposition <i>In Support of Defendants' Appeal of Magistrate Judge's Memorandum and Order</i> . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Fasman, Zachary) (Entered: 09/09/2011)   |
| 09/12/2011 | <u>103</u> | ORDER GRANTING PERMISSION TO FILE COMPLAINT UNDER SEAL. Plaintiffs are granted permission to file under seal the First Amended Class Action Complaint and attached exhibits filed on August 11, 2011. The Clerk is directed to accept a redacted copy of the First Amended Class Action Complaint and attached corrected exhibits for filing on the public docket. (Signed by Judge Leonard B. Sand on 9/12/11) (rjm) (Entered: 09/12/2011)   |
| 09/12/2011 |            | Transmission to Sealed Records Clerk. Transmitted re: <u>103</u> Order to the Sealed Records Clerk for the sealing or unsealing of document or case. (rjm) (Entered: 09/12/2011)  |
| 09/19/2011 | <u>104</u> | FIRST AMENDED COMPLAINT amending <u>5</u> Complaint against Goldman, Sachs & Co., The Goldman Sachs Group, Inc. with JURY DEMAND.Document filed by Lisa Parisi, H. Christina Chen-Oster, Shanna Orlich. Related document: <u>5</u> Complaint filed by Shanna Orlich, H. Christina Chen-Oster, Lisa Parisi.(lmb) (Entered: 09/21/2011)   |
| 09/29/2011 | <u>105</u> | REPORT AND RECOMMENDATIONS re: <u>66</u> MOTION to Strike <i>Plaintiff's Class Allegations</i> . filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc. Objections to R&R due by 10/17/2011. I recommend that the defendants motion to strike the class allegations of Ms. Chen-Oster (Docket no. 66) be denied. Pursuant to 28 U.S.C. § 636(b)(1) and Rules 72, 6(a), and 6(d) of the Federal Rules of Civil Procedure, the parties shall have fourteen (14) days from this date to file written objections to this Report and Recommendation. Such to file written objections to this Report and Recommendation. Such objections shall be filed with the Clerk of the Court, with extra copies delivered to the chambers of the Honorable Leonard B. Sandi Room 1650, and to the chambers of the undersigned, Room 1960, 500 Pearl Street, New York, New York 10007. Failure to file timely objections will preclude appellate review. (Signed by Magistrate Judge James C. Francis on 9/29/2011) Copies Mailed By Chambers. (pl) Modified on 9/29/2011 (pl). (Entered: 09/29/2011) |
| 09/29/2011 | <u>106</u> | OPPOSITION BRIEF <i>Plaintiffs' Opposition to Defendants' Motion to Strike All Class Allegations in Plaintiffs' First Amended Complaint and for Partial Summary Judgment as to Plaintiffs' Disparate Impact Claim Under Title VII</i> . Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi.(Shaver, Anne) (Entered: 09/29/2011)  |
| 09/29/2011 | <u>107</u> | DECLARATION of Adam T. Klein in Support re: <u>106</u> Opposition Brief,. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C, # <u>4</u> Exhibit D)(Shaver, Anne) (Entered: 09/29/2011)  |

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| 09/29/2011 | <u>108</u> | COUNTER STATEMENT TO <u>100</u> Rule 56.1 Statement. Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Shaver, Anne) (Entered: 09/29/2011)  |
| 10/04/2011 | <u>109</u> | ENDORSED LETTER addressed to Magistrate Judge James C. Francis IV from Adam T. Klein dated 6/21/2011 re: We represent Plaintiffs in the above-captioned gender discrimination putative class action. We write to respond to Defendants' letter dated June 13,2011 requesting a pre-motion conference with the Court to discuss the scope of discovery. ENDORSEMENT: Until the ability of Plaintiffs Parisi and Chen–Oster to assert class claims is finally determined, class discovery shall be limited to the period after January 1, 2007, to the Securities Division, and to the positions of Associate and Vice President. This does not preclude plaintiffs from taking discovery regarding the policies of Goldman Sachs to determine if the same promotion and compensation protocols challenged here are utilized in other divisions and with respect to other levels of employees. SO ORDERED. (Signed by Magistrate Judge James C. Francis on 10/04/2011) (ama) Modified on 10/7/2011 (ama). (Entered: 10/04/2011) |
| 10/04/2011 | 110        | Letter addressed to Magistrate James C. Francis from Theodore O Roger, Jr. dated 6/13/11 re: Counsel for the defendants writes pursuant to Rule 37.2 to request a pre-motion conference. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.(mro) (Entered: 10/05/2011)   |
| 10/06/2011 |            | Set/Reset Hearings: Oral Argument set for 10/25/2011 at 11:00 AM in Courtroom 15A, 500 Pearl Street, New York, NY 10007 before Judge Leonard B. Sand. (rjm) (Entered: 10/06/2011)   |
| 10/06/2011 | <u>111</u> | NOTICE OF APPEARANCE by Cyrus E. Dugger on behalf of H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi (Dugger, Cyrus) (Entered: 10/06/2011)  |
| 10/11/2011 | <u>112</u> | REPLY MEMORANDUM OF LAW in Support re: <u>98</u> MOTION to Strike <i>all Class Allegations in Plainiffs' First Amended Complaint and for Partial Summary Judgment as to Plaintiffs' Disparate Impact Claim Under Title VII.</i> . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Fasman, Zachary) (Entered: 10/11/2011)  |
| 10/14/2011 | <u>113</u> | NOTICE OF APPEARANCE by Michael Peter Reis on behalf of Goldman, Sachs & Co., The Goldman Sachs Group, Inc. (Reis, Michael) (Entered: 10/14/2011)   |
| 10/17/2011 | <u>114</u> | OBJECTION to <u>105</u> Report and Recommendations Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2, # <u>3</u> Exhibit 3, # <u>4</u> Exhibit 4, # <u>5</u> Exhibit 5, # <u>6</u> Exhibit 6, # <u>7</u> Exhibit 7)(Fasman, Zachary) (Entered: 10/17/2011)  |
| 10/28/2011 | <u>115</u> | NOTICE OF APPEARANCE by Dana Gale Sussman on behalf of H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi (Sussman, Dana) (Entered: 10/28/2011)  |
| 10/31/2011 | <u>116</u> | RESPONSE re: <u>114</u> Objection to Report and Recommendations, <i>Plaintiffs' Memorandum in Response to Defendants' Objections to the Magistrate Judge's Report and Recommendation Regarding Defendants' Motion to Strike Plaintiff Chen–Oster's Class Allegations.</i> Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Klein, Adam) (Entered: 10/31/2011)  |
| 10/31/2011 | <u>117</u> | ENDORSED LETTER addressed to Judge Leonard B. Sand from Barbara B. Brown dated 11/2/2011 re: counsel for defendants Goldman Sachs requests leave to file a Reply Memorandum consisting of no more than 10 pages. ENDORSEMENT: Reply memo may be filed. Plaintiff may file a response within next 15 days. (Signed by Judge Leonard B. Sand on 11/2/2011). (pl) (Entered: 11/02/2011)  |
| 11/07/2011 | <u>118</u> | ENDORSED LETTER addressed to Judge Leonard B. Sand from Barbara B. Brown dated 11/2/11 re: Counsel for the defendants requests the Court's leave to file a Reply Memorandum of Law in support of Goldman Sachs' objections to the Magistrate Judge's Report and Recommendation regarding defendants' motion to strike. Counsel proposes to submit the Reply within 7 days after receiving leave, if it is granted. ENDORSEMENT: Time to file reply memorandum is granted. So ordered. (Signed by Judge Leonard B. Sand on 11/7/2011) (mro) (Entered: 11/07/2011)  |
| 11/09/2011 | <u>119</u> | REPLY re: <u>116</u> Response,. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C)(Fasman,  |

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|            |            | Zachary) (Entered: 11/09/2011)  |
| 11/15/2011 | <u>120</u> | MEMO ENDORSEMENT on <u>23</u> MOTION to Stay <i>Plaintiff Parisi's Claims and Compel Individual Arbitration</i> filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc. ENDORSEMENT: After full briefing and oral argument the motion is denied. (Signed by Judge Leonard B. Sand on 11/14/2011) (cd) (Entered: 11/15/2011)  |
| 11/17/2011 | <u>121</u> | REPLY re: <u>119</u> Reply <i>Plaintiffs' Sur-Reply in Response to Defendants' Objections to the Magistrate Judge's Report and Recommendations Regarding Defendants' Motion to Strike Plaintiff Chen-Oster's Class Allegations</i> . Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Klein, Adam) (Entered: 11/17/2011)   |
| 11/18/2011 | <u>122</u> | TRANSCRIPT of Proceedings re: Argument held on 11/14/2011 before Judge Leonard B. Sand. Court Reporter/Transcriber: Andrew Walker, (212) 805-0300. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 12/12/2011. Redacted Transcript Deadline set for 12/22/2011. Release of Transcript Restriction set for 2/19/2012.(McGuirk, Kelly) (Entered: 11/18/2011)   |
| 11/18/2011 | <u>123</u> | NOTICE OF FILING OF OFFICIAL TRANSCRIPT Notice is hereby given that an official transcript of a Argument proceeding held on 11/14/11 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days...(McGuirk, Kelly) (Entered: 11/18/2011)   |
| 12/05/2011 | <u>124</u> | TRANSCRIPT of Proceedings re: argument held on 11/14/2011 before Judge Leonard B. Sand. Court Reporter/Transcriber: Andrew Walker, (212) 805-0300. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 12/29/2011. Redacted Transcript Deadline set for 1/8/2012. Release of Transcript Restriction set for 3/7/2012.(McGuirk, Kelly) (Entered: 12/05/2011)  |
| 12/05/2011 | <u>125</u> | NOTICE OF FILING OF OFFICIAL TRANSCRIPT Notice is hereby given that an official transcript of a Argument proceeding held on 11/14/11 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days...(McGuirk, Kelly) (Entered: 12/05/2011)   |
| 12/09/2011 |            | Minute Entry for proceedings held before Magistrate Judge James C. Francis: Telephone Conference held on 12/9/2011. (jfe) (Entered: 12/12/2011)   |
| 12/13/2011 | <u>126</u> | NOTICE OF APPEAL from <u>120</u> Memo Endorsement. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc. Filing fee \$ 455.00, receipt number 465401024331. Form C and Form D are due within 14 days to the Court of Appeals, Second Circuit. (tp) (Entered: 12/14/2011)  |
| 12/14/2011 |            | Transmission of Notice of Appeal and Certified Copy of Docket Sheet to US Court of Appeals re: <u>126</u> Notice of Appeal. (tp) (Entered: 12/14/2011)  |
| 12/14/2011 |            | Appeal Record Sent to USCA (Electronic File). Certified Indexed record on Appeal Electronic Files for <u>108</u> Counter Statement to Rule 56.1 filed by Shanna Orlich, H. Christina Chen-Oster, Lisa Parisi, <u>50</u> Notice (Other) filed by Shanna Orlich, H. Christina Chen-Oster, Lisa Parisi, <u>17</u> Order on Motion to Appear Pro Hac Vice, <u>81</u> Certificate of Service Other, filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc., <u>123</u> Notice of Filing Transcript, <u>88</u> Memorandum of Law in Support of Motion, filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc., <u>77</u> Declaration in Support filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc., <u>86</u> Certificate of Service Other, filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc., <u>84</u> Memorandum of Law in Support of Motion, filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc., <u>25</u> Notice of Appearance filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc., <u>36</u> Rule 26(f) Discovery |

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12/19/2011

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NOTICE of Supplemental Authority in Support of Defendants' Motion to Strike all Class Allegations in Plaintiffs' First Amended Complaint and for Partial Summary Judgment as to Plaintiffs' Disparate Impact Claim under Title VII re: 98 MOTION to Strike *all Class Allegations in Plaintiffs' First Amended Complaint and for Partial Summary Judgment as to Plaintiffs' Disparate Impact Claim Under Title VII.* Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Fasman, Zachary) (Entered: 12/19/2011)

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| 12/19/2011 | <u>128</u> | NOTICE of Supplemental Authority re: <u>98</u> MOTION to Strike <i>all Class Allegations in Plaintiffs' First Amended Complaint and for Partial Summary Judgment as to Plaintiffs' Disparate Impact Claim Under Title VII.</i> Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B)(Klein, Adam) (Entered: 12/19/2011)  |
| 01/04/2012 | <u>129</u> | ORDER REFERRING CASE TO MAGISTRATE JUDGE. Order that case be referred to the Clerk of Court for assignment to a Magistrate Judge for Specific Non-Dispositive Motion/Dispute: Defendants' Motion to Strike All Class Allegations in Plaintiffs' First Amended Complaint and for Partial Summary Judgment as to Plaintiffs' Disparate Impact Claim under Title VII. Referred to Judge James C. Francis IV. (Signed by Judge Leonard B. Sand on 1/4/2012) (ft) (Entered: 01/04/2012)  |
| 01/06/2012 | <u>130</u> | TRANSCRIPT of Proceedings re: Argument held on 12/21/2011 before Judge Leonard B. Sand. Court Reporter/Transcriber: Samuel Mauro, (212) 805-0300. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 1/30/2012. Redacted Transcript Deadline set for 2/9/2012. Release of Transcript Restriction set for 4/9/2012.(McGuirk, Kelly) (Entered: 01/06/2012)  |
| 01/06/2012 | <u>131</u> | NOTICE OF FILING OF OFFICIAL TRANSCRIPT Notice is hereby given that an official transcript of a Argument proceeding held on 12/21/11 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days...(McGuirk, Kelly) (Entered: 01/06/2012)   |
| 01/09/2012 | <u>132</u> | NOTICE of Supplemental Exhibits in Support of Defendants' Motion to Strike All Class Allegations in Plaintiffs' First Amended Complaint and for Partial Summary Judgment as to Plaintiffs' Disparate Impact Claim Under Title VI re: <u>98</u> MOTION to Strike <i>all Class Allegations in Plaintiffs' First Amended Complaint and for Partial Summary Judgment as to Plaintiffs' Disparate Impact Claim Under Title VII.</i> Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2)(Fasman, Zachary) (Entered: 01/09/2012)  |
| 01/10/2012 | <u>133</u> | MEMORANDUM & ORDER: For the above reasons, we affirm Judge Francis' R&R denying Defendants' motion to strike H. Cristina Chen-Oster's class allegations. <u>66</u> Motion to Strike filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc. (Signed by Judge Leonard B. Sand on 1/10/2012) (djc) (Entered: 01/10/2012)   |
| 01/19/2012 | <u>134</u> | REPORT AND RECOMMENDATIONS re: <u>98</u> MOTION to Strike. For the reasons set forth above, I recommend that the defendants motion to strike all class allegations and for partial summary judgment as to plaintiffs disparate impact claim be denied. Pursuant to 28 U.S.C. § 636(b)(1) and Rules 72, 6(a), and 6(d) of the Federal Rules of Civil Procedure, the parties shall have fourteen (14) days from this date to file written objections to this Report and Recommendation. Such objections shall be filed with the Clerk of the Court, with extra copies delivered to the chambers of the Honorable Leonard B. Sand, Room 1650, and to the chambers of the undersigned, Room 1960, 500 Pearl Street, New York, New York 10007. Failure to file timely objections will preclude appellate review. Objections to R&R due by 2/6/2012 (Signed by Magistrate Judge James C. Francis on 1/19/2012) (js) . (Entered: 01/19/2012) |
| 01/26/2012 | <u>136</u> | MOTION for Carson H. Sullivan to Appear Pro Hac Vice. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.(arc) (Entered: 02/07/2012)  |
| 02/02/2012 | <u>135</u> | OBJECTION to <u>134</u> Report and Recommendations Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Fasman, Zachary) (Entered: 02/02/2012)   |
| 02/09/2012 |            | CASHIERS OFFICE REMARK on <u>136</u> Motion to Appear Pro Hac Vice in the amount of \$200.00, paid on 01/26/2012, Receipt Number 1028006. (jd) (Entered: 02/09/2012)  |
| 02/13/2012 | <u>137</u> | NOTICE OF APPEARANCE by Rachel Geman on behalf of H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi (Geman, Rachel) (Entered: 02/13/2012)   |

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| 02/15/2012 | <u>138</u> | ORDER FOR ADMISSION PRO HAC VICE: granting <u>136</u> Motion for Carson H. Sullivan to Appear Pro Hac Vice. (Signed by Judge Leonard B. Sand on 2/15/2012) (jfe) (Entered: 02/15/2012)   |
| 02/16/2012 | <u>139</u> | <b>FILING ERROR – WRONG EVENT TYPE SELECTED FROM MENU – OPPOSITION BRIEF</b> <i>Plaintiffs' Response To Defendants' Objections To The Magistrate Judges Report And Recommendation Regarding Defendants Motion To Strike All Class Allegations In Plaintiffs First Amended Complaint And For Partial Summary Judgment As To Plaintiffs Disparate Impact Claim Under Title VII.</i> Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi.(Shaver, Anne) Modified on 2/17/2012 (ka). (Entered: 02/16/2012)   |
| 02/17/2012 |            | <b>***NOTE TO ATTORNEY TO RE–FILE DOCUMENT – EVENT TYPE ERROR. Note to Attorney Anne B. Shaver to RE–FILE Document <u>139</u> Opposition Brief,, Use the event type Response(non–motion) found under the event list Other Answers. (ka)</b> (Entered: 02/17/2012)  |
| 02/17/2012 | <u>140</u> | RESPONSE re: <u>139</u> Opposition Brief,, Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Shaver, Anne) (Entered: 02/17/2012)   |
| 02/24/2012 | <u>141</u> | NOTICE of Supplemental Authority re: <u>140</u> Response. Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A)(Dermody, Kelly) (Entered: 02/24/2012)   |
| 02/28/2012 | <u>142</u> | MEMO ENDORSEMENT on Motion to Withdraw as Attorney. Plaintiffs, by and through their attorneys, hereby notify the Court that Mariko Hirose is no longer associated with the law firm of Outten & Golden LLP and should be withdrawn as attorney of record in the above–captioned matter. The undersigned requests that all further electronic notices to Mariko Hirose in the above–referenced matter be terminated. Plaintiffs continue to be represented by the undersigned counsel Outten & Golden LLP. ENDORSEMENT: So ordered. (Signed by Judge Leonard B. Sand on 2/28/2012) (mro) (Entered: 02/28/2012) |
| 04/04/2012 | <u>143</u> | ORDER: A pretrial conference having been held on April 4, 2012, it is hereby ORDERED as follows: 1. Plaintiffs shall file their motion to compel discovery by May 2, 2012. 2. Defendants shall answer the motion by May 30, 2012. 3. Plaintiffs shall reply by June 13, 2012. ( Motions due by 5/2/2012, Responses due by 5/30/2012, Replies due by 6/13/2012.) (Signed by Magistrate Judge James C. Francis on 4/4/2012) Copies Mailed by Chambers. (djc) (Entered: 04/04/2012)   |
| 04/04/2012 |            | Minute Entry for proceedings held before Magistrate Judge James C. Francis: Interim Pretrial Conference held on 4/4/2012. (jfe) (Entered: 04/09/2012)  |
| 04/20/2012 | <u>144</u> | NOTICE of Supplemental Authority re: <u>135</u> Objection to Report and Recommendations, <u>98</u> MOTION to Strike <i>all Class Allegations in Plainiffs' First Amended Complaint and for Partial Summary Judgment as to Plaintiffs' Disparate Impact Claim Under Title VII.</i> , <u>140</u> Response. Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B)(Klein, Adam) (Entered: 04/20/2012)   |
| 05/02/2012 | <u>145</u> | MOTION to Compel Goldman Sachs to Produce. Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi.(Shaver, Anne) (Entered: 05/02/2012)  |
| 05/02/2012 | <u>146</u> | MEMORANDUM OF LAW in Support re: <u>145</u> MOTION to Compel Goldman Sachs to Produce.. Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Shaver, Anne) (Entered: 05/02/2012)  |
| 05/02/2012 | <u>147</u> | DECLARATION of Anne B. Shaver in Support re: <u>145</u> MOTION to Compel Goldman Sachs to Produce.. Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C, # <u>4</u> Exhibit D, # <u>5</u> Exhibit E, # <u>6</u> Exhibit F, # <u>7</u> Exhibit G, # <u>8</u> Exhibit H)(Shaver, Anne) (Entered: 05/02/2012)   |
| 05/15/2012 | <u>148</u> | NOTICE of Supplemental Authority. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Fasman, Zachary) (Entered: 05/15/2012)   |
| 05/29/2012 | <u>149</u> | TRANSCRIPT of Proceedings re: argument held on 5/22/2012 before Judge Leonard B. Sand. Court Reporter/Transcriber: Tara Jones, (212) 805–0300. Transcript may be   |

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|            |            | viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 6/22/2012. Redacted Transcript Deadline set for 7/2/2012. Release of Transcript Restriction set for 8/30/2012.(McGuirk, Kelly) (Entered: 05/29/2012)   |
| 05/29/2012 | <u>150</u> | NOTICE OF FILING OF OFFICIAL TRANSCRIPT Notice is hereby given that an official transcript of a Argument proceeding held on 5/22/12 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days...(McGuirk, Kelly) (Entered: 05/29/2012)  |
| 05/30/2012 | <u>151</u> | RESPONSE in Opposition re: <u>145</u> MOTION to Compel Goldman Sachs to Produce.. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Brown, Barbara) (Entered: 05/30/2012)   |
| 05/30/2012 | <u>152</u> | DECLARATION of Barbara B. Brown in Opposition re: <u>145</u> MOTION to Compel Goldman Sachs to Produce.. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit Ex. 1, # <u>2</u> Exhibit Ex. 2, # <u>3</u> Exhibit Ex. 3, # <u>4</u> Exhibit Ex. 4, # <u>5</u> Exhibit Ex. 5)(Brown, Barbara) (Entered: 05/30/2012)   |
| 06/11/2012 | <u>153</u> | ENDORSED LETTER addressed to Magistrate Judge James C. Francis from Cyrus E. Dugger dated 6/7/2012 re: We write to request an extension of time for Plaintiffs to file a reply brief in support of Plaintiffs' Motion to Compel Discovery of Data and Documents. ENDORSEMENT: Application granted., ( Replies due by 6/27/2012.) (Signed by Magistrate Judge James C. Francis on 6/11/2012) (lmb) (Entered: 06/11/2012)   |
| 06/27/2012 | <u>154</u> | REPLY MEMORANDUM OF LAW in Support re: <u>145</u> MOTION to Compel Goldman Sachs to Produce.. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Klein, Adam) (Entered: 06/27/2012)  |
| 06/27/2012 | <u>155</u> | DECLARATION of Adam T. Klein in Support re: <u>145</u> MOTION to Compel Goldman Sachs to Produce.. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C)(Klein, Adam) (Entered: 06/27/2012)  |
| 06/28/2012 | <u>156</u> | ENDORSED LETTER addressed to Magistrate Judge James C Francis from Cyrus E Dugger dated 6/27/2012 re: Plaintiffs in the above-referenced matter request that Exhibit A to the Reply Declaration of Adam T. Klein in Support of Plaintiffs' Motion to Compel Discovery of Data and Documents ("Reply Declaration") be filed temporarily under seal pursuant to the Protective Order and Confidentiality Agreement so ordered by the Court on March 18,2011, Docket No. 51. ENDORSEMENT: Application granted. (Signed by Magistrate Judge James C. Francis on 6/28/2012) (cd) (Entered: 06/28/2012) |
| 07/05/2012 | <u>157</u> | SEALED DOCUMENT placed in vault.(mps) (Entered: 07/05/2012)   |
| 07/17/2012 | <u>158</u> | OPINION AND ORDER re: <u>98</u> MOTION to Strike <i>all Class Allegations in Plaintiffs' First Amended Complaint and for Partial Summary Judgment as to Plaintiffs' Disparate Impact Claim Under Title VII.</i> filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc. For the foregoing reasons, Goldman's motion to strike Plaintiff's 23(b)(2) class allegations is GRANTED. Goldman's motions are DENIED in all other respects. (Signed by Judge Leonard B. Sand on 7/16/2012) (djc) (Entered: 07/17/2012)  |
| 08/08/2012 |            | Minute Entry for proceedings held before Magistrate Judge James C. Francis: Discovery Hearing held on 8/8/2012. (pl) (Entered: 08/13/2012)  |
| 09/10/2012 | <u>159</u> | MEMORANDUM AND ORDER granting in part and denying in part <u>145</u> Motion to Compel. The plaintiffs' motion to compel (Docket no. 145) is granted to the extent that Goldman Sachs shall provide the requested information for employees in the revenue-generating divisions from the current PeopleSoft database, the CRS database, the FRS database, and the MD Selection database, for the time periods identified above. Provided that Goldman Sachs agrees not to argue for purposes of class  |

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|            |            | certification that information in the older PeopleSoft database is materially different from that in the current database, it need not now produce information from the earlier database. With respect to the non-database documents, the plaintiffs' motion is denied without prejudice to renewal when it can be demonstrated that Goldman Sachs has failed to produce specific types of relevant documents. (Signed by Magistrate Judge James C. Francis on 9/10/2012) Copies Mailed By Chambers. (ft) (Entered: 09/10/2012)   |
| 09/10/2012 | 160        | Letter addressed to Magistrate James C Francis from Barbara Berish Brown dated 8/10/2012 re: plaintiffs request for discovery extending back 12 years. Document filed by Goldman, Sachs & Co.(cd) (Entered: 09/13/2012)   |
| 10/09/2012 | <u>161</u> | ANSWER to <u>104</u> Amended Complaint,. Document filed by The Goldman Sachs Group, Inc..(Brown, Barbara) (Entered: 10/09/2012)   |
| 10/10/2012 | <u>162</u> | ORDER OF AUTOMATIC REFERRAL TO MEDIATION (See M-10-468 Order filed January 3, 2011). Please reference the following when corresponding with the Mediation Office. E-mail MediationOffice@nysd.uscourts.gov, telephone (212) 805-0643, and facsimile (212) 805-0647. Mediator to be Assigned by 10/22/2012. (Signed by Judge Loretta A. Preska on 1/3/11) (jno) (Entered: 10/10/2012)  |
| 10/15/2012 | <u>163</u> | NOTICE OF CASE REASSIGNMENT to Judge Jesse M. Furman. Judge Leonard B. Sand is no longer assigned to the case. (pgu) (Entered: 10/15/2012)  |
| 10/22/2012 | <u>164</u> | ORDER: It is hereby ORDERED that unless notified otherwise by the Court, any Scheduling Order or Case Management Plan remains in effect notwithstanding the case's transfer, except that any currently scheduled conference or oral argument with the Court is adjourned until further notification. Each party must submit a letter to the Court, not to exceed five pages, updating the Court on the status of the case no later than 11/5/2012. (Signed by Judge Jesse M. Furman on 10/22/2012) (tro) (Entered: 10/23/2012)  |
| 10/25/2012 |            | NOTICE OF MEDIATOR ASSIGNMENT – Notice of assignment of mediator. Mediator Schedule due by 11/26/2012.(jno) (Entered: 10/25/2012)   |
| 11/05/2012 |            | Minute Entry for proceedings held before Magistrate Judge James C. Francis: Telephone Conference held on 11/5/2012. (ja) (Entered: 11/09/2012)  |
| 11/09/2012 | <u>165</u> | Letter addressed to Judge Jesse M. Furman from Adam T. Klein and Kelly M. Dermody dated 11/5/2012 re: We represent Plaintiffs in the above-referenced action and submit this status letter to the Court pursuant to your Honor's October 22, 2012 Order. Dkt. No. 164.. (mt) (Entered: 11/09/2012)  |
| 11/09/2012 | <u>166</u> | ENDORSED LETTER addressed to Judge Jesse M. Furman from Barbara Berish Brown and Theodore O. Rogers, Jr. dated 11/5/2012 re: Paul Hastings LLP, together with Sullivan & Cromwell LLP, represent Defendants Goldman, Sachs & Co. and The Goldman Sachs Group, Inc. (collectively, "Goldman Sachs") in this matter. We write pursuant to the Court's October 22, 2012 Order, to provide information concerning the status of the case. Because of the confidential nature of the "Status of Settlement Negotiations" section below, we respectfully request that the Court treat this letter as confidential, including by not posting it on the Court's CM/ECF system. ENDORSEMENT: In light of the common law right of access to judicial documents, Defendants' request to keep this letter confidential is DENIED, as there is nothing confidential or sensitive about the information disclosed herein. (Signed by Judge Jesse M. Furman on 11/9/2012) (mt) (Entered: 11/09/2012) |
| 11/20/2012 | <u>167</u> | ORDER: Counsel having submitted competing proposed case management schedules, it is hereby ORDERED as follows: 1. Database production. Defendants shall complete production of data (in complete, readable, and useable form) from the PeopleSoft, Compensation Recommendations System, Firmwide Review System, and MD Selection databases by February 15, 2013. 2. Non-expert discovery. All non-expert discovery, including all 30(b) (6) depositions, shall be completed by July 15, 2013. 3. Expert discovery. a. Plaintiffs shall produce their expert reports by June 14, 2013. b. Depositions of plaintiffs' experts shall be completed by July 15, 2013. c. Defendants shall produce their expert reports by July 31, 2013. d. Depositions of defendants' experts shall be completed by August 30, 2013. e. Plaintiffs shall submit expert rebuttal reports by September 16, 2013. 3. Class certification briefing schedule. a.   |

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|            |            | Plaintiffs shall move for class certification by October 15, 2013. b. Defendants shall answer the class certification motion by November 29, 2013. c. Plaintiffs shall reply by December 20, 2013., ( Deposition due by 8/30/2013., Discovery due by 7/15/2013., Motions due by 10/15/2013., Responses due by 11/29/2013., Replies due by 12/20/2013.) (Signed by Magistrate Judge James C. Francis on 11/20/2012) Copies Mailed By Chambers. (lmb) (Entered: 11/20/2012)   |
| 11/29/2012 |            | MEDIATOR SESSION SCHEDULED First Mediation Session scheduled for 3/5/13 at 10:30 a.m. at Mediator's Office.(jno) (Entered: 11/29/2012)  |
| 12/10/2012 |            | MEDIATOR SESSION NOT HELD Mediator Session scheduled for 3/5/2013 at Mediator's Office was NOT held.(jno) (Entered: 12/10/2012)   |
| 12/10/2012 |            | MEDIATOR SESSION SCHEDULED First Mediation Session scheduled for 3/6/13 at 10:00 a.m. at Mediator's Office.(jno) (Entered: 12/10/2012)  |
| 03/08/2013 |            | Mediator Session Held on 03/06/2013 at Mediator's Office.(ms) (Entered: 03/08/2013)   |
| 03/14/2013 | <u>168</u> | ENDORSED LETTER addressed to Magistrate Judge James C. Francis from Adam T. Klein dated 3/13/2013 re: I write on behalf of both plaintiffs and defendants, to request a 90-day continuance of the case management schedule entered by Your Honor's Order dated November 20, 2012, in light of the ongoing mediation ordered by Judge Furman. ENDORSEMENT: Application denied without prejudice to the parties' submitting a revised schedule that modifies interim deadlines but does not alter the date upon which the class certification motion must be fully submitted (December 20, 2013). (Signed by Magistrate Judge James C. Francis on 3/14/2013) (lmb) (Entered: 03/14/2013)  |
| 03/20/2013 | <u>169</u> | FIRST MOTION for Lisa J. Cisneros to Appear Pro Hac Vice <i>for Plaintiffs</i> . Filing fee \$ 200.00, receipt number 0208-8339977. <b>Motion and supporting papers to be reviewed by Clerk's Office staff.</b> Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Text of Proposed Order, # <u>2</u> Certificate of Good Standing)(Geman, Rachel) (Entered: 03/20/2013)   |
| 03/20/2013 |            | <b>&gt;&gt;&gt;NOTICE REGARDING PRO HAC VICE MOTION. Regarding Document No. <u>169</u> FIRST MOTION for Lisa J. Cisneros to Appear Pro Hac Vice <i>for Plaintiffs</i>. Filing fee \$ 200.00, receipt number 0208-8339977. Motion and supporting papers to be reviewed by Clerk's Office staff.. The document has been reviewed and there are no deficiencies. (bcu)</b> (Entered: 03/20/2013)   |
| 03/21/2013 | 170        | ORDER granting <u>169</u> Motion for Lisa J. Cisneros to Appear Pro Hac Vice (HEREBY ORDERED by Judge Jesse M. Furman)(Text Only Order) (Furman, Jesse) (Entered: 03/21/2013)   |
| 03/21/2013 | <u>171</u> | OPINION of USCA as to <u>126</u> Notice of Appeal, filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc. USCA Case Number 11-5229-cv. Appeal from an order of the United States District Court for the Southern District of New York denying Defendants' motion to compel arbitration. The District Court denied the motion because it believed that individual arbitration would preclude the Plaintiff from vindicating a right under Title VII to be free from a pattern or practice of discrimination. We reverse the District Court's ruling and remand for further proceedings consistent with this opinion. Catherine O'Hagan Wolfe, Clerk USCA for the Second Circuit. Certified: 03/21/2013. (nd) (Entered: 03/21/2013) |
| 03/25/2013 | <u>172</u> | AMENDED CASE MANAGEMENT ORDER: Motions due by 10/15/2013. Responses due by 11/29/2013. Replies due by 12/20/2013. Deposition due by 6/16/2014. Discovery due by 6/16/2014. (Signed by Magistrate Judge James C. Francis on 3/25/2013) (lmb) Modified on 3/25/2013 (lmb). (Entered: 03/25/2013)  |
| 04/10/2013 | <u>173</u> | ENDORSED LETTER addressed to Magistrate Judge James C. Francis from Cyrus E. Dugger dated 4/9/2013 re: Plaintiffs in the above-referenced matter request permission to file a letter and associated exhibits under seal pursuant to the Protective Order and Confidentiality Agreement so ordered by the Court on March 18, 2011, Docket No. 51. ENDORSEMENT: Application granted. (Signed by Magistrate Judge James C. Francis on 4/10/2013) (lmb) (Entered: 04/10/2013)   |
| 04/12/2013 | 174        | SEALED DOCUMENT placed in vault.(nm) (Entered: 04/12/2013)  |

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| 04/15/2013 | <u>175</u> | MANDATE of USCA (Certified Copy) as to <u>126</u> Notice of Appeal, filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc. USCA Case Number 11-5229. Ordered, Adjudged and Decreed that the order of the District Court is REVERSED and the case is REMANDED in accordance with the opinion of this court. Catherine O'Hagan Wolfe, Clerk USCA for the Second Circuit. Issued As Mandate: 4/15/2013. (Attachments: # <u>1</u> Opinion)(nd) (Entered: 04/15/2013)   |
| 04/15/2013 |            | Transmission of USCA Mandate/Order to the District Judge re: <u>175</u> USCA Mandate., (nd) (Entered: 04/15/2013)  |
| 04/15/2013 |            | Minute Entry for proceedings held before Magistrate Judge James C. Francis: Telephone Conference held on 4/15/2013. (mro) (Entered: 04/18/2013)  |
| 04/22/2013 | <u>176</u> | ENDORSED LETTER addressed to Magistrate Judge James C. Francis from Barbara Berish Brown dated 4/22/2013 re: Defendants in the above-referenced matter request permission to file a letter under seal pursuant to the Protective Order and Confidentiality Agreement ordered by the Court on March 18, 2011 (Docket No. 51). ENDORSEMENT: Application granted. (Signed by Magistrate Judge James C. Francis on 4/22/2013) (lmb) (Entered: 04/22/2013)  |
| 04/30/2013 | <u>177</u> | ENDORSED LETTER addressed to Magistrate Judge James C. Francis from Cyrus E. Dugger dated 4/25/2013 re: Plaintiffs in the above-referenced matter request permission to file a letter under seal pursuant to the Protective Order and Confidentiality Agreement so ordered by the Court on March 18, 2011, Docket No. 51. ENDORSEMENT: Application granted. (Signed by Magistrate Judge James C. Francis on 4/29/2013) (lmb) (Entered: 04/30/2013)   |
| 04/30/2013 | <u>178</u> | SEALED DOCUMENT placed in vault.(mps) (Entered: 04/30/2013)  |
| 05/15/2013 | <u>179</u> | SEALED DOCUMENT placed in vault.(nm) (Entered: 05/15/2013)   |
| 05/24/2013 | <u>181</u> | NOTICE OF CASE REASSIGNMENT to Judge Analisa Torres. Judge Jesse M. Furman is no longer assigned to the case. (pgu) (Entered: 05/24/2013)  |
| 05/31/2013 |            | Minute Entry for proceedings held before Magistrate Judge James C. Francis: Discovery Hearing held on 5/31/2013. (ja) (Entered: 06/07/2013)  |
| 06/06/2013 | <u>182</u> | Costs Taxed as to <u>175</u> USCA Mandate, USCA Case Number 11-5229. in the amount of \$1,585.77. Docketed as Judgment #13,1171 on 6/6/2013 in favor of Appellants Goldman, Sachs & Co., The Goldman Sachs Group, Inc against Appellees Lisa Parisi, Shanna Orlich, H. Christina Chen-Oster. (nd) (Entered: 06/06/2013)  |
| 06/11/2013 |            | Minute Entry for proceedings held before Magistrate Judge James C. Francis: Telephone Conference held on 6/11/2013. (lmb) (Entered: 06/18/2013)  |
| 06/18/2013 | <u>183</u> | MEMORANDUM AND ORDER: For the reasons discussed above, the plaintiffs' application for an order compelling Goldman Sachs to disclose information relating to the Diversity Objects fields is denied without prejudice to renewal if and when the plaintiffs are able to proffer evidence that would negate Goldman Sachs' claim of privilege. (Signed by Magistrate Judge James C. Francis on 6/18/2013) Copies Mailed By Chambers. (lmb) (Entered: 06/18/2013)  |
| 06/24/2013 | <u>184</u> | AMENDED CASE MANAGEMENT ORDER: Motions due by 12/16/2013. Responses due by 2/13/2014. Replies due by 3/6/2014. Deposition due by 8/29/2014. Discovery due by 8/29/2014. No further extensions. (Signed by Magistrate Judge James C. Francis on 6/19/2013) (lmb) (Entered: 06/24/2013)  |
| 06/26/2013 | <u>185</u> | TRANSCRIPT of Proceedings held on 5/31/2013 before Magistrate Judge James C. Francis IV. Court Reporter/Transcriber: Carole Ludwig, (212) 420-0771. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 7/22/2013. Redacted Transcript Deadline set for 8/1/2013. Release of Transcript Restriction set for 9/27/2013.(jab) (Entered: 06/26/2013) |
| 07/10/2013 | <u>186</u> | TRANSCRIPT of Proceedings re: Proceedings held on 5/31/2013 before Magistrate Judge James C. Francis IV. Court Reporter/Transcriber: Carole Ludwig, (212) 420-0771. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript  |



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|            |            | Restriction. After that date it may be obtained through PACER. Redaction Request due 8/5/2013. Redacted Transcript Deadline set for 8/15/2013. Release of Transcript Restriction set for 10/11/2013.(sdi) (Entered: 07/10/2013)  |
| 07/10/2013 | <u>187</u> | NOTICE OF FILING OF OFFICIAL TRANSCRIPT Notice is hereby given that an official transcript of a Proceedings proceeding held on 5/31/2013 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days...(sdi) (Entered: 07/10/2013)   |
| 07/17/2013 | <u>188</u> | LETTER addressed to Judge Analisa Torres from Cyrus E. Dugger dated 7/16/2013 re: We represent the Plaintiffs in the above-captioned matter. Plaintiffs write to notify the Court that Dana Sussman is no longer associated with the law firm of Outten & Golden LLP as of December 21, 2012. Plaintiffs request that Ms. Sussman be withdrawn as attorney of record in the above-captioned matter and that all further electronic notices in the matter to Ms. Sussman be terminated. Plaintiffs continue to be represented by Outten & Golden, LLP and Lieff Cabraser Heimann & Bernstein, LLP. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (rsh) (Entered: 07/17/2013) |
| 08/15/2013 | 189        | SEALED DOCUMENT placed in vault.(nm) (Entered: 08/15/2013)   |
| 08/23/2013 | <u>190</u> | ENDORSED LETTER addressed to Magistrate Judge James C. Francis from Kelly M. Dermody dated 8/20/2013 re: Pursuant to the Protective Order and Confidentiality Agreement so ordered by the Court on March 18, 2011, Docket No. 51, Plaintiffs in the above-referenced matter request permission to file under seal their reply letter and accompanying exhibits in support of their July 29, 2013 letter seeking discovery relief. ENDORSEMENT: Application granted. (Signed by Magistrate Judge James C. Francis on 8/23/2013) (lmb) (Entered: 08/23/2013)   |
| 08/23/2013 |            | Transmission to Sealed Records Clerk. Transmitted re: <u>190</u> Endorsed Letter, to the Sealed Records Clerk for the sealing or unsealing of document or case. (lmb) (Entered: 08/23/2013)  |
| 09/10/2013 | <u>191</u> | LETTER addressed to Magistrate Judge James C. Francis IV from Kelly M. Dermody dated September 10, 2013 re: Schedule. Document filed by H. Christina Chen-Oster, Shanna Orlich.(Dermody, Kelly) (Entered: 09/10/2013)  |
| 09/11/2013 | <u>192</u> | LETTER addressed to Magistrate Judge James C. Francis IV from Theodore O. Rogers, Jr. dated September 11, 2013 re: Response to Letter from Plaintiffs' Counsel. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Rogers, Theodore) (Entered: 09/11/2013)   |
| 09/12/2013 |            | Minute Entry for proceedings held before Magistrate Judge James C. Francis: Discovery Hearing held on 9/12/2013. (sc) (Entered: 09/16/2013)  |
| 09/12/2013 |            | Minute Entry for proceedings held before Magistrate Judge James C. Francis: Discovery Hearing held on 9/12/2013. (sc) (Entered: 10/28/2013)  |
| 09/23/2013 | <u>193</u> | TRANSCRIPT of Proceedings re: proceedings held on 9/12/2013 before Magistrate Judge James C. Francis IV. Court Reporter/Transcriber: Carole Ludwig, (212) 420-0771. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 10/18/2013. Redacted Transcript Deadline set for 10/28/2013. Release of Transcript Restriction set for 12/26/2013.(sdi) (Entered: 09/23/2013)   |
| 09/23/2013 | <u>194</u> | NOTICE OF FILING OF OFFICIAL TRANSCRIPT Notice is hereby given that an official transcript of a proceedings proceeding held on 9/12/2013 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days...(sdi) (Entered: 09/23/2013)   |



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| 09/30/2013 | <u>195</u> | NOTICE of Stipulation and Proposed Amended Case Management Order. Document filed by H. Christina Chen–Oster, Goldman, Sachs & Co., Shanna Orlich, Lisa Parisi, The Goldman Sachs Group, Inc.. (Shaver, Anne) (Entered: 09/30/2013)   |
| 10/15/2013 | <u>196</u> | MEMORANDUM AND ORDER: For the reasons stated above, Goldman Sachs shall provide plaintiffs' counsel with all internal complaints that are "conceivably related" to gender discrimination made by female employees in the four revenue–generating divisions, regardless of whether the complainant is a member of the putative class. In accordance with the discussion above, "conceivably related" shall include, but is not limited to, any complaints that contain explicit gender discrimination language as well as any complaints that pertain to compensation, promotion, or performance evaluation where a female employee compared herself or a female colleague to one or more male colleagues. All complaints shall be turned over to plaintiffs' counsel subject to the protective order guiding discovery in this case, without the redaction of names. (Signed by Magistrate Judge James C. Francis on 10/15/2013) Copies Mailed By Chambers. (tn) (Entered: 10/15/2013) |
| 10/24/2013 | 197        | LETTER addressed to Magistrate Judge James C. Francis IV from Barbara Berish Brown and Theodore O. Rogers, Jr dated 8/15/13 re: Counsel writes in response to plaintiffs 7/29/13 letter requesting a pre–motion conference with the Court for the purpose of seeking to compel discovery of information concerning internal complaints by Goldman Sachs employees extending to issues unrelated to gender and raised by employees who are not members of the punitive class. ***Accepted for filing as a docket and filed by Chambers. (mro) (Entered: 10/24/2013)   |
| 10/24/2013 | 198        | LETTER addressed to Magistrate Judge James C. Francis IV from Kelly M. Dermody dated 8/20/13 re: Counsel writes in response to defendants opposition to Plaintiffs 7/29/13 letter requesting discovery relief. ***Accepted for filing as a docket and filed by Chambers. (mro) (Entered: 10/24/2013)   |
| 10/28/2013 | <u>199</u> | ENDORSED LETTER addressed to Magistrate Judge James C. Francis IV from Cyrus E. Dugger dated 10/28/2013 re: Counsel for plaintiffs requests permission to file a letter and associated exhibits under seal pursuant to the Protective Order and Confidentiality Agreement so ordered by the Court on March 18, 2011, ECF No. 50. ENDORSEMENT: Application granted. (Signed by Magistrate Judge James C. Francis on 10/28/2013) (tn) (Entered: 10/28/2013)  |
| 10/30/2013 | 200        | SEALED DOCUMENT placed in vault.(mps) (Entered: 10/30/2013)  |
| 10/30/2013 | <u>201</u> | STIPULATION AND AMENDED CASE MANAGEMENT ORDER: Depositions of plaintiffs' class experts due by 11/20/2013. Depositions of defendants' class experts due by 1/3/2014. Motion for class certification due by 1/17/2014; Responses due by 2/17/2014; and Replies due by 3/6/2014. All non–expert discovery due by 8/29/2014, and as further set forth in this Order. No further extensions. (Signed by Magistrate Judge James C. Francis on 10/30/2013) (tn) Modified on 11/1/2013 (tn). (Entered: 10/30/2013)  |
| 11/11/2013 | <u>202</u> | MOTION for Neal D. Mollen to Appear Pro Hac Vice. <b>Motion and supporting papers to be reviewed by Clerk's Office staff.</b> Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Certificate of Good Standing Supreme Court of Virginia, # <u>2</u> Certificate of Good Standing District of Columbia Court of Appeals, # <u>3</u> Text of Proposed Order)(Mollen, Neal) (Entered: 11/11/2013)  |
| 11/12/2013 |            | <b>&gt;&gt;&gt;NOTICE REGARDING DEFICIENT MOTION TO APPEAR PRO HAC VICE. Notice regarding Document No. <u>202</u> MOTION for Neal D. Mollen to Appear Pro Hac Vice. Motion and supporting papers to be reviewed by Clerk's Office staff.. The filing is deficient for the following reason(s): Filing fee not paid. Pay the filing fee by selecting the Pro Hac Vice Fee Payment event from the Other Documents menu item. (wb)</b> (Entered: 11/12/2013)  |
| 11/12/2013 |            | Pro Hac Vice Fee Paid electronically via Pay.gov: for <u>202</u> MOTION for Neal D. Mollen to Appear Pro Hac Vice. <b>Motion and supporting papers to be reviewed by Clerk's Office staff.</b> Filing fee \$ 200.00. Pay.gov receipt number 02089065308, paid on 11/11/2013. (wb) (Entered: 11/12/2013)  |
| 11/13/2013 | 203        | ORDER granting <u>202</u> Motion for Neal D. Mollen to Appear Pro Hac Vice (HEREBY ORDERED by Magistrate Judge James C. Francis)(Text Only Order) (Francis, James) Modified on 11/13/2013 (tro). (Entered: 11/13/2013)   |

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| 11/13/2013 | 204        | SEALED DOCUMENT placed in vault.(mps) (Entered: 11/14/2013)   |
| 12/03/2013 | <u>205</u> | ENDORSED LETTER addressed to Magistrate Judge James C. Francis IV from Cyrus E. Dugger dated 11/22/2013 re: Counsel for plaintiffs request permission to file a letter and associated exhibits under seal pursuant to the Protective Order and Confidentiality Agreement. ENDORSEMENT: Application granted. (Signed by Magistrate Judge James C. Francis on 11/27/2013) (tn) (Entered: 12/03/2013)  |
| 12/03/2013 | 206        | SEALED DOCUMENT placed in vault.(mps) (Entered: 12/03/2013)   |
| 12/11/2013 | <u>207</u> | MEMORANDUM AND ORDER: For the reasons set forth above, plaintiffs shall answer Defendants' Second Interrogatories nos. 2, 4, 6, 8, 10, 12, 14, 16, 18, 20, 22, and 24 within two weeks of the date of this order. Plaintiffs need not answer Defendants Second Interrogatories nos. 3, 5, 7, 9, 11, 13, 15, 17, 19, 21, or 23. (Signed by Magistrate Judge James C. Francis on 12/11/2013) Copies Mailed By Chambers. (tn) (Entered: 12/11/2013)  |
| 12/11/2013 |            | Minute Entry for proceedings held before Magistrate Judge James C. Francis: Telephone Conference held on 12/11/2013. (sc) (Entered: 12/12/2013)   |
| 12/12/2013 | 208        | LETTER addressed to Magistrate Judge James C. Francis IV from Barbara Berish Brown and Theodore O. Rogers dated 11/12/2013 re: Goldman Sachs requests that this Court issue an order compelling Plaintiffs to respond to Goldman Sachs' Second Set of Interrogatories. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc. ***Docket and file instructions from chambers. (tn) (Entered: 12/12/2013)  |
| 12/12/2013 | 209        | LETTER addressed to Magistrate Judge James C. Francis IV from Kelly M. Dermody dated 11/25/2013 re: Plaintiffs request that the Court deny Defendants' motion to compel. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. ***Docket and file instructions from chambers. (tn) Modified on 12/12/2013 (tn). (Entered: 12/12/2013)  |
| 12/12/2013 | 210        | LETTER addressed to Magistrate Judge James C. Francis IV from Barbara Berish Brown and Theodore O. Rogers dated 12/9/2013 re: Defendants write in reply to Plaintiffs 11/25/2013 letter in opposition to Defendants' request for an order directing Plaintiffs to respond to Goldman Sachs' Second Set of Interrogatories. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc. ***Docket and file instructions from chambers. (tn) Modified on 12/12/2013 (tn). (Entered: 12/12/2013)   |
| 12/12/2013 | <u>211</u> | ENDORSED LETTER addressed to Magistrate Judge James C. Francis IV from Kelly M. Dermody dated 12/11/2013 re: The parties propose the scheduling order be amended as follows: Depositions of defendants' class experts due by 1/8/2014; Plaintiff's motion for class certification due by 1/21/2014, Defendants' opposition due by 2/21/2014; Plaintiffs' reply due by 3/10/2014; Discovery due by 8/29/2014. ENDORSEMENT: The schedule set forth above is adopted. (Signed by Magistrate Judge James C. Francis on 12/12/2013) (tn) (Entered: 12/12/2013) |
| 12/12/2013 |            | Set/Reset Deadlines: Deposition due by 1/8/2014. Discovery due by 8/29/2014. Motions due by 1/21/2014. Responses due by 2/21/2014. Replies due by 3/10/2014. (tn) (Entered: 12/12/2013)   |
| 01/02/2014 | <u>212</u> | ENDORSED LETTER addressed to Magistrate Judge James C. Francis IV from Lisa Cisneros dated 1/2/2014 re: Plaintiffs request permission to file a letter and associated exhibits under seal. ENDORSEMENT: Application granted. (Signed by Magistrate Judge James C. Francis on 1/2/2014) (tn) (Entered: 01/02/2014)   |
| 01/03/2014 | <u>213</u> | NOTICE OF APPEARANCE by Robin D. Fessel on behalf of Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Fessel, Robin) (Entered: 01/03/2014)   |
| 01/06/2014 | 214        | SEALED DOCUMENT placed in vault.(mps) (Entered: 01/06/2014)   |
| 01/06/2014 |            | Minute Entry for proceedings held before Magistrate Judge James C. Francis: Telephone Conference held on 1/6/2014. (sc) (Entered: 01/06/2014)   |
| 01/14/2014 | <u>215</u> | ENDORSED LETTER addressed to Magistrate Judge James C. Francis IV from Kelly M. Dermody dated 1/10/2014 re: the parties have agreed on a new schedule for the class certification briefing. ENDORSEMENT: The above schedule is adopted. With the exception of Dr. Chatman, plaintiff shall submit expert rebuttal reports by January 28, 2014, subject to supplementation with information from the "priority" documents.   |

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|            |            | Dr. Chatman's rebuttal report shall be submitted by February 18, 2014. (Motions due by 2/18/2014, Responses due by 3/18/2014, Replies due by 4/4/2014.) (Signed by Magistrate Judge James C. Francis on 1/14/2014) (tn) (Entered: 01/14/2014)   |
| 01/14/2014 | <u>216</u> | ORDER. It is hereby ORDERED as follows: 1. Defendants need not produce documents describing actions taken as a result of the ELG review. To the extent that plaintiffs seek data about changes from preliminary to final personnel decisions, they already have that information. To the extent they seek information linking such decisions to input from the ELG, the information is protected by the attorney-client privilege. 2. Defendants shall produce documents describing the logistics of the ELG review if they have not already done so. 3. Defendants shall produce a privilege log reflecting all communications between the ELG and managers concerning the ELG review process. Documents may be logged categorically, and counsel are urged to agree on a protocol for categorization. (Signed by Magistrate Judge James C. Francis on 12/3/2013) Copies Mailed By Chambers. (rjm) (Entered: 01/15/2014) |
| 02/07/2014 | <u>217</u> | ENDORSED LETTER addressed to Magistrate Judge James C. Francis IV from Anne B. Shaver dated 2/6/2014 re: Counsel for plaintiffs request to file a letter and associated exhibits under seal. ENDORSEMENT: Application granted. (Signed by Magistrate Judge James C. Francis on 2/7/2014) (tn) (Entered: 02/07/2014)   |
| 02/12/2014 | <u>218</u> | LETTER addressed to Judge Analisa Torres from Barbara B. Brown dated February 12, 2014 re: Motions to Strike Expert Reports. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Brown, Barbara) (Entered: 02/12/2014)   |
| 02/13/2014 | <u>219</u> | LETTER addressed to Judge Analisa Torres from Anne Shaver dated February 13, 2014 re: Chen-Oster, et al. v. Goldman, Sachs & Co., et al., No. 10 Civ. 6950 (AT) (JCF). Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi.(Shaver, Anne) (Entered: 02/13/2014)   |
| 02/14/2014 | <u>220</u> | LETTER addressed to Judge Analisa Torres from Barbara B. Brown and Theodore O. Rogers, Jr. dated February 14, 2014 re: Corrected Letter re: Motions to Strike Expert Reports. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Brown, Barbara) (Entered: 02/14/2014)  |
| 02/18/2014 | <u>221</u> | ENDORSED LETTER addressed to Magistrate Judge James C. Francis IV from Robin D. Fessel dated 2/13/2014 re: Counsel requests permission to file under seal a letter and associated exhibits being submitted by Goldman Sachs to Your Honor in response to a submission made on February 6, 2014 by Plaintiffs that has been sealed. ENDORSEMENT: Application granted. (Signed by Magistrate Judge James C. Francis on 2/18/2014) (tn) (Entered: 02/18/2014)  |
| 02/18/2014 | <u>222</u> | MEMO ENDORSEMENT on re: <u>220</u> Letter, filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc. ENDORSEMENT: Counsel shall jointly propose a schedule for briefing the motions to strike expert reports; The motions shall be submitted to me. The motion for class certification shall be served and filed Thirty (30) days after the motions to strike are decided. (Signed by Magistrate Judge James C. Francis on 2/18/2014) (tn) (Entered: 02/18/2014)   |
| 02/18/2014 | <u>223</u> | LETTER addressed to Magistrate Judge James C. Francis IV from Kelly M. Dermody dated February 18, 2014 re: Request for a Telephonic Conference. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi.(Dermody, Kelly) (Entered: 02/18/2014)  |
| 02/18/2014 | <u>224</u> | MEMORANDUM AND ORDER: Accordingly, the plaintiffs' application is denied. (Signed by Magistrate Judge James C. Francis on 2/18/2014) Copies Mailed By Chambers. (tn) (Entered: 02/18/2014)  |
| 02/25/2014 | <u>225</u> | LETTER addressed to Magistrate Judge James C. Francis IV from Theodore O. Rogers, Jr. and Barbara Berish Brown dated February 25, 2014 re: Motion Schedule. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Rogers, Theodore) (Entered: 02/25/2014)  |
| 02/26/2014 | <u>226</u> | MEMO ENDORSEMENT on re: <u>225</u> Letter filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc. ENDORSEMENT: Application granted. (Signed by Magistrate Judge James C. Francis on 2/26/2014) (djc) (Entered: 02/26/2014)   |

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| 02/26/2014 |            | Set/Reset Deadlines: Responses due by 3/17/2014. Replies due by 3/24/2014. (djc) (Entered: 02/26/2014)  |
| 02/26/2014 |            | Set/Reset Deadlines: Motions due by 3/7/2014. (djc) (Entered: 03/04/2014)   |
| 03/06/2014 | <u>227</u> | NOTICE OF WITHDRAWAL. To the Clerk of this Court and all parties of record: Please enter the withdrawal of Zachary D. Fasman of Proskauer Rose LLP (formerly of Paul Hastings LLP) as counsel of record in the above-referenced case for defendants Goldman Sachs & Company, and THE GOLDMAN SACHS GROUP, INC. Attorney Zachary D. Fasman terminated. (Signed by Judge Analisa Torres on 3/6/2014) (rjm) (Entered: 03/06/2014)  |
| 03/10/2014 | <u>228</u> | ENDORSED LETTER addressed to Magistrate Judge James C. Francis IV from Theodore O. Rogers, Jr. dated 3/7/2014 re: Accordingly, we respectfully request permission to file the motions and related reports, which are enclosed, under seal. ENDORSEMENT: Application granted. (Signed by Magistrate Judge James C. Francis on 3/10/2014) (djc) (Entered: 03/10/2014)   |
| 03/18/2014 | <u>229</u> | ENDORSED LETTER addressed to Magistrate Judge James C. Francis IV from Adam T. Klein dated 3/17/2014 re: Counsel requests to file plaintiffs' opposition briefs, as well as the associated declaration and exhibits, under seal. ENDORSEMENT: Application granted. (Signed by Magistrate Judge James C. Francis on 3/18/2014) (tn) (Entered: 03/18/2014)  |
| 03/19/2014 | 230        | SEALED DOCUMENT placed in vault.(nm) (Entered: 03/19/2014)  |
| 03/20/2014 | <u>231</u> | NOTICE OF APPEARANCE by Melissa Lardo Stewart on behalf of H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Stewart, Melissa) (Entered: 03/20/2014)  |
| 03/25/2014 | <u>232</u> | ENDORSED LETTER addressed to Magistrate Judge James C. Francis IV from Theodore O. Rogers, Jr. dated 3/24/2014 re: We respectfully request permission to file the enclosed reply papers under seal. ENDORSEMENT: Application granted. SO ORDERED. (Signed by Magistrate Judge James C. Francis on 3/25/2014) (kgo) (Entered: 03/25/2014)  |
| 04/03/2014 | <u>233</u> | LETTER addressed to Judge Analisa Torres from Cara E. Greene dated April 3, 2014 re: Withdrawal of Counsel of Record, Cyrus E. Dugger. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi.(Greene, Cara) (Entered: 04/03/2014)   |
| 04/04/2014 | <u>234</u> | MEMO ENDORSEMENT on re: <u>233</u> Letter filed by Shanna Orlich, H. Christina Chen-Oster, Lisa Parisi. ENDORSEMENT: Plaintiffs' request that Cyrus E. Dugger be withdrawn as counsel is GRANTED. The Clerk of Court is directed to remove his appearance from the docket and name from the ECF distribution list. So ordered. Attorney Cyrus E. Dugger terminated. (Signed by Judge Analisa Torres on 4/3/2014) (rjm) (Entered: 04/04/2014)  |
| 04/15/2014 | <u>235</u> | NOTICE of Joint Stipulation and Proposed Order. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Shaver, Anne) (Entered: 04/15/2014)   |
| 04/16/2014 | <u>236</u> | JOINT STIPULATION AND ORDER: IT IS HEREBY STIPULATED AND ORDERED as follows: 1. Defendants hereby withdraw their Motions to Strike; 2. Defendants may submit expert sur-rebuttal reports addressing the topics in Dr. Cascio and Dr. Farber's rebuttal reports that Defendants challenged as improper rebuttal material in their Motions to Strike (Dkt. Nos. 228, 229); 3. Defendants' expert sur-rebuttal reports shall each be limited to text of 25 pages or less; 4. Defendants' expert sur-rebuttal reports shall be served on Plaintiffs no later than May 7, 2014; 5. Defendants' filing of the sur-rebuttal reports will be the final expert submission, unless the parties stipulate to further submissions or Plaintiffs receive permission from Judge Francis to serve further rebuttal; 6. The parties will forego deposition testimony from experts in connection with the reports filed through the sur-rebuttal reports; and 7. The parties shall meet and confer on a schedule for the briefing of Plaintiffs' motion for class certification and shall file a proposed schedule or schedules with the Court by Friday, April 18, 2014. (Signed by Magistrate Judge James C. Francis on 4/16/2014) (djc) Modified on 4/17/2014 (djc). Modified on 4/18/2014 (djc). (Entered: 04/17/2014) |
| 04/18/2014 | <u>237</u> | LETTER addressed to Magistrate Judge James C. Francis IV from Theodore O. Rogers, Jr. and Barbara Berish Brown dated 4/18/2014 re: Proposed Briefing  |

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|            |            | Schedule. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.(Reis, Michael) (Entered: 04/18/2014)  |
| 04/18/2014 | <u>238</u> | LETTER addressed to Magistrate Judge James C. Francis IV from Kelly M. Dermody dated April 18, 2014 re: Proposed Briefing Schedule. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi.(Shaver, Anne) (Entered: 04/18/2014)  |
| 04/21/2014 | <u>239</u> | MEMO ENDORSEMENT on re: <u>238</u> Letter filed by Shanna Orlich, H. Christina Chen-Oster, Lisa Parisi. ENDORSEMENT: The schedule set forth above is adopted as modified. (Signed by Magistrate Judge James C. Francis, IV on 4/21/2014) (dj) Modified on 4/22/2014 (dj). (Entered: 04/21/2014)   |
| 04/21/2014 |            | Set/Reset Deadlines: Motions due by 5/19/2014. Responses due by 6/27/2014. Replies due by 7/18/2014. (dj) (Entered: 04/21/2014)   |
| 05/20/2014 | <u>240</u> | ORDER REFERRING CASE TO MAGISTRATE JUDGE. Order that case be referred to the Clerk of Court for assignment to a Magistrate Judge for General Pretrial (includes scheduling, discovery, non-dispositive pretrial motions, and settlement) and Dispositive Motion (i.e., motion requiring a Report and Recommendation). Particular Motion: Plaintiffs' Motion for Class Certification. Referred to Magistrate Judge James C. Francis. (Signed by Judge Analisa Torres on 5/20/2014) (rjm) (Entered: 05/21/2014)   |
| 05/30/2014 | <u>241</u> | ENDORSED LETTER addressed to Magistrate Judge James C. Francis IV from Kelly M. Dermody and Adam Klein dated 5/19/2014 re: We write to request the Court's permission to file a very brief response to Defendants' expert sur-rebuttal reports. ENDORSEMENT: Application granted. I am interested in a complete record. Now that defendants have the sur-rebuttal reports, they can address them in their response to the class motion. The stipulation does not alter this analysis, as the word "or" implies non-exclusive alternatives. Notably, the stipulation does not use the construction "either...or." SO ORDERED. (Signed by Magistrate Judge James C. Francis on 5/30/2014) (kgo) (Entered: 05/30/2014) |
| 06/10/2014 | <u>243</u> | ORDER striking documents from the record....that Document No. 242 is stricken from the record. My order dated June 10, 2014, Docket no. 242, is stricken, as it contains confidential information that should be filed under seal. (Signed by Magistrate Judge James C. Francis on 6/10/2014) Copies Mailed By Chambers. (tro) (Entered: 06/10/2014)  |
| 06/10/2014 |            | <b>***STRICKEN DOCUMENT. Deleted document number 242 from the case record. The document was stricken from this case pursuant to <u>243</u> Order Striking Document from Record. (tro)</b> (Entered: 06/10/2014)   |
| 06/10/2014 | 244        | SEALED DOCUMENT placed in vault.(rz) (Entered: 06/10/2014)  |
| 06/16/2014 |            | Minute Entry for proceedings held before Magistrate Judge James C. Francis: Telephone Conference held on 6/16/2014. (Bachus, Michael) (Entered: 06/17/2014)   |
| 06/26/2014 | <u>245</u> | ENDORSED LETTER addressed to Magistrate Judge James C. Francis IV from Theodore O. Rogers, Jr. dated 6/26/2014 re: We write, on behalf of both Plaintiffs and Defendants, to confirm the scheduling matters decided by Your Honor during the conference call on June 17, 2014. ENDORSEMENT: The Schedule set forth above is adopted. SO ORDERED., ( Responses due by 7/3/2014, Replies due by 7/24/2014.) (Signed by Magistrate Judge James C. Francis on 6/26/2014) (ama) (Entered: 06/26/2014)  |
| 07/01/2014 | <u>246</u> | MOTION to Certify Class . Document filed by H. Christina Chen-Oster, Shanna Orlich.(Klein, Adam) (Entered: 07/01/2014)  |
| 07/01/2014 | <u>247</u> | MEMORANDUM OF LAW in Support re: <u>246</u> MOTION to Certify Class . . Document filed by H. Christina Chen-Oster, Shanna Orlich. (Klein, Adam) (Entered: 07/01/2014)   |
| 07/01/2014 | <u>248</u> | DECLARATION of Anne B. Shaver in Support re: <u>246</u> MOTION to Certify Class .. Document filed by H. Christina Chen-Oster, Shanna Orlich. (Attachments: # <u>1</u> Exhibit A.1, # <u>2</u> Exhibit A.2, # <u>3</u> Exhibit A.3, # <u>4</u> Exhibit A.4, # <u>5</u> Exhibit A.5, # <u>6</u> Exhibit A.6, # <u>7</u> Exhibit A.7, # <u>8</u> Exhibit A.8, # <u>9</u> Exhibit A.9, # <u>10</u> Exhibit B, # <u>11</u> Exhibit C, # <u>12</u> Exhibit D, # <u>13</u> Exhibit E, # <u>14</u> Exhibit F, # <u>15</u> Exhibit G, # <u>16</u> Exhibit H, # <u>17</u>   |

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|            |            | Exhibit I, # <u>18</u> Exhibit J, # <u>19</u> Exhibit K, # <u>20</u> Exhibit L, # <u>21</u> Exhibit M, # <u>22</u> Exhibit N, # <u>23</u> Exhibit O, # <u>24</u> Exhibit P, # <u>25</u> Exhibit Q, # <u>26</u> Expert Report, # <u>27</u> Expert Report)(Klein, Adam) (Entered: 07/01/2014)  |
| 07/01/2014 | <u>249</u> | DECLARATION of Kelly M. Dermody in Support re: <u>246</u> MOTION to Certify Class .. Document filed by H. Christina Chen–Oster, Shanna Orlich. (Attachments: # <u>1</u> Exhibit A)(Klein, Adam) (Entered: 07/01/2014)  |
| 07/01/2014 | <u>250</u> | DECLARATION of Adam T. Klein in Support re: <u>246</u> MOTION to Certify Class .. Document filed by H. Christina Chen–Oster, Shanna Orlich. (Attachments: # <u>1</u> Exhibit A)(Klein, Adam) (Entered: 07/01/2014)   |
| 07/01/2014 | <u>251</u> | DECLARATION of Lisa Albanese in Support re: <u>246</u> MOTION to Certify Class .. Document filed by H. Christina Chen–Oster, Shanna Orlich. (Klein, Adam) (Entered: 07/01/2014)  |
| 07/01/2014 | <u>252</u> | DECLARATION of Leslie Baggett in Support re: <u>246</u> MOTION to Certify Class .. Document filed by H. Christina Chen–Oster, Shanna Orlich. (Klein, Adam) (Entered: 07/01/2014)   |
| 07/01/2014 | <u>253</u> | DECLARATION of Christina Chen–Oster in Support re: <u>246</u> MOTION to Certify Class .. Document filed by H. Christina Chen–Oster, Shanna Orlich. (Klein, Adam) (Entered: 07/01/2014)   |
| 07/01/2014 | <u>254</u> | DECLARATION of Allison Gamba in Support re: <u>246</u> MOTION to Certify Class .. Document filed by H. Christina Chen–Oster, Shanna Orlich. (Klein, Adam) (Entered: 07/01/2014)  |
| 07/01/2014 | <u>255</u> | DECLARATION of Shanna Orlich in Support re: <u>246</u> MOTION to Certify Class .. Document filed by H. Christina Chen–Oster, Shanna Orlich. (Klein, Adam) (Entered: 07/01/2014)  |
| 07/01/2014 | <u>256</u> | DECLARATION of Lisa Parisi in Support re: <u>246</u> MOTION to Certify Class .. Document filed by H. Christina Chen–Oster, Shanna Orlich. (Klein, Adam) (Entered: 07/01/2014)  |
| 07/01/2014 | <u>257</u> | DECLARATION of Denise Shelley in Support re: <u>246</u> MOTION to Certify Class .. Document filed by H. Christina Chen–Oster, Shanna Orlich. (Klein, Adam) (Entered: 07/01/2014)   |
| 07/01/2014 | <u>258</u> | DECLARATION of Katalin Tischhauser in Support re: <u>246</u> MOTION to Certify Class .. Document filed by H. Christina Chen–Oster, Shanna Orlich. (Klein, Adam) (Entered: 07/01/2014)  |
| 07/01/2014 | <u>259</u> | DECLARATION of Henry S. Farber in Support re: <u>246</u> MOTION to Certify Class .. Document filed by H. Christina Chen–Oster, Shanna Orlich. (Klein, Adam) (Entered: 07/01/2014)  |
| 07/01/2014 | <u>260</u> | DECLARATION of Wayne F. Cascio in Support re: <u>246</u> MOTION to Certify Class .. Document filed by H. Christina Chen–Oster, Shanna Orlich. (Klein, Adam) (Entered: 07/01/2014)  |
| 07/18/2014 | <u>261</u> | LETTER addressed to Magistrate Judge James C. Francis IV from Adam T. Klein dated July 18, 2014 Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi.(Klein, Adam) (Entered: 07/18/2014)  |
| 07/18/2014 | <u>262</u> | ENDORSED LETTER addressed to Magistrate Judge James C. Francis IV from Barbara B. Brown and Theodore O. Rogers, Jr. dated 7/17/2014 re: Under the schedule approved by Your Honor, Defendants' reply papers in support of their June 13, 2014 motions to exclude testimony of Plaintiffs' expert reports are due today. Defendants have previously requested that Your Honor grant an application to file the original motion papers under seal, and we respectfully request permission to file the enclosed reply papers under seal as well. ENDORSEMENT: Application granted. (Signed by Magistrate Judge James C. Francis on 7/18/2014) (djc) (Entered: 07/18/2014) |
| 07/21/2014 | <u>263</u> | MEMO ENDORSEMENT on re: <u>261</u> Letter filed by Shanna Orlich, H. Christina Chen–Oster, Lisa Parisi. ENDORSEMENT: Application granted. (Signed by Magistrate Judge James C. Francis on 7/21/2014) (djc) Modified on 7/21/2014 (djc). (Entered: 07/21/2014)  |

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| 07/21/2014 |            | Set/Reset Deadlines: Replies due by 7/29/2014. (djc) (Entered: 07/21/2014)   |
| 07/25/2014 | <u>264</u> | MEMORANDUM OF LAW in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Appendix Defendants' Memorandum of Law in Opposition to Plaintiffs' Motion for Class Certification -- Appendix A)(Han, Suhana) (Entered: 07/25/2014)   |
| 07/25/2014 | <u>265</u> | DECLARATION of Theodore O. Rogers, Jr. in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2, # <u>3</u> Exhibit 3, # <u>4</u> Exhibit 4, # <u>5</u> Exhibit 4A, # <u>6</u> Exhibit 4B, # <u>7</u> Exhibit 5, # <u>8</u> Exhibit 6, # <u>9</u> Exhibit 7, # <u>10</u> Exhibit 8, # <u>11</u> Exhibit 9 (1 of 2), # <u>12</u> Exhibit 9 (2 of 2), # <u>13</u> Exhibit 9A, # <u>14</u> Exhibit 10, # <u>15</u> Exhibit 10A, # <u>16</u> Exhibit 10B, # <u>17</u> Exhibit 10C, # <u>18</u> Exhibit 10D, # <u>19</u> Exhibit 11, # <u>20</u> Exhibit 11A, # <u>21</u> Exhibit 11B, # <u>22</u> Exhibit 12, # <u>23</u> Exhibit 13, # <u>24</u> Exhibit 14, # <u>25</u> Exhibit 15, # <u>26</u> Exhibit 16, # <u>27</u> Exhibit 16A, # <u>28</u> Exhibit 17, # <u>29</u> Exhibit 18, # <u>30</u> Exhibit 19, # <u>31</u> Exhibit 20, # <u>32</u> Exhibit 21, # <u>33</u> Exhibit 22, # <u>34</u> Exhibit 23, # <u>35</u> Exhibit 24, # <u>36</u> Exhibit 25, # <u>37</u> Exhibit 26, # <u>38</u> Exhibit 27, # <u>39</u> Exhibit 28, # <u>40</u> Exhibit 29, # <u>41</u> Exhibit 30, # <u>42</u> Exhibit 31)(Han, Suhana) (Entered: 07/25/2014) |
| 07/25/2014 | <u>266</u> | DECLARATION of Susan Benz in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)   |
| 07/25/2014 | <u>267</u> | DECLARATION of Jean Marie Bohm in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)  |
| 07/25/2014 | <u>268</u> | DECLARATION of Donald Casturo in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)   |
| 07/25/2014 | <u>269</u> | DECLARATION of Alice Merrill Chester in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)  |
| 07/25/2014 | <u>270</u> | DECLARATION of Darren Cohen in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)   |
| 07/25/2014 | <u>271</u> | DECLARATION of Stephanie Cohen in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)  |
| 07/25/2014 | <u>272</u> | DECLARATION of Nora Creedon in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)   |
| 07/25/2014 | <u>273</u> | DECLARATION of Arianne Criqui in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)   |
| 07/25/2014 | <u>274</u> | DECLARATION of Samantha Davidson in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)  |
| 07/25/2014 | <u>275</u> | DECLARATION of Ashley Eckhard in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)   |
| 07/25/2014 | <u>276</u> | DECLARATION of Celeste Guth in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)   |
| 07/25/2014 | <u>277</u> | DECLARATION of Aime Hendricks in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2, # <u>3</u> Exhibit 3, # <u>4</u> Exhibit 4, # <u>5</u> Exhibit 5 (1 of 4), # <u>6</u> Exhibit 5 (2 of 4), # <u>7</u> Exhibit 5 (3 of 4), # <u>8</u> Exhibit 5 (4 of 4), # <u>9</u> Exhibit 6, # <u>10</u> Exhibit 7, # <u>11</u> Exhibit 8, # <u>12</u> Exhibit 9, # <u>13</u> Exhibit 10, # <u>14</u> Exhibit 11, # <u>15</u>  |



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|            |            | Exhibit 12, # <u>16</u> Exhibit 13, # <u>17</u> Exhibit 14)(Han, Suhana) (Entered: 07/25/2014)   |
| 07/25/2014 | <u>278</u> | DECLARATION of Ritu Kalra in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)   |
| 07/25/2014 | <u>279</u> | DECLARATION of Marie Louise Kirk in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)  |
| 07/25/2014 | <u>280</u> | DECLARATION of John Levene in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)  |
| 07/25/2014 | <u>281</u> | DECLARATION of Todd Lopez in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)   |
| 07/25/2014 | <u>282</u> | DECLARATION of James McNamara in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)   |
| 07/25/2014 | <u>283</u> | DECLARATION of Cathy Obradovich in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)   |
| 07/25/2014 | <u>284</u> | DECLARATION of Craig Packer in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)   |
| 07/25/2014 | <u>285</u> | DECLARATION of Stephen Pierce in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)   |
| 07/25/2014 | <u>286</u> | DECLARATION of Lora Robertson in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)   |
| 07/25/2014 | <u>287</u> | DECLARATION of Peter Craig Russell in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)  |
| 07/25/2014 | <u>288</u> | DECLARATION of Carolyn Sabat in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)  |
| 07/25/2014 | <u>289</u> | DECLARATION of Susan Jane Scher in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/25/2014)   |
| 07/26/2014 | <u>290</u> | DECLARATION of Clare Scherrer in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/26/2014)   |
| 07/26/2014 | <u>291</u> | DECLARATION of Megan Taylor in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/26/2014)   |
| 07/26/2014 | <u>292</u> | DECLARATION of Kirstine Wilson in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/26/2014)  |
| 07/26/2014 | <u>293</u> | <b>FILING ERROR – DEFICIENT DOCKET ENTRY</b> – DECLARATION of Michael P. Curran in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) Modified on 7/28/2014 (db). (Entered: 07/26/2014) |
| 07/26/2014 | <u>294</u> | DECLARATION of Michael A. Champion in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..  |



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|            |            | (Attachments: # <u>1</u> Supplemental Tables)(Han, Suhana) (Entered: 07/26/2014)   |
| 07/26/2014 | <u>295</u> | DECLARATION of Michael A. Campion (Sur–Rebuttal) in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/26/2014)  |
| 07/26/2014 | <u>296</u> | <b>FILING ERROR – DEFICIENT DOCKET ENTRY –</b> DECLARATION of Michael P. Ward in Opposition re: <u>246</u> MOTION to Certify Class . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc. (Attachments: # <u>1</u> Supplement (Part 2 of 2), # <u>2</u> Appendix A, # <u>3</u> Appendix B, # <u>4</u> Appendix C, # <u>5</u> Appendix D, # <u>6</u> Appendix E, # <u>7</u> Appendix F)(Han, Suhana) Modified on 7/28/2014 (db). (Entered: 07/26/2014)   |
| 07/28/2014 |            | <b>***NOTE TO ATTORNEY TO RE–FILE DOCUMENT – DEFICIENT DOCKET ENTRY ERROR. Note to Attorney Suhana S. Han to RE–FILE Document <u>293</u> Declaration in Opposition to Motion. ERROR(S): Incomplete Document/Format (i.e., No Court Type, No Case Number). (db)</b> (Entered: 07/28/2014)   |
| 07/28/2014 |            | <b>***NOTE TO ATTORNEY TO RE–FILE DOCUMENT – DEFICIENT DOCKET ENTRY ERROR. Note to Attorney Suhana S. Han to RE–FILE Document <u>296</u> Declaration in Opposition to Motion. ERROR(S): Incomplete Document/Format (i.e., No Court Type, No Case Number). (db)</b> (Entered: 07/28/2014)   |
| 07/28/2014 | <u>297</u> | DECLARATION of Michael P. Curran in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Han, Suhana) (Entered: 07/28/2014)  |
| 07/28/2014 | <u>298</u> | DECLARATION of Michael P. Ward – Part 1 of 2 in Opposition re: <u>246</u> MOTION to Certify Class .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit Ward Report Part 2 of 2, # <u>2</u> Appendix A, # <u>3</u> Appendix B, # <u>4</u> Appendix C, # <u>5</u> Appendix D, # <u>6</u> Appendix E, # <u>7</u> Appendix F)(Han, Suhana) (Entered: 07/28/2014)   |
| 08/12/2014 | <u>299</u> | MOTION to Strike Document No. <u>264</u> <i>Declarations</i> . Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi.(Shaver, Anne) (Entered: 08/12/2014)  |
| 08/12/2014 | <u>300</u> | ENDORSED LETTER addressed to Magistrate Judge James C. Francis IV from Barbara B. Brown, Therodore O. Rogers, Jr. dated 8/1/2014 re: Request for conference. ENDORSEMENT: This letter is accepted as a sur–reply. No further submissions are appropriate at this time. Counsel can expand on their arguments at oral argument on all pending motions, which will be held on October 1, 2014, at 9:30 a.m. If, after reviewing the class certification papers, I consider further briefing necessary to afford the parties due process, I will request it. SO ORDERED. (Signed by Magistrate Judge James C. Francis on 8/11/2014) (ajs) (Entered: 08/12/2014) |
| 08/12/2014 |            | Set/Reset Hearings: Oral Argument set for 10/1/2014 at 09:30 AM before Magistrate Judge James C. Francis. (ajs) (Entered: 08/12/2014)  |
| 08/12/2014 | <u>301</u> | MEMORANDUM OF LAW in Support re: <u>299</u> MOTION to Strike Document No. <u>264</u> <i>Declarations</i> . . Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Shaver, Anne) (Entered: 08/12/2014)   |
| 08/12/2014 | <u>302</u> | DECLARATION of Cara E. Greene in Support re: <u>299</u> MOTION to Strike Document No. <u>264</u> <i>Declarations</i> .. Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2, # <u>3</u> Exhibit 3, # <u>4</u> Exhibit 4, # <u>5</u> Exhibit 5, # <u>6</u> Exhibit 6, # <u>7</u> Exhibit 7, # <u>8</u> Exhibit 8, # <u>9</u> Exhibit 9, # <u>10</u> Exhibit 10, # <u>11</u> Exhibit 11, # <u>12</u> Exhibit 12, # <u>13</u> Exhibit 13)(Shaver, Anne) (Entered: 08/12/2014)   |
| 08/12/2014 | <u>303</u> | MOTION to Strike Document No. <u>294</u> <i>Expert Report of Dr. Michael A. Campion</i> . Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi.(Shaver, Anne) (Entered: 08/12/2014)   |
| 08/12/2014 | <u>304</u> | MEMORANDUM OF LAW in Support re: <u>303</u> MOTION to Strike Document No. <u>294</u> <i>Expert Report of Dr. Michael A. Campion</i> . . Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Shaver, Anne) (Entered: 08/12/2014)  |

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| 08/12/2014 | <u>305</u> | MOTION to Strike Document No. <u>297</u> <i>Expert Report of Michael Curran</i> . Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi.(Shaver, Anne) (Entered: 08/12/2014)   |
| 08/12/2014 | <u>306</u> | MEMORANDUM OF LAW in Support re: <u>305</u> MOTION to Strike Document No. <u>297</u> <i>Expert Report of Michael Curran</i> . . Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Shaver, Anne) (Entered: 08/12/2014)  |
| 08/12/2014 | <u>307</u> | MOTION to Strike Document No. <u>298</u> <i>Expert Report of Dr. Michael P. Ward</i> . Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi.(Shaver, Anne) (Entered: 08/12/2014)  |
| 08/12/2014 | <u>308</u> | MEMORANDUM OF LAW in Support re: <u>307</u> MOTION to Strike Document No. <u>298</u> <i>Expert Report of Dr. Michael P. Ward</i> . . Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Shaver, Anne) (Entered: 08/12/2014)   |
| 08/12/2014 | <u>309</u> | DECLARATION of Cara E. Greene in Support re: <u>305</u> MOTION to Strike Document No. <u>297</u> <i>Expert Report of Michael Curran</i> ., <u>303</u> MOTION to Strike Document No. <u>294</u> <i>Expert Report of Dr. Michael A. Champion</i> ., <u>307</u> MOTION to Strike Document No. <u>298</u> <i>Expert Report of Dr. Michael P. Ward</i> .. Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2, # <u>3</u> Exhibit 3)(Shaver, Anne) (Entered: 08/12/2014)                    |
| 08/12/2014 | <u>310</u> | REPLY MEMORANDUM OF LAW in Support re: <u>246</u> MOTION to Certify Class . . Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Shaver, Anne) (Entered: 08/12/2014)  |
| 08/12/2014 | <u>311</u> | DECLARATION of Rachel Geman in Support re: <u>310</u> Reply Memorandum of Law in Support of Motion. Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Shaver, Anne) (Entered: 08/12/2014)  |
| 08/12/2014 | <u>312</u> | DECLARATION of Anne B. Shaver in Support re: <u>310</u> Reply Memorandum of Law in Support of Motion. Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A1, # <u>2</u> Exhibit A2, # <u>3</u> Exhibit A3A, # <u>4</u> Exhibit A3B, # <u>5</u> Exhibit A4, # <u>6</u> Exhibit A5, # <u>7</u> Exhibit B, # <u>8</u> Exhibit C, # <u>9</u> Exhibit D, # <u>10</u> Exhibit E, # <u>11</u> Exhibit F, # <u>12</u> Exhibit G)(Shaver, Anne) (Entered: 08/12/2014)  |
| 08/12/2014 | <u>313</u> | DECLARATION of Expert Report of David L. Yermack in Support re: <u>310</u> Reply Memorandum of Law in Support of Motion. Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Shaver, Anne) (Entered: 08/12/2014)   |
| 08/12/2014 | <u>314</u> | DECLARATION of Expert Rebuttal Report of Dr. Henry Farber in Support re: <u>310</u> Reply Memorandum of Law in Support of Motion. Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Shaver, Anne) (Entered: 08/12/2014)  |
| 08/12/2014 | <u>315</u> | DECLARATION of Expert Rebuttal Report of Dr. Wayne Cascio in Support re: <u>310</u> Reply Memorandum of Law in Support of Motion. Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Shaver, Anne) (Entered: 08/12/2014)  |
| 08/12/2014 | <u>316</u> | ENDORSED LETTER addressed to Magistrate Judge James C. Francis IV from Kelly M. Dermody, Adam T. Klein dated 8/5/2014 re: Briefing schedule. ENDORSEMENT: The schedule set forth above is adopted. SO ORDERED. (Brief due by 8/15/2014. Reply to Response to Brief due by 9/24/2014. Responses to Brief due by 9/8/2014) (Signed by Magistrate Judge James C. Francis on 8/11/2014) (ajs) (Entered: 08/12/2014)  |
| 08/12/2014 | <u>317</u> | NOTICE OF APPEARANCE by Robert Joseph Giuffra, Jr on behalf of Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Giuffra, Robert) (Entered: 08/12/2014)  |
| 08/13/2014 | <u>318</u> | MEMORANDUM OF LAW in Opposition re: <u>299</u> MOTION to Strike Document No. <u>264</u> <i>Declarations</i> . . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Appendix A)(Rogers, Theodore) (Entered: 08/13/2014)  |
| 08/13/2014 | <u>319</u> | DECLARATION of Theodore O. Rogers, Jr. in Opposition re: <u>299</u> MOTION to Strike Document No. <u>264</u> <i>Declarations</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2, # <u>3</u> Exhibit 3, # <u>4</u> Exhibit 4, # <u>5</u> Exhibit 5, # <u>6</u> Exhibit 6, # <u>7</u> Exhibit 7, # <u>8</u> Exhibit 8, # <u>9</u> Exhibit 9, # <u>10</u> Exhibit 10, # <u>11</u> Exhibit 11, # <u>12</u> Exhibit 12, # <u>13</u> Exhibit 13, # <u>14</u> Exhibit 14, # <u>15</u> |

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|            |            | Exhibit 15)(Rogers, Theodore) (Entered: 08/13/2014)  |
| 08/22/2014 | <u>320</u> | NOTICE of Supplemental Authority re: <u>246</u> MOTION to Certify Class .. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A)(Shaver, Anne) (Entered: 08/22/2014)  |
| 08/28/2014 | <u>321</u> | RESPONSE re: <u>320</u> Notice (Other) of Supplemental Authority. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Rogers, Theodore) (Entered: 08/28/2014)  |
| 09/05/2014 | <u>322</u> | NOTICE of Plaintiffs' Notice of Supplemental Authority In Support of Plaintiffs' Motion for Class Certification re: <u>246</u> MOTION to Certify Class .. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A)(Shaver, Anne) (Entered: 09/05/2014)   |
| 09/09/2014 | <u>323</u> | MOTION in Limine <i>To Exclude Expert Testimony of David L. Yermack</i> . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Fessel, Robin) (Entered: 09/09/2014)  |
| 09/09/2014 | <u>324</u> | MEMORANDUM OF LAW in Support re: <u>323</u> MOTION in Limine <i>To Exclude Expert Testimony of David L. Yermack</i> . . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Fessel, Robin) (Entered: 09/09/2014)   |
| 09/09/2014 | <u>325</u> | DECLARATION of Robin D. Fessel in Support re: <u>323</u> MOTION in Limine <i>To Exclude Expert Testimony of David L. Yermack</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C)(Fessel, Robin) (Entered: 09/09/2014)  |
| 09/09/2014 | <u>326</u> | MEMORANDUM OF LAW in Opposition re: <u>323</u> MOTION in Limine <i>To Exclude Expert Testimony of David L. Yermack</i> . . Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Shaver, Anne) (Entered: 09/09/2014)   |
| 09/09/2014 | <u>327</u> | DECLARATION of Anne B. Shaver in Opposition re: <u>323</u> MOTION in Limine <i>To Exclude Expert Testimony of David L. Yermack</i> .. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B)(Shaver, Anne) (Entered: 09/09/2014)   |
| 09/10/2014 | <u>328</u> | RESPONSE re: <u>322</u> Notice (Other), <i>Defendants' Response to Plaintiffs' September 5, 2014 Notice of Supplemental Authority</i> . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Rogers, Theodore) (Entered: 09/10/2014)  |
| 09/24/2014 | <u>329</u> | REPLY MEMORANDUM OF LAW in Support re: <u>303</u> MOTION to Strike Document No. <u>294</u> <i>Expert Report of Dr. Michael A. Campion</i> . . Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Geman, Rachel) (Entered: 09/24/2014)   |
| 09/24/2014 | <u>330</u> | DECLARATION of Anne B. Shaver re: <u>329</u> Reply Memorandum of Law in Support of Motion <i>to Exclude Expert Testimony of Dr. Michael Campion</i> . Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A)(Geman, Rachel) (Entered: 09/24/2014)  |
| 09/24/2014 | <u>331</u> | REPLY MEMORANDUM OF LAW in Support re: <u>305</u> MOTION to Strike Document No. <u>297</u> <i>Expert Report of Michael Curran</i> . . Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Geman, Rachel) (Entered: 09/24/2014)   |
| 09/24/2014 | <u>332</u> | DECLARATION of Anne B. Shaver in Support re: <u>331</u> Reply Memorandum of Law in Support of Motion. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C)(Geman, Rachel) (Entered: 09/24/2014)  |
| 09/24/2014 | <u>333</u> | REPLY MEMORANDUM OF LAW in Support re: <u>323</u> MOTION in Limine <i>To Exclude Expert Testimony of David L. Yermack</i> . . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Fessel, Robin) (Entered: 09/24/2014)   |
| 10/01/2014 | <u>334</u> | ORDER: Counsel having submitted proposals for the format of the evidentiary hearing and oral argument on motions for class certification and the exclusion of certain witnesses, it is hereby ORDERED that the proceedings will take place October 22-24, 2014, as further set forth in this order. (Oral Argument set for 10/22/2014 at 09:30 AM before Magistrate Judge James C. Francis. Oral Argument set for 10/22/2014 at 03:00 PM before Magistrate Judge James C. Francis.) (Signed by Magistrate Judge James C. |

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|            |            | Francis on 10/1/2014) (tn) (Entered: 10/02/2014)  |
| 10/03/2014 | <u>335</u> | NOTICE OF APPEARANCE by Beth Deborah Newton on behalf of Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Newton, Beth) (Entered: 10/03/2014)  |
| 10/16/2014 | <u>336</u> | ORDER: In connection with a hearing in this action scheduled for October 22 and 23, 2014, with the possibility of extending to October 24, 2014, defendants have requested permission to bring the following equipment through the freight entrance of the court house on October 21, 2014 for purposes of setting up and testing such equipment: one LCD projector; one 100" screen; one 150" screen; one document camera; two stands (one for document camera and one for projector); three VGA splitters; two VGA inputs; one pair of speakers and two audio outputs; three LCD monitors; one portable Powerpoint "clicker;" one laser pointer; one small table; and one small printer. Defendants have also requested permission to remove the aforementioned equipment through the freight entrance after the hearing concludes on October 23 or 24, 2014. Defendants' request is hereby granted. (Signed by Magistrate Judge James C. Francis on 10/16/2014) (tn) (Entered: 10/16/2014) |
| 10/16/2014 | <u>337</u> | ORDER: that the following attorney(s) are authorized to bring the Personal Electronic Device(s) and/or the General Purpose Computing Device(s) (collectively, "Devices") listed below into the Courthouse for use in a proceeding or trial in the action captioned Chen Oster et ano v. Goldman, Sachs & Co. et ano, No. 10cv6950, on October 21–24, 2014: Robert Giuffra – blackberry; Beth Newton – laptop and blackberry; Barbara Brown – blackberry; Robin Fessel – blackberry; Suhana Han – four laptops and blackberry; Neal Mollen – blackberry; Gena Palumbo – blackberry; Theodore Rogers – blackberry; Jonathan Sokotch – blackberry; Carson Sullivan – iPhone; Kevin Witney – blackberry. (Signed by Magistrate Judge James C. Francis on 10/16/2014) (tn) (Entered: 10/16/2014)   |
| 10/16/2014 | <u>338</u> | ORDER: that the following attorney(s) are authorized to bring the Personal Electronic Device(s) and/or the General Purpose Computing Device(s) (collectively, "Devices") listed below into the Courthouse for use in a proceeding or trial in the action captioned Chen–Oster et al v. Goldman, Sachs & Co. et al, No. 10–cv6950, on October 22–24, 2014: Adam T. Klein – cell phone, laptop; Cara E. Greene – cell phone, laptop; Melissa L. Stewart – cell phone, laptop; Anne Shaver – cell phone, laptop; Kelly Dermody – cell phone, laptop; Rachel Geman – cell phone, laptop. (Signed by Magistrate Judge James C. Francis on 10/16/2014) (tn) (Entered: 10/16/2014)   |
| 10/19/2014 | <u>339</u> | NOTICE of Supplemental Authority. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit A)(Newton, Beth) (Entered: 10/19/2014)  |
| 10/21/2014 | <u>340</u> | ORDER: In connection with a hearing in this action scheduled for October 22 and 23, 2014, with the possibility of extending to October 24, 2014, Plaintiffs have requested permission for their litigation support representative Hazel Mottershead to bring the following equipment to the court house on October 21, 2014 for purposes of testing such equipment: one laptop computer and associated VGA cords. (Signed by Magistrate Judge James C. Francis on 10/21/2014) (tn) (Entered: 10/21/2014)  |
| 10/21/2014 | <u>341</u> | ORDER: that the following attorney(s) are authorized to bring the Personal Electronic Device(s) and/or the General Purpose Computing Device(s) (collectively, "Devices") listed below into the Courthouse for use in a proceeding or trial in the action captioned Chen Oster et ano v. Goldman, Sachs & Co. et ano, No. 10cv6950, on October 22–24, 2014: Greg Palm – blackberry; Austin Raynor – four laptops. (Signed by Magistrate Judge James C. Francis on 10/21/2014) (tn) (Entered: 10/21/2014)   |
| 10/21/2014 | <u>342</u> | RESPONSE re: <u>339</u> Notice (Other) <i>Plaintiffs' Response to Notice of Supplemental Authority</i> . Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Geman, Rachel) (Entered: 10/21/2014)   |
| 10/22/2014 |            | Minute Entry for proceedings held before Magistrate Judge James C. Francis: Oral Argument held on 10/22/2014 re: <u>246</u> MOTION to Certify Class . filed by Shanna Orlich, H. Christina Chen–Oster. (Bacchus, Michael) (Entered: 10/22/2014)   |
| 10/23/2014 |            | Minute Entry for proceedings held before Magistrate Judge James C. Francis: Daubert Hearing held on 10/23/2014 re: Expert Report of Dr. Ward, Expert Report of Dr. Farber (Bacchus, Michael) (Entered: 10/23/2014)  |

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| 11/05/2014 | <a href="#"><u>343</u></a> | TRANSCRIPT of Proceedings re: Trial held on 10/22/2014 before Magistrate Judge James C. Francis IV. Court Reporter/Transcriber: Lisa Picciano Fellis, (212) 805-0300. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 12/1/2014. Redacted Transcript Deadline set for 12/11/2014. Release of Transcript Restriction set for 2/6/2015.(McGuirk, Kelly) (Entered: 11/05/2014) |
| 11/05/2014 | <a href="#"><u>344</u></a> | NOTICE OF FILING OF OFFICIAL TRANSCRIPT Notice is hereby given that an official transcript of a Trial proceeding held on 10/22/2014 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days...(McGuirk, Kelly) (Entered: 11/05/2014)                                 |
| 11/05/2014 | <a href="#"><u>345</u></a> | TRANSCRIPT of Proceedings re: Trial held on 10/23/2014 before Magistrate Judge James C. Francis IV. Court Reporter/Transcriber: Lisa Picciano Fellis, (212) 805-0300. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 12/1/2014. Redacted Transcript Deadline set for 12/11/2014. Release of Transcript Restriction set for 2/6/2015.(McGuirk, Kelly) (Entered: 11/05/2014) |
| 11/05/2014 | <a href="#"><u>346</u></a> | NOTICE OF FILING OF OFFICIAL TRANSCRIPT Notice is hereby given that an official transcript of a Trial proceeding held on 10/23/2014 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days...(McGuirk, Kelly) (Entered: 11/05/2014)                                 |
| 11/12/2014 | <a href="#"><u>347</u></a> | LETTER addressed to Magistrate Judge James C. Francis IV from Robert J. Giuffra, Jr. dated November 12, 2014 re: Transcripts of hearing held on October 22 and 23, 2014. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Newton, Beth) (Entered: 11/12/2014)  |
| 02/25/2015 | <a href="#"><u>348</u></a> | LETTER addressed to Judge Analisa Torres from Melissa L. Stewart dated February 25, 2015 re: Withdrawal of Attorney Jennifer L. Liu. Document filed by H. Christina Chen-Oster, Shanna Orlich.(Stewart, Melissa) (Entered: 02/25/2015)   |
| 02/25/2015 | <a href="#"><u>349</u></a> | MEMO ENDORSEMENT on re: <a href="#"><u>348</u></a> Letter filed by Shanna Orlich, H. Christina Chen-Oster, re: Withdrawal of Attorney Jennifer L. Liu. ENDORSEMENT: GRANTED. The Clerk of Court is directed to remove Jennifer L. Liu's appearance from the docket and name from the ECF distribution list. SO ORDERED. Attorney Jennifer Lin Liu terminated. (Signed by Judge Analisa Torres on 2/25/2015) (ajs) (Entered: 02/25/2015)  |
| 02/27/2015 | <a href="#"><u>350</u></a> | MOTION in Limine <i>To Exclude Expert Testimony of Wayne F. Cascio</i> . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Newton, Beth) (Entered: 02/27/2015)  |
| 02/27/2015 | <a href="#"><u>351</u></a> | MEMORANDUM OF LAW in Support re: <a href="#"><u>350</u></a> MOTION in Limine <i>To Exclude Expert Testimony of Wayne F. Cascio</i> . . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Newton, Beth) (Entered: 02/27/2015)   |
| 02/27/2015 | <a href="#"><u>352</u></a> | DECLARATION of Barbara B. Brown in Support re: <a href="#"><u>350</u></a> MOTION in Limine <i>To Exclude Expert Testimony of Wayne F. Cascio</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <a href="#"><u>1</u></a> Exhibit 1, # <a href="#"><u>2</u></a> Exhibit 2 (part 1), # <a href="#"><u>3</u></a> Exhibit 2 (part 2), # <a href="#"><u>4</u></a> Exhibit 3, # <a href="#"><u>5</u></a> Exhibit 4)(Newton, Beth) (Entered: 02/27/2015)   |
| 02/27/2015 | <a href="#"><u>353</u></a> | MEMORANDUM OF LAW in Opposition re: <a href="#"><u>350</u></a> MOTION in Limine <i>To Exclude Expert Testimony of Wayne F. Cascio</i> . . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Newton, Beth) (Entered: 02/27/2015)  |
| 02/27/2015 | <a href="#"><u>354</u></a> | DECLARATION of Cara E. Greene in Opposition re: <a href="#"><u>350</u></a> MOTION in Limine <i>To Exclude Expert Testimony of Wayne F. Cascio</i> .. Document filed by Goldman, Sachs &  |

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|            |            | Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B)(Newton, Beth) (Entered: 02/27/2015)  |
| 02/27/2015 | <u>355</u> | REPLY MEMORANDUM OF LAW in Support re: <u>350</u> MOTION in Limine <i>To Exclude Expert Testimony of Wayne F. Cascio</i> . . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Newton, Beth) (Entered: 02/27/2015)   |
| 02/27/2015 | <u>356</u> | MOTION in Limine <i>To Exclude Expert Testimony of Henry S. Farber</i> . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Newton, Beth) (Entered: 02/27/2015)  |
| 02/27/2015 | <u>357</u> | MEMORANDUM OF LAW in Support re: <u>356</u> MOTION in Limine <i>To Exclude Expert Testimony of Henry S. Farber</i> . . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Newton, Beth) (Entered: 02/27/2015)   |
| 02/27/2015 | <u>358</u> | DECLARATION of Barbara B. Brown in Support re: <u>356</u> MOTION in Limine <i>To Exclude Expert Testimony of Henry S. Farber</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2, # <u>3</u> Exhibit 3, # <u>4</u> Exhibit 4, # <u>5</u> Exhibit 5, # <u>6</u> Exhibit 6, # <u>7</u> Exhibit 7, # <u>8</u> Exhibit 8, # <u>9</u> Exhibit 9 (1 of 2), # <u>10</u> Exhibit 9 (2 of 2), # <u>11</u> Exhibit 10, # <u>12</u> Exhibit 11 (1 of 3), # <u>13</u> Exhibit 11 (2 of 3), # <u>14</u> Exhibit 11 (3 of 3), # <u>15</u> Exhibit 12, # <u>16</u> Exhibit 13)(Newton, Beth) (Entered: 02/27/2015)   |
| 02/27/2015 | <u>359</u> | MEMORANDUM OF LAW in Opposition re: <u>356</u> MOTION in Limine <i>To Exclude Expert Testimony of Henry S. Farber</i> . . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Newton, Beth) (Entered: 02/27/2015)  |
| 02/27/2015 | <u>360</u> | DECLARATION of Cara E. Greene in Opposition re: <u>356</u> MOTION in Limine <i>To Exclude Expert Testimony of Henry S. Farber</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C)(Newton, Beth) (Entered: 02/27/2015)  |
| 02/27/2015 | <u>361</u> | REPLY MEMORANDUM OF LAW in Support re: <u>356</u> MOTION in Limine <i>To Exclude Expert Testimony of Henry S. Farber</i> . . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Newton, Beth) (Entered: 02/27/2015)   |
| 02/27/2015 | <u>362</u> | DECLARATION of Robin D. Fessel in Support re: <u>356</u> MOTION in Limine <i>To Exclude Expert Testimony of Henry S. Farber</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2, # <u>3</u> Exhibit 3, # <u>4</u> Exhibit 4, # <u>5</u> Exhibit 5, # <u>6</u> Exhibit 6 (1 of 8), # <u>7</u> Exhibit 6 (2 of 8), # <u>8</u> Exhibit 6 (3 of 8), # <u>9</u> Exhibit 6 (4 of 8), # <u>10</u> Exhibit 6 (5 of 8), # <u>11</u> Exhibit 6 (6 of 8), # <u>12</u> Exhibit 6 (7 of 8), # <u>13</u> Exhibit 6 (8 of 8))(Newton, Beth) (Entered: 02/27/2015)  |
| 03/10/2015 | <u>363</u> | MEMORANDUM AND ORDER denying <u>299</u> Motion to Strike; denying <u>303</u> Motion to Strike; granting <u>305</u> Motion to Strike; granting <u>307</u> Motion to Strike; granting <u>323</u> Motion in Limine; denying <u>350</u> Motion in Limine; denying <u>356</u> Motion in Limine: For the reasons discussed above, the motion to exclude the expert testimony of Dr. Farber (Docket no. 356) is denied; the motion to exclude in part the expert testimony of Dr. Ward (Docket no. 307) is granted; the motion to exclude the expert testimony of Dr. Cascio (Docket no. 350) is denied; the motion to exclude the expert testimony of Dr. Campion (Docket no. 303) is denied; the motion to exclude the expert testimony of Mr. Curran (Docket no. 305) is granted; the motion to exclude the expert testimony of Dr. Yermack (Docket no. 323) is granted; and the motion to preclude the declarations of Goldman Sachs' managers and Appendix A to Goldman Sachs' memorandum in opposition to class certification (Docket no. 299) is denied. It bears repeating that these determinations are made solely with respect to the submission of this evidence in connection with the class certification motion; admissibility in connection with dispositive motions or trial is subject to further analysis. (Signed by Magistrate Judge James C. Francis on 3/10/2015) Copies Transmitted By Chambers. (tn) (Entered: 03/10/2015) |
| 03/10/2015 | <u>364</u> | <b>Vacated as to Rules 23(b)(2) and (c)(4) class certification, as per Judge's Order dated 8/30/2017, Doc. # 540.</b> REPORT AND RECOMMENDATION re: <u>246</u> MOTION to Certify Class filed by Shanna Orlich, H. Christina Chen-Oster: This is a close case. But for the fact that the law of the case doctrine dissuades me from revisiting the appropriateness of injunctive relief, I would recommend that the plaintiff   |



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|            |            | class be certified pursuant to Rules 23(b)(2) and 23(c)(4) in order to obtain a final determination as to the allegedly discriminatory impact of Goldman Sachs' employee evaluation processes. But, because such relief has been held to be unavailable, I recommend that the plaintiffs' motion for class certification (Docket no. 246) be denied. Pursuant to 28 U.S.C. § 636(b)(1) and Rules 72, 6(a), and 6(d) of the Federal Rules of Civil Procedure, the parties shall have fourteen (14) days from this date to file written objections to this Report and Recommendation. Such objections shall be filed with the Clerk of the Court, with extra copies delivered to the chambers of the Honorable Analisa Torres, Room 2210, and to the chambers of the undersigned, Room 1960, 500 Pearl Street, New York, New York 10007. Failure to file timely objections will preclude appellate review. Objections to R&R due by 3/27/2015 (Signed by Magistrate Judge James C. Francis on 3/10/2015) Copies Mailed By Chambers. (tn) Modified on 8/30/2017 (ap). (Entered: 03/10/2015) |
| 03/11/2015 | 365        | SEALED DOCUMENT placed in vault.(rz) (Entered: 03/11/2015)   |
| 03/16/2015 | <u>366</u> | JOINT LETTER addressed to Judge Analisa Torres from Cara E. Greene and Robert J. Giuffra Jr. dated March 16, 2015 re: Request Extension to File Written Objections. Document filed by H. Christina Chen-Oster, Shanna Orlich.(Greene, Cara) (Entered: 03/16/2015)  |
| 03/16/2015 | <u>367</u> | MEMO ENDORSED ORDER on <u>366</u> terminating <u>246</u> Motion to Certify Class. ENDORSEMENT: GRANTED. The parties shall adhere to the aforementioned deadlines. Decision on Plaintiffs' motion is held in abeyance, pending the parties' submissions. The Clerk of Court is directed to terminate the motion at ECF No. 246. SO ORDERED. (Signed by Judge Analisa Torres on 3/16/2015) (ajs) (Entered: 03/16/2015)   |
| 03/24/2015 | <u>368</u> | MOTION for Reconsideration re; <u>364</u> Report and Recommendations,,,,, . Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi.(Dermody, Kelly) (Entered: 03/24/2015)   |
| 03/24/2015 | <u>369</u> | MEMORANDUM OF LAW in Support re: <u>368</u> MOTION for Reconsideration re; <u>364</u> Report and Recommendations,,,,, . . Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Dermody, Kelly) (Entered: 03/24/2015)  |
| 03/31/2015 |            | Minute Entry for proceedings held before Magistrate Judge James C. Francis: Telephone Conference held on 3/31/2015. (Bacchus, Michael) (Entered: 03/31/2015)   |
| 03/31/2015 | <u>370</u> | ORDER: A pretrial conference having been held by telephone on March 31, 2015, it is hereby ORDERED as follows: Defendants shall answer plaintiff's motion for reconsideration of my March 10, 2015 Report and Recommendation (the "3/10/15 R&R") by April 14, 2015; plaintiffs shall reply by April 28, 2015. Plaintiffs shall serve and file any motion to intervene by April 13, 2015; defendants shall answer the motion by May 15, 2015; plaintiffs shall reply by June 1, 2015. At the direction of the Honorable Analisa Torres, U.S.D.J., the schedule for submitting objections with respect to the 3/10/15 R&R shall be held in abeyance pending determination of the motions referenced in paragraphs 1 and 2 above. SO ORDERED. (Signed by Magistrate Judge James C. Francis on 3/31/2015) Copies Sent By Chambers. (kl) (Entered: 03/31/2015)  |
| 04/02/2015 | <u>371</u> | <b>FILING ERROR – DEFICIENT DOCKET ENTRY –</b> MOTION for Jeffrey B. Wall to Appear Pro Hac Vice . Filing fee \$ 200.00, receipt number 0208-10775206. <b>Motion and supporting papers to be reviewed by Clerk's Office staff.</b> Document filed by Goldman, Sachs & Co.. (Attachments: # <u>1</u> Text of Proposed Order)(Wall, Jeffrey) Modified on 4/3/2015 (wb). (Entered: 04/02/2015)  |
| 04/03/2015 |            | <b>&gt;&gt;&gt;NOTICE REGARDING DEFICIENT MOTION TO APPEAR PRO HAC VICE. Notice regarding Document No. <u>371</u> MOTION for Jeffrey B. Wall to Appear Pro Hac Vice . Filing fee \$ 200.00, receipt number 0208-10775206. Motion and supporting papers to be reviewed by Clerk's Office staff.. Certificate of Good Standing Must be issued from Supreme Court of Georgia with a Clerk of Court Signature. Re-file the document as a Corrected Motion to Appear Pro Hac Vice and attach a valid Certificate of Good Standing, issued within the past 30 days. (wb) (Entered: 04/03/2015)</b>   |

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| 04/07/2015 | <u>372</u> | INTERNET CITATION NOTE: Material from decision with Internet citation re: <u>363</u> Order on Motion to Strike, Order on Motion in Limine. (vf) (Entered: 04/07/2015)   |
| 04/09/2015 | <u>373</u> | JOINT LETTER addressed to Magistrate Judge James C. Francis IV from Theodore O. Rogers, Jr. and Barbara B. Brown dated April 9, 2015 re: Clarification as to Schedule. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Rogers, Theodore) (Entered: 04/09/2015)   |
| 04/10/2015 | <u>374</u> | MEMO ENDORSEMENT on re: <u>373</u> Letter, filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc., re: Clarification as to Schedule. ENDORSEMENT: Your assumption is correct; the stay applies to both the March 31 Order and the R & R. SO ORDERED. (Signed by Magistrate Judge James C. Francis on 4/10/2015) (ajs) (Entered: 04/10/2015) |
| 04/13/2015 | <u>375</u> | MOTION for Jeffrey B. Wall to Appear Pro Hac Vice . <b>Motion and supporting papers to be reviewed by Clerk's Office staff.</b> Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Text of Proposed Order Granting Motion for Admission PHV)(Wall, Jeffrey) (Entered: 04/13/2015)                    |
| 04/13/2015 | <u>376</u> | NOTICE of Motion to Intervene. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Dermody, Kelly) (Entered: 04/13/2015)  |
| 04/13/2015 | <u>377</u> | MOTION to Intervene <i>Mary De Luis and Allison Gamba as Named Plaintiffs.</i> Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi.(Dermody, Kelly) (Entered: 04/13/2015)   |
| 04/13/2015 | <u>378</u> | MEMORANDUM OF LAW in Support re: <u>377</u> MOTION to Intervene <i>Mary De Luis and Allison Gamba as Named Plaintiffs.</i> . Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Dermody, Kelly) (Entered: 04/13/2015)  |
| 04/13/2015 | <u>379</u> | DECLARATION of Kelly M. Dermody in Support re: <u>377</u> MOTION to Intervene <i>Mary De Luis and Allison Gamba as Named Plaintiffs.</i> . Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Dermody, Kelly) (Entered: 04/13/2015)  |
| 04/14/2015 |            | <b>&gt;&gt;&gt;NOTICE REGARDING PRO HAC VICE MOTION. Regarding Document No. <u>375</u> MOTION for Jeffrey B. Wall to Appear Pro Hac Vice . Motion and supporting papers to be reviewed by Clerk's Office staff.. The document has been reviewed and there are no deficiencies. (wb)</b> (Entered: 04/14/2015)                                     |
| 04/14/2015 | <u>380</u> | MEMORANDUM OF LAW in Opposition re: <u>368</u> MOTION for Reconsideration re; <u>364</u> Report and Recommendations,,,,, . . Document filed by The Goldman Sachs Group, Inc.. (Rogers, Theodore) (Entered: 04/14/2015)  |
| 04/15/2015 | <u>381</u> | LETTER addressed to Magistrate Judge James C. Francis IV from Theodore O. Rogers, Jr. dated Arpil 15, 2015 re: Letter Concerning Intervention Motion. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Rogers, Theodore) (Entered: 04/15/2015)  |
| 04/20/2015 | <u>382</u> | LETTER addressed to Magistrate Judge James C. Francis IV from Theodore O. Rogers, Jr. dated April 20, 2015 re: Reply to April 17 Letter From Plaintiffs' Counsel. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit A)(Rogers, Theodore) (Entered: 04/20/2015)                              |
| 04/21/2015 | 383        | ORDER granting <u>375</u> Motion for Jeffrey B. Wall to Appear Pro Hac Vice. (HEREBY ORDERED by Magistrate Judge James C. Francis)(Text Only Order) (Francis, James) (Entered: 04/21/2015)  |
| 04/21/2015 | <u>384</u> | LETTER addressed to Magistrate Judge James C. Francis IV from Cara E. Greene dated April 21, 2015 re: In Response to Defendant's April 20, 2015 Letter. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A)(Greene, Cara) (Entered: 04/21/2015)  |
| 04/28/2015 | <u>385</u> | REPLY MEMORANDUM OF LAW in Support re: <u>368</u> MOTION for Reconsideration re; <u>364</u> Report and Recommendations,,,,, . . Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Klein, Adam) (Entered: 04/28/2015)  |



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| 04/28/2015 | <u>386</u> | MEMO ENDORSEMENT on re: <u>384</u> Letter In Response to Defendant's April 20, 2015 Letter, filed by Shanna Orlich, H. Christina Chen–Oster, Lisa Parisi. ENDORSEMENT: It is less important that the motion be styled a motion to intervene than that the defendants have notice of the proposed intervenors' claims; deposition testimony is not a substitute for a pleading. Plaintiffs/ intervenors shall therefore submit a proposed intervenor complaint by May 8, 2015; defendants shall answer the motion by June 5, 2015; and plaintiffs shall reply by June 19, 2015. (Responses due by 6/5/2015, Replies due by 6/19/2015.) (Signed by Magistrate Judge James C. Francis on 4/28/2015) (kko) (Entered: 04/28/2015) |
| 04/29/2015 | <u>387</u> | MOTION for C. Geoffrey Weirich to Withdraw as Attorney . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Weirich, C.) (Entered: 04/29/2015)   |
| 04/29/2015 | <u>388</u> | <b>FILING ERROR – DEFICIENT DOCKET ENTRY –</b> MOTION for Martin D. Quinones to Appear Pro Hac Vice . Filing fee \$ 200.00, receipt number 0208–10869896. <b>Motion and supporting papers to be reviewed by Clerk's Office staff.</b> Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Certificate of Standing, # <u>2</u> Text of Proposed Order)(Quinones, Martin) Modified on 4/30/2015 (wb). (Entered: 04/29/2015)  |
| 04/30/2015 |            | <b>&gt;&gt;&gt;NOTICE REGARDING DEFICIENT MOTION TO APPEAR PRO HAC VICE. Notice regarding Document No. <u>388</u> MOTION for Martin D. Quinones to Appear Pro Hac Vice . Filing fee \$ 200.00, receipt number 0208–10869896. Motion and supporting papers to be reviewed by Clerk's Office staff.. Certificate of Good Standing Must be issued from Supreme Court of California with a Clerk of Court Signature. Re–file the document as a Motion to Appear Pro Hac Vice and attach a valid Certificate of Good Standing, issued within the past 30 days. (wb)</b> (Entered: 04/30/2015)   |
| 04/30/2015 | 389        | ORDER granting <u>387</u> Motion to Withdraw as Attorney. Attorney C. Geoffrey Weirich terminated. (HEREBY ORDERED by Magistrate Judge James C. Francis)(Text Only Order) (Francis, James) (Entered: 04/30/2015)   |
| 05/04/2015 | <u>390</u> | MOTION for Martin Daniel Quinones to Appear Pro Hac Vice . <b>Motion and supporting papers to be reviewed by Clerk's Office staff.</b> Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Text of Proposed Order, # <u>2</u> Certificate of Good Standing – Supreme Court of California)(Quinones, Martin) (Entered: 05/04/2015)  |
| 05/05/2015 |            | <b>&gt;&gt;&gt;NOTICE REGARDING PRO HAC VICE MOTION. Regarding Document No. <u>390</u> MOTION for Martin Daniel Quinones to Appear Pro Hac Vice . Motion and supporting papers to be reviewed by Clerk's Office staff.. The document has been reviewed and there are no deficiencies. (wb)</b> (Entered: 05/05/2015)   |
| 05/05/2015 | <u>391</u> | ORDER FOR ADMISSION PRO HAC VICE granting <u>390</u> Motion for Martin D. Quinones to Appear Pro Hac Vice. (Signed by Judge Analisa Torres on 5/5/2015) (ajs) (Entered: 05/05/2015)  |
| 06/05/2015 | <u>392</u> | MEMORANDUM OF LAW in Opposition re: <u>377</u> MOTION to Intervene <i>Mary De Luis and Allison Gamba as Named Plaintiffs</i> . . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Levy, Joshua) (Entered: 06/05/2015)   |
| 06/05/2015 | <u>393</u> | DECLARATION of Michael Campion in Opposition re: <u>377</u> MOTION to Intervene <i>Mary De Luis and Allison Gamba as Named Plaintiffs</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Levy, Joshua) (Entered: 06/05/2015)   |
| 06/05/2015 | <u>394</u> | DECLARATION of Jessica Ginsberg in Opposition re: <u>377</u> MOTION to Intervene <i>Mary De Luis and Allison Gamba as Named Plaintiffs</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit)(Levy, Joshua) (Entered: 06/05/2015)   |
| 06/05/2015 | <u>395</u> | DECLARATION of David Landman in Opposition re: <u>377</u> MOTION to Intervene <i>Mary De Luis and Allison Gamba as Named Plaintiffs</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Levy, Joshua) (Entered: 06/05/2015)   |
| 06/05/2015 | <u>396</u> | DECLARATION of Karen O'Keefe in Opposition re: <u>377</u> MOTION to Intervene <i>Mary De Luis and Allison Gamba as Named Plaintiffs</i> .. Document filed by Goldman,  |

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|            |            | Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit)(Levy, Joshua) (Entered: 06/05/2015)   |
| 06/05/2015 | <u>397</u> | DECLARATION of Michael Perloff in Opposition re: <u>377</u> MOTION to Intervene <i>Mary De Luis and Allison Gamba as Named Plaintiffs</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Levy, Joshua) (Entered: 06/05/2015)  |
| 06/05/2015 | <u>398</u> | DECLARATION of Leslie Reider in Opposition re: <u>377</u> MOTION to Intervene <i>Mary De Luis and Allison Gamba as Named Plaintiffs</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit)(Levy, Joshua) (Entered: 06/05/2015)   |
| 06/05/2015 | <u>399</u> | DECLARATION of Michael P. Ward in Opposition re: <u>377</u> MOTION to Intervene <i>Mary De Luis and Allison Gamba as Named Plaintiffs</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Levy, Joshua) (Entered: 06/05/2015)  |
| 06/05/2015 | <u>400</u> | DECLARATION of Joseph Yanagisawa in Opposition re: <u>377</u> MOTION to Intervene <i>Mary De Luis and Allison Gamba as Named Plaintiffs</i> .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Levy, Joshua) (Entered: 06/05/2015)  |
| 06/16/2015 | <u>401</u> | LETTER MOTION for Leave to File Excess Pages <i>re Reply Brief in Support of Intervention Motion</i> addressed to Magistrate Judge James C. Francis IV from Adam T. Klein dated June 16, 2015. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi.(Klein, Adam) (Entered: 06/16/2015)  |
| 06/16/2015 | <u>402</u> | LETTER addressed to Magistrate Judge James C. Francis IV from Theodore O. Rogers dated June 16, 2015 re: Plaintiffs' Request for Additional Pages. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Levy, Joshua) (Entered: 06/16/2015)   |
| 06/17/2015 | 403        | ORDER granting <u>401</u> Letter Motion for Leave to File Excess Pages. (HEREBY ORDERED by Magistrate Judge James C. Francis)(Text Only Order) (Francis, James) (Entered: 06/17/2015)   |
| 06/19/2015 | <u>404</u> | REPLY MEMORANDUM OF LAW in Support re: <u>377</u> MOTION to Intervene <i>Mary De Luis and Allison Gamba as Named Plaintiffs</i> . . Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Klein, Adam) (Entered: 06/19/2015)  |
| 06/19/2015 | <u>405</u> | DECLARATION of Cara E. Greene in Support re: <u>377</u> MOTION to Intervene <i>Mary De Luis and Allison Gamba as Named Plaintiffs</i> .. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C, # <u>4</u> Exhibit D)(Klein, Adam) (Entered: 06/19/2015)            |
| 06/23/2015 |            | Pro Hac Vice Fee Refunded: for <u>388</u> MOTION for Martin D. Quinones to Appear Pro Hac Vice . Filing fee \$ 200.00, receipt number 0208-10869896. <b>Motion and supporting papers to be reviewed by Clerk's Office staff</b> .. Filing fee refunded for Receipt number 0208-10869798, for the following reason(s): DUPLICATE FILING. (dig) (Entered: 06/23/2015) |
| 07/22/2015 |            | Minute Entry for proceedings held before Magistrate Judge James C. Francis: Oral Argument held on 7/22/2015 re: <u>377</u> MOTION to Intervene <i>Mary De Luis and Allison Gamba as Named Plaintiffs</i> . filed by Shanna Orlich, H. Christina Chen-Oster, Lisa Parisi. Transcribed by court reporter (Bacchus, Michael) (Entered: 07/22/2015)                     |
| 07/24/2015 | <u>406</u> | DECLARATION of Mary De Luis in Support re: <u>377</u> MOTION to Intervene <i>Mary De Luis and Allison Gamba as Named Plaintiffs</i> .. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Shaver, Anne) (Entered: 07/24/2015)  |
| 07/24/2015 | <u>407</u> | DECLARATION of Allison Gamba in Support re: <u>377</u> MOTION to Intervene <i>Mary De Luis and Allison Gamba as Named Plaintiffs</i> .. Document filed by H. Christina Chen-Oster, Shanna Orlich, Lisa Parisi. (Shaver, Anne) (Entered: 07/24/2015)   |
| 07/28/2015 | <u>408</u> | LETTER addressed to Magistrate Judge James C. Francis IV from Robert J. Giuffra, Jr. dated July 28, 2015 re: Declarations Submitted By Proposed Intervenors. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 07/28/2015)  |

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| 07/28/2015 | <u>409</u> | LETTER addressed to Magistrate Judge James C. Francis IV from Kelly M. Dermody dated 07/28/2015 re: Declarations Submitted by Proposed Intervenors. Document filed by H. Christina Chen–Oster, Shanna Orlich, Lisa Parisi.(Dermody, Kelly) (Entered: 07/28/2015)   |
| 08/03/2015 | <u>410</u> | ORDER denying <u>368</u> Motion for Reconsideration; granting <u>377</u> Motion to Intervene: For the reasons set forth above, the plaintiffs' motion for reconsideration (Docket no. 368) is denied. The motion of Allison Gamba and Mary de Luis to intervene (Docket no. 377) is granted, and the plaintiffs may therefore file the Second Amended Class Action Complaint. (Signed by Magistrate Judge James C. Francis on 8/3/2015) Copies Transmitted By Chambers. (tn) (Entered: 08/03/2015) |
| 08/03/2015 | <u>411</u> | SECOND AMENDED COMPLAINT amending <u>104</u> Amended Complaint, against Goldman, Sachs & Co., The Goldman Sachs Group, Inc. with JURY DEMAND.Document filed by H. Christina Chen–Oster, Shanna Orlich, Allison Gamba, Mary De Luis. Related document: <u>104</u> Amended Complaint, filed by Shanna Orlich, H. Christina Chen–Oster, Lisa Parisi.(Shaver, Anne) (Entered: 08/03/2015)  |
| 08/05/2015 | <u>412</u> | LETTER addressed to Magistrate Judge James C. Francis IV from Robert J. Giuffra, Jr. dated August 5, 2015 re: Depositions of Proposed Intervenors. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Levy, Joshua) (Entered: 08/05/2015)  |
| 08/05/2015 | <u>413</u> | LETTER addressed to Magistrate Judge James C. Francis IV from Kelly Dermody dated August 5, 2015 re: Depositions of Proposed Intervenors. Document filed by H. Christina Chen–Oster, Mary De Luis, Allison Gamba, Shanna Orlich.(Shaver, Anne) (Entered: 08/05/2015)   |
| 08/05/2015 | <u>414</u> | AMENDED LETTER addressed to Magistrate Judge James C. Francis IV from Kelly M. Dermody dated August 5, 2015 re: Depositions of Intervenors. Document filed by H. Christina Chen–Oster, Mary De Luis, Allison Gamba, Shanna Orlich.(Dermody, Kelly) (Entered: 08/05/2015)   |
| 08/07/2015 | <u>415</u> | MEMO ENDORSEMENT on re: <u>412</u> Letter filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc. ENDORSEMENT: Application denied. The intervenors' declarations are clear with respect to actual notice. They may, of course, be deposed in the normal course of the litigation. (Signed by Magistrate Judge James C. Francis on 8/7/2015) (tn) (Entered: 08/07/2015)  |
| 08/17/2015 | <u>416</u> | MOTION to Stay <i>Discovery</i> . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Newton, Beth) (Entered: 08/17/2015)   |
| 08/17/2015 | <u>417</u> | <b>FILING ERROR – DEFICIENT DOCKET ENTRY – FILER ERROR –</b> APPEAL OF MAGISTRATE JUDGE DECISION to District Court. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. Copies of Appeal of Magistrate Judge Decision to District Court served on Attorney(s) of Record: Kelly M. Dermody, Adam T. Klein. (Giuffra, Robert) Modified on 8/18/2015 (tp). (Entered: 08/17/2015)   |
| 08/17/2015 | <u>418</u> | <b>FILING ERROR – WRONG EVENT TYPE SELECTED FROM MENU –</b> MEMORANDUM OF LAW in Support re: <u>416</u> MOTION to Stay <i>Discovery</i> . . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc. (Newton, Beth) Modified on 8/18/2015 (db). (Entered: 08/17/2015)   |
| 08/17/2015 | <u>419</u> | MOTION Appeal of Magistrate Judge's Order . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 08/18/2015)  |
| 08/18/2015 | <u>420</u> | MEMORANDUM OF LAW in Support re: <u>419</u> MOTION Appeal of Magistrate Judge's Order . . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Giuffra, Robert) (Entered: 08/18/2015)   |
| 08/18/2015 | <u>421</u> | LETTER addressed to Judge Analisa Torres from Robert J. Giuffra, Jr. dated August 17, 2015 re: Briefing Schedule. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Newton, Beth) (Entered: 08/18/2015)   |
| 08/18/2015 | <u>422</u> | DECLARATION of Robert J. Giuffra, Jr. in Support re: <u>419</u> MOTION Appeal of Magistrate Judge's Order .. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2, # <u>3</u> Exhibit 3, # <u>4</u>   |

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|            |            | Exhibit 4, # <u>5</u> Exhibit 5, # <u>6</u> Exhibit 6, # <u>7</u> Exhibit 7, # <u>8</u> Exhibit 8, # <u>9</u> Exhibit 9, # <u>10</u> Exhibit 10, # <u>11</u> Exhibit 11, # <u>12</u> Exhibit 12, # <u>13</u> Exhibit 13, # <u>14</u> Exhibit 14, # <u>15</u> Exhibit 15, # <u>16</u> Exhibit 16, # <u>17</u> Exhibit 17, # <u>18</u> Exhibit 18, # <u>19</u> Exhibit 19, # <u>20</u> Exhibit 20)(Giuffra, Robert) (Entered: 08/18/2015) |
| 08/18/2015 |            | <b>***NOTICE TO ATTORNEY REGARDING DEFICIENT APPEAL. Notice to attorney Giuffra, Robert to RE-FILE Document No. <u>417</u> Appeal of Magistrate Judge Decision to District Court. The filing is deficient for the following reason(s): the wrong event type was used to file the document. There is No Need to Re-file the document since it has already been refiled as document <u>419</u> Motion. (tp)</b> (Entered: 08/18/2015)     |
| 08/18/2015 | <u>423</u> | MEMO ENDORSEMENT on re: <u>421</u> Letter filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc., re: Briefing Schedule. ENDORSEMENT: Plaintiffs shall respond to Defendants' August 17, 2015 letter by August 24, 2015. SO ORDERED. (Signed by Judge Analisa Torres on 8/18/2015) (ajs) (Entered: 08/18/2015)  |
| 08/18/2015 |            | <b>***NOTICE TO ATTORNEY TO RE-FILE DOCUMENT - EVENT TYPE ERROR. Notice to Attorney Beth Deborah Newton to RE-FILE Document <u>418</u> Memorandum of Law in Support of Motion. Use the event type Letter found under the event list Other Documents. (db)</b> (Entered: 08/18/2015)   |
| 08/18/2015 | <u>424</u> | LETTER addressed to Magistrate Judge James C. Francis IV from Robert J. Giuffra, Jr. dated August 17, 2015 re: Request for Stay. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 08/18/2015)  |
| 08/19/2015 | <u>425</u> | LETTER addressed to Judge Analisa Torres from Robert J. Giuffra, Jr. dated August 19, 2015 re: Pre-Motion to Dismiss. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 08/19/2015)   |
| 08/20/2015 | <u>426</u> | ANSWER to <u>411</u> Amended Complaint,. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 08/20/2015)  |
| 08/21/2015 | <u>427</u> | LETTER addressed to Magistrate Judge James C. Francis IV from Kelly M. Dermody dated August 21, 2015 re: Opposition to Motion to Stay Discovery. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich.(Dermody, Kelly) (Entered: 08/21/2015)   |
| 08/21/2015 | <u>428</u> | MEMO ENDORSEMENT on re: <u>425</u> Letter re: Pre-Motion to Dismiss, filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc. ENDORSEMENT: Plaintiffs shall respond to Defendants' August 19, 2015 pre-motion conference letter by August 26, 2015. (Signed by Judge Analisa Torres on 8/21/2015) (spo) (Entered: 08/24/2015)   |
| 08/24/2015 | <u>429</u> | LETTER addressed to Judge Analisa Torres from Kelly M. Dermody dated August 24, 2015 re: Opposition to Request for Briefing Schedule. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B)(Dermody, Kelly) (Entered: 08/24/2015)  |
| 08/25/2015 | <u>430</u> | LETTER addressed to Magistrate Judge James C. Francis IV from Robert J. Giuffra, Jr. dated August 25, 2015 re: Reply in Support of Motion to Stay Discovery. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 08/25/2015)  |
| 08/26/2015 | <u>431</u> | LETTER addressed to Judge Analisa Torres from Robert J. Giuffra, Jr. dated August 26, 2015 re: Reply in Support of Briefing Schedule. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B)(Giuffra, Robert) (Entered: 08/26/2015)   |
| 08/26/2015 | <u>432</u> | ORDER granting <u>416</u> Motion to Stay Discovery. Discovery is stayed pending Judge Torres' determination of the objections pending before her. Her decisions will potentially shape the scope of a substantial part of any further discovery. (HEREBY ORDERED by Magistrate Judge James C. Francis)(Text Only Order) (Francis, James) (Entered: 08/26/2015)  |
| 08/26/2015 | <u>433</u> | LETTER addressed to Judge Analisa Torres from Kelly M. Dermody dated 08-26-2015 re: Response to Pre-Motion to Dismiss. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi.(Dermody, Kelly) (Entered: 08/26/2015)  |



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| 08/28/2015 | <u>434</u> | LETTER addressed to Judge Analisa Torres from Robert J. Giuffra, Jr. dated August 28, 2015 re: Reply in Support of Pre-Motion to Dismiss. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit A)(Giuffra, Robert) (Entered: 08/28/2015)   |
| 08/31/2015 | <u>435</u> | MEMORANDUM OF LAW in Opposition re: <u>419</u> MOTION Appeal of Magistrate Judge's Order . . Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. (Klein, Adam) (Entered: 08/31/2015)  |
| 08/31/2015 | <u>436</u> | DECLARATION of Rachel Geman in Opposition re: <u>419</u> MOTION Appeal of Magistrate Judge's Order . . Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C)(Klein, Adam) (Entered: 08/31/2015)   |
| 08/31/2015 | <u>437</u> | ORDER: Having reviewed the parties' letters dated August 17, 19, 24, 26, and 28, 2015, it is ORDERED that: 1. Defendants' request for a pre-motion conference regarding the anticipated motion to dismiss "putative intervenor Allison Gamba's claims for injunctive and declaratory relief" is DENIED; 2. Defendants shall file their motion to dismiss by September 28, 2015; 3. Plaintiffs shall file their opposition papers by October 12, 2015; 4. Defendants shall reply by October 19, 2015; 5. The briefing schedule on Plaintiffs' potential objections to the Honorable James C. Francis IV's March 10, 2015 Report and Recommendation is held in abeyance, pending the Court's decision on Defendants' appeal of Judge Francis' August 3, 2015 order. ( Motions due by 9/28/2015., Responses due by 10/12/2015, Replies due by 10/19/2015.) (Signed by Judge Analisa Torres on 8/31/2015) (mro) (Entered: 09/01/2015) |
| 09/01/2015 | <u>438</u> | TRANSCRIPT of Proceedings re: MOTION held on 7/22/2015 before Magistrate Judge James C. Francis IV. Court Reporter/Transcriber: Thomas Murray, (212) 805-0300. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 9/25/2015. Redacted Transcript Deadline set for 10/5/2015. Release of Transcript Restriction set for 12/3/2015.(McGuirk, Kelly) (Entered: 09/01/2015)   |
| 09/01/2015 | <u>439</u> | NOTICE OF FILING OF OFFICIAL TRANSCRIPT Notice is hereby given that an official transcript of a MOTION proceeding held on 7/22/15 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days...(McGuirk, Kelly) (Entered: 09/01/2015)  |
| 09/08/2015 | <u>440</u> | REPLY MEMORANDUM OF LAW in Support re: <u>419</u> MOTION Appeal of Magistrate Judge's Order . . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Giuffra, Robert) (Entered: 09/08/2015)  |
| 09/28/2015 | <u>441</u> | MOTION to Dismiss <i>Allison Gamba's Claims for Injunctive and Declaratory Relief</i> . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 09/28/2015)   |
| 09/28/2015 | <u>442</u> | MEMORANDUM OF LAW in Support re: <u>441</u> MOTION to Dismiss <i>Allison Gamba's Claims for Injunctive and Declaratory Relief</i> . . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit A)(Giuffra, Robert) (Entered: 09/28/2015)   |
| 10/12/2015 | <u>443</u> | MEMORANDUM OF LAW in Opposition re: <u>441</u> MOTION to Dismiss <i>Allison Gamba's Claims for Injunctive and Declaratory Relief</i> . . Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Dermody, Kelly) (Entered: 10/12/2015)  |
| 10/14/2015 | <u>444</u> | NOTICE OF APPEARANCE by Ossai Miazad on behalf of H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Miazad, Ossai) (Entered: 10/14/2015)  |
| 10/19/2015 | <u>445</u> | REPLY MEMORANDUM OF LAW in Support re: <u>441</u> MOTION to Dismiss <i>Allison Gamba's Claims for Injunctive and Declaratory Relief</i> . . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Giuffra, Robert) (Entered: 10/19/2015)  |

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| 05/09/2016 | <u>446</u> | LETTER addressed to Judge Analisa Torres from Robert J. Giuffra, Jr. dated May 9, 2016 re: Resignation of Intervenor. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 05/09/2016)  |
| 05/11/2016 | <u>447</u> | LETTER addressed to Judge Analisa Torres from Adam T. Klein dated May 11, 2016 re: Response to Defendant's May 9, 2016 Letter. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich.(Klein, Adam) (Entered: 05/11/2016)   |
| 05/16/2016 | <u>448</u> | LETTER addressed to Judge Analisa Torres from Robert J. Giuffra, Jr. dated May 16, 2016 re: Reply to Plaintiffs' May 11, 2016 Letter. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit A)(Giuffra, Robert) (Entered: 05/16/2016)  |
| 05/17/2016 | <u>449</u> | LETTER addressed to Judge Analisa Torres from Adam T. Klein dated May 17, 2016 re: Defendant's May 16, 2016 Reply. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich.(Klein, Adam) (Entered: 05/17/2016)   |
| 06/06/2016 | <u>450</u> | ORDER terminating <u>419</u> Motion for Appeal of Magistrate Judge's Order. On appeal, Defendants object to Judge Francis' assessment of the timeliness of the motion to intervene and the potential prejudice to the parties which may result from intervention. The Court has reviewed Judge Francis' reasoning with respect to timeliness and prejudice and finds no clear error. Specifically, the Court finds no clear error in Judge Francis' determination that Gamba and De Luis did not have notice of the relevant interest until March 2015, and, therefore, that the motion to intervene was timely. Nor does the Court find clear error in Judge Francis' assessment of potential prejudice to the parties, including to Defendants, putative class members, and Gamba and De Luis. Accordingly, the Order is AFFIRMED. The Clerk of Court is directed to terminate the motion at ECF No. 419. SO ORDERED. (As further set forth in this Order.) (Signed by Judge Analisa Torres on 6/6/2016) (kko) (Entered: 06/07/2016)   |
| 06/08/2016 | <u>451</u> | LETTER addressed to Judge Analisa Torres from Robert J. Giuffra, Jr. dated June 8, 2016 re: Schedule for Objections and Motion to Dismiss. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 06/08/2016)   |
| 06/08/2016 | <u>452</u> | LETTER addressed to Judge Analisa Torres from Rachel Geman dated June 8, 2016 re: Response to Defendants' June 8, 2016 Letter. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi.(Geman, Rachel) (Entered: 06/08/2016)  |
| 06/09/2016 | <u>453</u> | LETTER addressed to Judge Analisa Torres from Robert J. Giuffra, Jr. dated June 9, 2016 re: Reply in Support of Briefing Schedule. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 06/09/2016)   |
| 06/15/2016 | <u>454</u> | ORDER: Having reviewed Defendants' letters dated June 8 and 9, 2016, and Plaintiffs' letter dated June 8, 2016, it is ORDERED that: 1. By July 11, 2016, Defendants shall file their motion to dismiss with respect to Mary De Luis. 2. By July 25, 2016, Plaintiffs shall file their opposition. 3. By August 1, 2016, Defendants shall reply. 4. Plaintiffs argue that the "fact that Gamba is no longer a Goldman employee...must be analyzed as a question of mootness." Pl. Mem. 12. Defendants reply that Gamba's ability to seek injunctive or declaratory relief is a question of standing, not mootness. Def. Mem Reply 14. Both parties are directed to address whether Allison Gamba and Mary De Luis' claims are moot. 5. Briefing on Judge Francis' March 10, 2015 Report and Recommendation and March 10, 2015 Memorandum and Order is held in abeyance, pending the Court's decision on Defendants' motions to dismiss with respect to Allison Gamba and Mary De Luis. (Motions due by 7/11/2016. Responses due by 7/25/2016, Replies due by 8/1/2016.) (Signed by Judge Analisa Torres on 6/15/2016) (kko) (Entered: 06/15/2016) |
| 07/01/2016 | <u>455</u> | LETTER MOTION for Conference <i>re Plaintiffs' anticipated motion to supplement the Second Amended Complaint</i> addressed to Judge Analisa Torres from Adam T. Klein dated 7/1/2016. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A)(Klein, Adam) (Entered: 07/01/2016)   |
| 07/06/2016 | <u>456</u> | LETTER addressed to Judge Analisa Torres from Robert J. Giuffra, Jr. dated July 6, 2016 re: Response to Plaintiffs' July 1, 2016 Letter Motion. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 07/06/2016)  |

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|            |                     | 07/06/2016)  |
| 07/11/2016 | <a href="#">457</a> | MOTION to Dismiss <i>Mary De Luis' Claims for Injunctive and Declaratory Relief</i> . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 07/11/2016)  |
| 07/11/2016 | <a href="#">458</a> | MEMORANDUM OF LAW in Support re: <a href="#">457</a> MOTION to Dismiss <i>Mary De Luis' Claims for Injunctive and Declaratory Relief</i> . . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Giuffra, Robert) (Entered: 07/11/2016)  |
| 07/13/2016 | <a href="#">459</a> | ORDER denying <a href="#">455</a> Letter Motion for Conference. Having reviewed the parties' letters dated July 1 and 6, 2016, Plaintiffs' request for a pre-motion conference is DENIED. The Court construes Plaintiffs' letter dated July 1, 2016 as a motion to supplement the second amended complaint pursuant to Federal Rule of Civil Procedure 15(d). The Court will decide the motion in conjunction with Defendants' pending motions to dismiss. SO ORDERED. (Signed by Judge Analisa Torres on 7/13/2016) (ama) (Entered: 07/14/2016) |
| 07/15/2016 | <a href="#">460</a> | NOTICE of withdrawal of plaintiffs' counsel Martin D. Quinones re: <a href="#">391</a> Order on Motion to Appear Pro Hac Vice. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. (Dermody, Kelly) (Entered: 07/15/2016)  |
| 07/19/2016 | <a href="#">461</a> | MEMO ENDORSEMENT on re: <a href="#">460</a> Notice of withdrawal of plaintiffs' counsel Martin D. Quinones, filed by Allison Gamba, Shanna Orlich, H. Christina Chen-Oster, Mary De Luis, Mary de Luis, Lisa Parisi. ENDORSEMENT: Plaintiffs' request is GRANTED. The Clerk of Court is directed to remove Martin D. Quinones' appearance from the docket and name from the ECF distribution list. SO ORDERED. Attorney Martin Daniel Quinones terminated. (Signed by Judge Analisa Torres on 7/19/2016) (kko) (Entered: 07/19/2016)             |
| 07/25/2016 | <a href="#">462</a> | MEMORANDUM OF LAW in Opposition re: <a href="#">457</a> MOTION to Dismiss <i>Mary De Luis' Claims for Injunctive and Declaratory Relief</i> . . Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. (Klein, Adam) (Entered: 07/25/2016)  |
| 08/01/2016 | <a href="#">463</a> | REPLY MEMORANDUM OF LAW in Support re: <a href="#">457</a> MOTION to Dismiss <i>Mary De Luis' Claims for Injunctive and Declaratory Relief</i> . . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Giuffra, Robert) (Entered: 08/01/2016)  |
| 08/29/2016 | <a href="#">464</a> | NOTICE OF APPEARANCE by Tiseme Gabriella Zegeye on behalf of H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Zegeye, Tiseme) (Entered: 08/29/2016)   |
| 08/31/2016 | <a href="#">465</a> | NOTICE OF CHANGE OF ADDRESS by Adam T Klein on behalf of H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. New Address: Outten & Golden LLP, 685 Third Avenue, 25th Floor, New York, New York, United States 10017, 2122451000. (Klein, Adam) (Entered: 08/31/2016)  |
| 08/31/2016 | <a href="#">466</a> | NOTICE OF CHANGE OF ADDRESS by Cara Elizabeth Greene on behalf of H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. New Address: Outten & Golden LLP, 685 Third Avenue, 25th Floor, New York, New York, United States 10017, 2122451000. (Greene, Cara) (Entered: 08/31/2016)  |
| 08/31/2016 | <a href="#">467</a> | NOTICE OF CHANGE OF ADDRESS by Justin Mitchell Swartz on behalf of H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. New Address: Outten & Golden LLP, 685 Third Avenue, 25th Floor, New York, New York, United States 10017, 2122451000. (Swartz, Justin) (Entered: 08/31/2016)   |
| 09/01/2016 | <a href="#">468</a> | <b>FILING ERROR – DEFICIENT DOCKET ENTRY (SEE <a href="#">469</a> Notice of Change of Address)</b> – NOTICE OF CHANGE OF ADDRESS by Melissa E. Pierre-Louis on behalf of H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. New Address: Outten & Golden LLP, 685 Third Avenue, 25th Floor, New York, New York, United States 10017, 2122451000. (Pierre-Louis, Melissa) Modified on 9/2/2016 (db). (Entered: 09/01/2016)   |
| 09/01/2016 | <a href="#">469</a> | NOTICE OF CHANGE OF ADDRESS by Melissa Lardo Stewart on behalf of H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. New  |

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|            |            | Address: Outten & Golden LLP, 685 Third Avenue, 25th Floor, New York, New York, United States 10017, 2122451000. (Stewart, Melissa) (Entered: 09/01/2016)   |
| 09/01/2016 | <u>470</u> | NOTICE OF CHANGE OF ADDRESS by Ossai Miazad on behalf of H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. New Address: Outten & Golden LLP, 685 Third Avenue, 25th Floor, New York, New York, United States 10017, 2122451000. (Miazad, Ossai) (Entered: 09/01/2016)   |
| 09/06/2016 | <u>471</u> | NOTICE OF CHANGE OF ADDRESS by Paul W. Mollica on behalf of H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. New Address: Outten & Golden LLP, 161 N Clark Street, Suite 1600, Chicago, Illinois, United States 60601, 3128097010. (Mollica, Paul) (Entered: 09/06/2016)   |
| 09/08/2016 | <u>472</u> | MOTION for Conference . Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich.(Dermody, Kelly) (Entered: 09/08/2016)  |
| 09/09/2016 | <u>473</u> | ORDER denying <u>472</u> Letter Motion for Conference. A conference will be appropriate after the pending motions are decided. (HEREBY ORDERED by Magistrate Judge James C. Francis)(Text Only Order) (Francis, James) (Entered: 09/09/2016)  |
| 09/09/2016 | <u>474</u> | NOTICE of of withdrawal of plaintiffs' counsel Alison M. Stocking and Heather H. Wong re: <u>13</u> MOTION for Alison Stocking to Appear Pro Hac Vice., <u>15</u> MOTION for Heather H. Wong to Appear Pro Hac Vice.. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. (Dermody, Kelly) (Entered: 09/09/2016)  |
| 09/09/2016 | <u>475</u> | LETTER addressed to Judge Analisa Torres from Melissa L. Stewart dated September 9, 2016 re: Withdrawal of Plaintiffs' Counsel Carmelyn P Malalis and Dana Sussman. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi.(Stewart, Melissa) (Entered: 09/09/2016)   |
| 03/08/2017 | <u>476</u> | NOTICE of Withdrawal of Jeffrey B. Wall as Counsel for the Defendants. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Giuffra, Robert) (Entered: 03/08/2017)   |
| 03/09/2017 | <u>477</u> | LETTER addressed to Judge Analisa Torres from Adam T. Klein dated March 9, 2017 re: Request to Amend Plaintiffs' Proposed Supplemental Complaint. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A)(Klein, Adam) (Entered: 03/09/2017)  |
| 03/14/2017 | <u>478</u> | LETTER addressed to Judge Analisa Torres from Theodore O. Rogers, Jr. dated March 14, 2017 re: Response to Plaintiffs' March 9, 2017 Letter. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Rogers, Theodore) (Entered: 03/14/2017)   |
| 04/12/2017 | <u>479</u> | MEMORANDUM OPINION AND ORDER: re: <u>457</u> MOTION to Dismiss <i>Mary De Luis' Claims for Injunctive and Declaratory Relief</i> . filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc., <u>441</u> MOTION to Dismiss <i>Allison Gamba's Claims for Injunctive and Declaratory Relief</i> . filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc. Defendants move to dismiss Gamba's and De Luis' claims for injunctive and declaratory relief. Plaintiffs move to file a supplemental complaint. (As further set forth in this Order.) For the reasons stated above, Plaintiffs' motion seeking to file a supplemental complaint is GRANTED, and Defendants' motions to dismiss Gamba's and De Luis' injunctive and declaratory relief are DENIED. By April 26, 2017, the parties shall jointly write to the Court with a proposal on how to proceed regarding Judge Francis' memorandum and order on the parties' Daubert motions, and regarding Judge Francis' report and recommendation on Plaintiffs' motion for class certification, both dated March 10, 2015. ECF Nos. 363, 364. The Clerk of Court is directed to terminate the motions at ECF Nos. 441 and 457. (Signed by Judge Analisa Torres on 4/12/2017) (cf) (Entered: 04/12/2017) |
| 04/20/2017 | <u>480</u> | NOTICE OF APPEARANCE by Joshua Seth Levy on behalf of Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Levy, Joshua) (Entered: 04/20/2017)   |
| 04/20/2017 | <u>481</u> | NOTICE OF APPEARANCE by Ann-Elizabeth Ostrager on behalf of Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Ostrager, Ann-Elizabeth) (Entered: 04/20/2017)  |



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| 04/24/2017 | <u>482</u> | <b>FILING ERROR – DEFICIENT PLEADING – FILER ERROR –</b> SUPPLEMENTAL AMENDED COMPLAINT amending <u>411</u> Amended Complaint, against Goldman, Sachs & Co., The Goldman Sachs Group, Inc. with JURY DEMAND.Document filed by Mary De Luis, Allison Gamba, H. Christina Chen–Oster, Lisa Parisi, Shanna Orlich. Related document: <u>411</u> Amended Complaint, filed by Allison Gamba, Shanna Orlich, H. Christina Chen–Oster, Mary De Luis, Mary de Luis. (Attachments: # <u>1</u> Exhibit A – Order)(Klein, Adam) Modified on 4/25/2017 (pc). (Entered: 04/24/2017)         |
| 04/25/2017 | <u>483</u> | <b>FILING ERROR – WRONG EVENT TYPE SELECTED FROM MENU (SEE <u>484</u> Motion) –</b> NOTICE OF INTERLOCUTORY APPEAL from <u>479</u> Memorandum & Opinion. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. Form C and Form D are due within 14 days to the Court of Appeals, Second Circuit. (Giuffra, Robert) Modified on 5/2/2017 (db). (Entered: 04/25/2017)   |
| 04/25/2017 | <u>484</u> | MOTION for Leave to Appeal Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 04/25/2017)   |
| 04/25/2017 | <u>485</u> | MEMORANDUM OF LAW in Support re: <u>484</u> MOTION for Leave to Appeal . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Giuffra, Robert) (Entered: 04/25/2017)  |
| 04/25/2017 |            | Appeal Fee Due: for <u>483</u> Notice of Interlocutory Appeal. Appeal fee due by 5/9/2017. (tp) (Entered: 04/25/2017)  |
| 04/25/2017 |            | Transmission of Notice of Appeal and Certified Copy of Docket Sheet to US Court of Appeals re: <u>483</u> Notice of Interlocutory Appeal. (tp) (Entered: 04/25/2017)   |
| 04/25/2017 |            | Appeal Record Sent to USCA (Electronic File). Certified Indexed record on Appeal Electronic Files for <u>485</u> Memorandum of Law in Support of Motion filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc. were transmitted to the U.S. Court of Appeals. (tp) (Entered: 04/25/2017)   |
| 04/25/2017 |            | <b>***NOTICE TO ATTORNEY REGARDING DEFICIENT PLEADING. Notice to Attorney Adam T Klein to RE–FILE Document No. <u>482</u> Supplemental Amended Complaint The filing is deficient for the following reason(s): the wrong filer/filers were selected for the pleading. (pc)</b> (Entered: 04/25/2017)  |
| 04/25/2017 | <u>486</u> | SUPPLEMENTAL AMENDED COMPLAINT amending <u>411</u> Amended Complaint, against Goldman, Sachs & Co., The Goldman Sachs Group, Inc. with JURY DEMAND.Document filed by Mary De Luis, Allison Gamba, H. Christina Chen–Oster, Shanna Orlich. Related document: <u>411</u> Amended Complaint, filed by Allison Gamba, Shanna Orlich, H. Christina Chen–Oster, Mary De Luis, Mary de Luis. (Attachments: # <u>1</u> Exhibit A – Order)(Klein, Adam) (Entered: 04/25/2017)   |
| 04/26/2017 | <u>487</u> | JOINT LETTER addressed to Judge Analisa Torres from Cara E. Greene dated April 26, 2017 re: Joint Submission Pursuant to Court's April 12, 2017 Order. Document filed by H. Christina Chen–Oster, Mary De Luis, Allison Gamba, Shanna Orlich.(Greene, Cara) (Entered: 04/26/2017)  |
| 04/28/2017 | <u>488</u> | ORDER: Accordingly, it is ORDERED that: By May 19, 2017, Plaintiffs shall file their opposition to Defendants' motion for interlocutory appeal; By May 26, 2017, Defendants shall reply; By June 9, 2017, the parties may file objections to Judge Francis' Report and Recommendation as to Rule 23(b)(3) class certification and Judge Francis' Memorandum and Order; as further set forth in this Order. (Objections to R&R due by 6/9/2017, Responses due by 5/19/2017, Replies due by 5/26/2017.) (Signed by Judge Analisa Torres on 4/28/2017) (cf) (Entered: 04/28/2017) |
| 04/28/2017 | <u>489</u> | LETTER addressed to Judge Analisa Torres from Anne B. Shaver dated 4/28/2017 re: request for clarification of order. Document filed by H. Christina Chen–Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi.(Shaver, Anne) (Entered: 04/28/2017)   |
| 04/29/2017 | <u>490</u> | LETTER addressed to Judge Analisa Torres from Robert J. Giuffra, Jr. dated April 29, 2017 re: Response to Plaintiffs' April 28, 2017 Letter. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 04/29/2017)   |

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| 05/01/2017 | <u>491</u> | AMENDED ORDER: Accordingly, it is ORDERED that: By May 19, 2017, Plaintiffs shall file their opposition to Defendants' motion for interlocutory appeal; By May 26, 2017, Defendants shall reply; By June 9, 2017, the parties may file objections to Judge Francis' Report and Recommendation as to Rule 23(b)(3) class certification and Judge Francis' Memorandum and Order; as further set forth in this Order. This order amends the previously issued order, ECF No. 488. (Signed by Judge Analisa Torres on 5/1/2017) (cf) (Entered: 05/01/2017)  |
| 05/04/2017 | <u>492</u> | NOTICE OF APPEARANCE by Christopher McNerney on behalf of H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (McNerney, Christopher) (Entered: 05/04/2017)  |
| 05/05/2017 | <u>493</u> | MANDATE of USCA (Certified Copy) as to <u>483</u> Notice of Interlocutory Appeal, filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc. USCA Case Number 17-1273. Appellants filed an unopposed motion to withdraw the appeal. IT IS HEREBY ORDERED that the motion is GRANTED.. Catherine O'Hagan Wolfe, Clerk USCA for the Second Circuit. Issued As Mandate: 5/5/2017. (nd) (Entered: 05/05/2017)   |
| 05/08/2017 | <u>494</u> | ANSWER to <u>486</u> Amended Complaint., Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 05/08/2017)  |
| 05/19/2017 | <u>495</u> | MEMORANDUM OF LAW in Opposition re: <u>484</u> MOTION for Leave to Appeal . Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. (Dermody, Kelly) (Entered: 05/19/2017)  |
| 05/26/2017 | <u>496</u> | REPLY MEMORANDUM OF LAW in Support re: <u>484</u> MOTION for Leave to Appeal . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Appendix A, # <u>2</u> Appendix B-1, # <u>3</u> Appendix B-2)(Giuffra, Robert) (Entered: 05/26/2017)   |
| 05/26/2017 | <u>497</u> | DECLARATION of Robert J. Giuffra, Jr. in Support re: <u>484</u> MOTION for Leave to Appeal . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2, # <u>3</u> Exhibit 3, # <u>4</u> Exhibit 4, # <u>5</u> Exhibit 5, # <u>6</u> Exhibit 6, # <u>7</u> Exhibit 7)(Giuffra, Robert) (Entered: 05/26/2017)  |
| 05/30/2017 | <u>498</u> | LETTER MOTION for Extension of Time addressed to Judge Analisa Torres from Counsel for Plaintiffs and Defendants Jointly dated May 30, 2017. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 05/30/2017)  |
| 05/30/2017 | <u>499</u> | ORDER granting <u>498</u> Letter Motion for Extension of Time. GRANTED. Objections to R&R due by 6/23/2017 (Signed by Judge Analisa Torres on 5/30/2017) (cf) (Entered: 05/30/2017)   |
| 06/14/2017 | <u>500</u> | ORDER granting <u>484</u> Motion for Leave to Appeal. By order dated April 12, 2017, the Court denied Defendants' motion. ECF No. 479 (the "Order"). Defendants now move to certify for interlocutory appeal two issues raised in the Order. (As further set forth in this Order.) For the reasons stated above, Defendants' motion is GRANTED and the Order at ECF No. 479 is certified for interlocutory appeal. Defendants shall have ten days from the entry of this order to apply to the Second Circuit for leave to proceed with the appeal. The Clerk of Court is directed to terminate the motion at ECF No. 484. (Signed by Judge Analisa Torres on 6/14/2017) (cf) (Main Document 500 replaced on 6/14/2017) (cf). (Entered: 06/14/2017) |
| 06/23/2017 | <u>501</u> | Objection re: <u>363</u> Order on Motion to Strike,,,,, Order on Motion in Limine,,,,,,,,,,,,,,,,,,,,,,,,,,,,, <i>Memorandum of Law in Support of Plaintiffs' Objections to Judge Francis Memorandum and Order dated March 10, 2015, regarding partial exclusion of expert testimony of Dr. Michael A. Campion.</i> Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Klein, Adam) (Entered: 06/23/2017)  |
| 06/23/2017 | <u>502</u> | OBJECTION to <u>364</u> Report and Recommendations Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Giuffra, Robert) (Entered: 06/23/2017)   |
| 06/23/2017 | <u>503</u> | MOTION Objections to the Magistrate Judge's Decision Granting Motion to Strike Expert Testimony of Michael P. Curran re: <u>363</u> Order on Motion to Strike,,,,, Order on Motion in Limine,,,,,,,,,,,,,,,,,,,,,,,,,,,,, . Document filed by Goldman, Sachs & Co.,   |

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|            |            | The Goldman Sachs Group, Inc..(Brown, Barbara) (Entered: 06/23/2017)  |
| 06/23/2017 | <u>504</u> | MOTION Defendants' Objections to the Magistrate Judge's Decision Granting in Part Motion to Strike Expert Testimony of Michael P. Ward re: <u>363</u> Order on Motion to Strike,,,, Order on Motion in Limine,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Brown, Barbara) (Entered: 06/23/2017)  |
| 06/23/2017 | <u>505</u> | MOTION Defendants' Objections to the Magistrate Judge's Decision Denying Defendants' Motion to Strike Reports, Opinion, and Any Testimony of Plaintiffs' Expert Dr. Henry S. Farber re: <u>363</u> Order on Motion to Strike,,,, Order on Motion in Limine,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Brown, Barbara) (Entered: 06/23/2017)   |
| 06/24/2017 | <u>506</u> | MOTION Defendants' Objections to the Magistrate Judge's Decision Denying Motion to Strike Expert Testimony of Wayne F. Cascio re: <u>363</u> Order on Motion to Strike,,,, Order on Motion in Limine,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Brown, Barbara) (Entered: 06/24/2017)   |
| 06/24/2017 | <u>507</u> | DECLARATION of Barbara B. Brown in Support re: <u>506</u> MOTION Defendants' Objections to the Magistrate Judge's Decision Denying Motion to Strike Expert Testimony of Wayne F. Cascio re: <u>363</u> Order on Motion to Strike,,,, Order on Motion in Limine,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, <i>1 Exhibit A</i> )(Brown, Barbara) (Entered: 06/24/2017)  |
| 06/29/2017 | <u>508</u> | ENDORSED LETTER addressed to Judge Analisa Torres from Anne B. Shaver dated 6/29/2017 re: Plaintiffs respectfully submit that their Objections should be filed on the public docket without redactions. ENDORSEMENT: Post to docket. SO ORDERED. (cf) Modified on 6/29/2017 (cf). (Signed by Judge Analisa Torres on 6/29/2017) (Entered: 06/29/2017)   |
| 06/29/2017 | <u>509</u> | ENDORSED LETTER addressed to Judge Analisa Torres from Robert J. Giuffra, Jr. and Barbara B. Brown dated 6/28/2017 re: no basis for overturning Judge Francis' Order denying Plaintiffs' application to unseal these highly confidential materials. ENDORSEMENT: Post to docket. SO ORDERED. (Signed by Judge Analisa Torres on 6/29/2017) (cf) (Entered: 06/29/2017)   |
| 06/29/2017 | <u>510</u> | ORDER: By letter e-mailed to chambers dated June 23, 2017, Plaintiffs ask this Court to unseal these documents in connection with their objections to Judge Francis' report and recommendation. ECF No. 508. Defendants opposed Plaintiffs' request by letter e-mailed to chambers dated June 28, 2017. ECF No. 509. Accordingly, Plaintiffs' request is DENIED without prejudice to renew pursuant to Judge Francis' June 10, 2014 order. By July 5, 2017, Plaintiffs shall file their objections on the public docket with appropriate redactions and file an unredacted version with the Court's Sealed Records Department. (As further set forth in this Order.) (Objections to R&R due by 7/5/2017) (Signed by Judge Analisa Torres on 6/29/2017) (cf) (Entered: 06/29/2017) |
| 06/30/2017 | <u>511</u> | OBJECTION to <u>364</u> Report and Recommendations <i>regarding Plaintiffs' Motion for Class Certification Pursuant to Rule 23(b)(3)</i> Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. (Shaver, Anne) (Entered: 06/30/2017)   |
| 07/05/2017 | 512        | SEALED DOCUMENT placed in vault.(rz) (Entered: 07/06/2017)  |
| 07/14/2017 | <u>513</u> | RESPONSE re: <u>511</u> Objection to Report and Recommendations, <i>Defendants' Memorandum in Response to Plaintiffs' Objections to the Magistrate Judge's Report and Recommendation Regarding Plaintiffs' Motion For Class Certification Pursuant to Rule 23(b)(3)</i> . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Giuffra, Robert) (Entered: 07/14/2017)  |
| 07/21/2017 | <u>514</u> | REPLY MEMORANDUM OF LAW in Support re: <u>511</u> Objection to Report and Recommendations, <i>regarding Plaintiffs' Motion for Class Certification Pursuant to Rule 23(b)(3)</i> . Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. (Shaver, Anne) (Entered: 07/21/2017)   |
| 07/21/2017 | <u>515</u> | RESPONSE re: <u>504</u> MOTION Defendants' Objections to the Magistrate Judge's Decision Granting in Part Motion to Strike Expert Testimony of Michael P. Ward re: <u>363</u> Order on Motion to Strike,,,, Order on Motion in Limine,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, . Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna  |

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|            |            | Orlich. (Klein, Adam) (Entered: 07/21/2017)   |
| 07/21/2017 | <u>516</u> | RESPONSE re: <u>503</u> MOTION Objections to the Magistrate Judge's Decision Granting Motion to Strike Expert Testimony of Michael P. Curran re: <u>363</u> Order on Motion to Strike,,,, Order on Motion in Limine,,,,,,,,,,,,,,,,,,,,,,,,,,,, . . Document filed by H. Christina Chen–Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. (Klein, Adam) (Entered: 07/21/2017)   |
| 07/21/2017 | <u>517</u> | RESPONSE to Motion re: <u>506</u> MOTION Defendants' Objections to the Magistrate Judge's Decision Denying Motion to Strike Expert Testimony of Wayne F. Cascio re: <u>363</u> Order on Motion to Strike,,,, Order on Motion in Limine,,,,,,,,,,,,,,,,,,,,,,,,,,,, . Document filed by H. Christina Chen–Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Geman, Rachel) (Entered: 07/21/2017)   |
| 07/21/2017 | <u>518</u> | DECLARATION of Rachel Geman in Support re: <u>517</u> Response to Motion,. Document filed by H. Christina Chen–Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Attachments: # <u>1</u> Exhibit A)(Geman, Rachel) (Entered: 07/21/2017)  |
| 07/21/2017 | <u>519</u> | RESPONSE re: <u>501</u> Objection (non–motion), <i>Defendants' Response to Plaintiffs' Objections to the Magistrate Judge's Decision Denying Motion to Strike Expert Testimony of Michael A. Campion, Ph.D.</i> Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Brown, Barbara) (Entered: 07/21/2017)   |
| 07/21/2017 | <u>520</u> | DECLARATION of Barbara B. Brown in Support re: <u>519</u> Response,. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit A)(Brown, Barbara) (Entered: 07/21/2017)   |
| 07/28/2017 | <u>521</u> | ORDER: Accordingly, Defendants' request to file under seal is GRANTED. Defendants may file their reply memorandum with the proposed redactions. By August 1, 2017, the parties shall file the documents associated with these requests on the public docket and file an unredacted version of the reply memorandum and the sealed documents with the Court's Sealed Records Department. SO ORDERED. (Signed by Judge Analisa Torres on 7/28/2017) (ama) (Entered: 07/28/2017) |
| 07/31/2017 | <u>522</u> | REPLY <i>Defendants' reply in support of their objections to the Magistrate Judge's Report and Recommendation concerning the proposed Rule 23(b)(3) damages class.</i> Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Giuffra, Robert) (Entered: 07/31/2017)   |
| 07/31/2017 | <u>523</u> | RESPONSE re: <u>505</u> MOTION Defendants' Objections to the Magistrate Judge's Decision Denying Defendants' Motion to Strike Reports, Opinion, and Any Testimony of Plaintiffs' Expert Dr. Henry S. Farber re: <u>363</u> Order on Motion to Strike,,,, Order o . Document filed by H. Christina Chen–Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. (Klein, Adam) (Entered: 07/31/2017)  |
| 07/31/2017 | <u>524</u> | DECLARATION of Adam T. Klein re: <u>523</u> Response, . Document filed by H. Christina Chen–Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A)(Klein, Adam) (Entered: 07/31/2017)  |
| 07/31/2017 | <u>525</u> | RESPONSE re: <u>502</u> Objection to Report and Recommendations <i>regarding Plaintiffs' Motion for Class Certification.</i> Document filed by H. Christina Chen–Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. (Shaver, Anne) (Entered: 07/31/2017)   |
| 07/31/2017 | <u>526</u> | DECLARATION of Anne B. Shaver in Support re: <u>525</u> Response. Document filed by H. Christina Chen–Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C, # <u>4</u> Exhibit D)(Shaver, Anne) (Entered: 07/31/2017)   |
| 08/01/2017 | <u>527</u> | SEALED DOCUMENT placed in vault.(rz) (Entered: 08/01/2017)  |
| 08/01/2017 | <u>528</u> | NOTICE of Supplemental Authority re: <u>502</u> Objection to Report and Recommendations. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit Exhibit A)(Giuffra, Robert) (Entered: 08/01/2017)  |
| 08/01/2017 | <u>529</u> | SEALED DOCUMENT placed in vault.(rz) (Entered: 08/01/2017)  |

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| 08/01/2017 | <u>530</u> | LETTER addressed to Judge Analisa Torres from Robert J. Giuffra Jr. dated 8/1/2017 re: oral argument regarding Magistrate Judge's Report and Recommendation dated March 10, 2015. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 08/01/2017)  |
| 08/01/2017 | <u>531</u> | REPLY MEMORANDUM OF LAW in Support re: <u>501</u> Objection (non-motion), . Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. (Klein, Adam) (Entered: 08/01/2017)  |
| 08/01/2017 | <u>532</u> | REPLY MEMORANDUM OF LAW in Support re: <u>505</u> MOTION Defendants' Objections to the Magistrate Judge's Decision Denying Defendants' Motion to Strike Reports, Opinion, and Any Testimony of Plaintiffs' Expert Dr. Henry S. Farber re: <u>363</u> Order on Motion to Strike,,, Order o . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Brown, Barbara) (Entered: 08/01/2017)  |
| 08/01/2017 | <u>533</u> | REPLY MEMORANDUM OF LAW in Support re: <u>504</u> MOTION Defendants' Objections to the Magistrate Judge's Decision Granting in Part Motion to Strike Expert Testimony of Michael P. Ward re: <u>363</u> Order on Motion to Strike,,, Order on Motion in Limine,,,,,,,,,,,,,,,,,,,,,,,,,,,,, . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Brown, Barbara) (Entered: 08/01/2017)  |
| 08/01/2017 | <u>534</u> | REPLY MEMORANDUM OF LAW in Support re: <u>503</u> MOTION Objections to the Magistrate Judge's Decision Granting Motion to Strike Expert Testimony of Michael P. Curran re: <u>363</u> Order on Motion to Strike,,, Order on Motion in Limine,,,,,,,,,,,,,,,,,,,,,,,,,,,,, . . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Brown, Barbara) (Entered: 08/01/2017)  |
| 08/01/2017 | <u>535</u> | REPLY MEMORANDUM OF LAW in Support re: <u>506</u> MOTION Defendants' Objections to the Magistrate Judge's Decision Denying Motion to Strike Expert Testimony of Wayne F. Cascio re: <u>363</u> Order on Motion to Strike,,, Order on Motion in Limine,,,,,,,,,,,,,,,,,,,,,,,,,,,,, . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Brown, Barbara) (Entered: 08/01/2017)   |
| 08/01/2017 | <u>536</u> | DECLARATION of Barbara B. Brown in Support re: <u>535</u> Reply Memorandum of Law in Support,. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit A)(Brown, Barbara) (Entered: 08/01/2017)  |
| 08/02/2017 | <u>537</u> | RESPONSE re: <u>528</u> Notice (Other) <i>Plaintiffs' Response to Notice of Supplemental Authority</i> . Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. (Dermody, Kelly) (Entered: 08/02/2017)  |
| 08/02/2017 | <u>538</u> | RESPONSE re: <u>530</u> Letter, to <i>Honorable Analisa Torres</i> . Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi. (Klein, Adam) (Entered: 08/02/2017)   |
| 08/29/2017 | <u>539</u> | MANDATE of USCA (Certified Copy). USCA Case Number 17-2020. Petitioner moves pursuant to 28 U.S.C. ' 1292(b) for leave to appeal an interlocutory order of the district court. Petitioner also moves for leave to submit a reply brief. Upon due consideration, it is hereby ORDERED that the motion to submit a reply brief is GRANTED, but the petition for leave to appeal is DENIED. See <i>Klinghoffer v. S.N.C. Achille Lauro</i> , 921 F.2d 21, 23-25 (2d Cir. 1990).. Catherine O'Hagan Wolfe, Clerk USCA for the Second Circuit. Issued As Mandate: 08/29/2017. (nd) (Entered: 08/30/2017)  |
| 08/30/2017 | <u>540</u> | ORDER: Accordingly, the stay of consideration of Judge Francis' R & R regarding Rules 23(b)(2) and (c)(4) class certification is LIFTED and Judge Francis' R & R is VACATED as to Rules 23(b)(2) and (c)(4) class certification. By September 6, 2017, the parties shall file a joint letter addressed to Judge Francis with their positions on what additional discovery is required in connection with Plaintiffs' motion for Rules 23(b)(2) and (c)(4) class certification and a proposed timeline for completing that discovery and filing supplemental briefing on Plaintiff's motion. The Court will address the parties' objections to Judge Francis' R & R regarding the Rule 23(b)(3) class certification in due course, and as further set forth in this order. (Signed by Judge Analisa Torres on 8/30/2017) (ap) (Entered: 08/30/2017) |
| 08/31/2017 | <u>541</u> | LETTER MOTION for Extension of Time addressed to Judge Analisa Torres from Robert J. Giuffra, Jr. and Barbara B. Brown dated August 31, 2017. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 08/31/2017)  |

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| 08/31/2017 | 542        | ORDER granting <u>541</u> Letter Motion for Extension of Time. By September 13, 2017, the parties shall file their joint letter. (HEREBY ORDERED by Judge Analisa Torres)(Text Only Order) (js) (Entered: 08/31/2017)   |
| 09/13/2017 | <u>543</u> | LETTER addressed to Magistrate Judge James C. Francis IV from Robert J. Giuffra, Jr. and Adam T. Klein dated September 13, 2017 re: Discovery and Briefing Schedule. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 09/13/2017)  |
| 09/21/2017 | <u>544</u> | ORDER: A pretrial conference having been held by telephone on September 21, 2017, it is hereby ORDERED as follows: 1. Because the scope of any remaining discovery on class certification will be driven largely by whether defendants' personnel evaluation policies and practices have changed in material ways since the class motion was originally briefed, (a) defendants shall submit evidence and legal argument with respect to any such changes by October 13, 2017, (b) plaintiffs shall answer defendants' submission by November 3, 2017; and (c) defendants shall reply by November 11, 2017. 2. Defendants may proceed with discovery, including depositions, directed to the adequacy and typicality of Mary DeLuis and Allison Gamba as class representatives. ( Motions due by 10/13/2017., Responses due by 11/3/2017, Replies due by 11/11/2017.) (Signed by Magistrate Judge James C. Francis on 9/21/2017) (rj) (Entered: 09/22/2017) |
| 09/21/2017 |            | Minute Entry for proceedings held before Magistrate Judge James C. Francis: Telephone Conference held on 9/21/2017. (Hotelling, David) (Entered: 09/26/2017)  |
| 10/13/2017 | <u>545</u> | MEMORANDUM OF LAW <i>on the impact of material changes to Goldman Sachs's challenged processes for evaluating professionals on class certification discovery and briefing.</i> Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Giuffra, Robert) (Entered: 10/13/2017)   |
| 10/13/2017 | <u>546</u> | DECLARATION of Robert J. Giuffra, Jr. in Support re: <u>545</u> Memorandum of Law,. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2, # <u>3</u> Exhibit 3, # <u>4</u> Exhibit 4, # <u>5</u> Exhibit 5, # <u>6</u> Exhibit 6, # <u>7</u> Exhibit 7, # <u>8</u> Exhibit 8, # <u>9</u> Exhibit 9, # <u>10</u> Exhibit 10, # <u>11</u> Exhibit 11)(Giuffra, Robert) (Entered: 10/13/2017)   |
| 10/16/2017 | <u>547</u> | LETTER MOTION for Oral Argument <i>on the scope of any remaining discovery on class certification, as well as Defendants' October 13, 2017 Memorandum of Law on the Impact of Material CHanges to Goldman Sachs's Challenged Processes for Evaluating Profsesionals on Class Certification Discovery and Briefing.</i> addressed to Magistrate Judge James C. Francis IV from Robert J. Giuffra Jr. dated 10/16/2017. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 10/16/2017)   |
| 10/17/2017 | 548        | ORDER denying without prejudice <u>547</u> Letter Motion for Oral Argument. This application may be resubmitted to my successor after October 27, 2017. (HEREBY ORDERED by Magistrate Judge James C. Francis)(Text Only Order) (Francis, James) (Entered: 10/17/2017)   |
| 10/19/2017 | <u>549</u> | LETTER addressed to Magistrate Judge James C. Francis IV from Robert J. Giuffra Jr. dated 10/19/2017 re: Plaintiffs' October 17, 2017 discovery requests.. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2)(Giuffra, Robert) (Entered: 10/19/2017)  |
| 10/20/2017 | <u>550</u> | LETTER addressed to Magistrate Judge James C. Francis IV from Adam T. Klein dated 10/20/2017 re: Plaintiffs' Response to Defendants' 10/19/2017 Letter. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi.(Klein, Adam) (Entered: 10/20/2017)  |
| 10/23/2017 | <u>551</u> | LETTER addressed to Magistrate Judge James C. Francis IV from Robert J. Giuffra, Jr. dated October 23, 2017 re: Reply to Plaintiffs' Response Dated October 20. Document filed by The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 10/23/2017)   |
| 10/25/2017 | <u>552</u> | MEMO ENDORSEMENT on re: <u>550</u> Letter, filed by Allison Gamba, Shanna Orlich, H. Christina Chen-Oster, Mary De Luis, Mary de Luis, Lisa Parisi.   |

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|            |            | ENDORSEMENT: Application denied. Discovery is not warranted with respect to the pending application to determine the scope and timing of further discovery. If, ultimately, the evidence that the defendants now proffer proves to be misleading, the plaintiffs may seek appropriate relief. SO ORDERED. (Signed by Magistrate Judge James C. Francis on 10/25/2017) (anc) (Entered: 10/25/2017)   |
| 10/31/2017 |            | NOTICE OF REDESIGNATION TO ANOTHER MAGISTRATE JUDGE. The above entitled action has been redesignated to Magistrate Judge Robert W. Lehrburger. Please note that this is a reassignment of the designation only. (wb) (Entered: 10/31/2017)  |
| 11/03/2017 | <u>553</u> | MEMORANDUM OF LAW in Opposition re: <u>545</u> Memorandum of Law, <i>on the Impact of Changes to Goldman Sachs's Challenged Personnel Evaluation Policies on Class Certification Discovery and Briefing</i> . Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Dermody, Kelly) (Entered: 11/03/2017)   |
| 11/03/2017 | <u>554</u> | DECLARATION of Kelly M. Dermody in Support re: <u>553</u> Memorandum of Law in Opposition,. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Dermody, Kelly) (Entered: 11/03/2017)   |
| 11/13/2017 | <u>555</u> | MEMORANDUM OF LAW in Support of <i>Defendants' Briefing on the Impact of Material Changes (Reply)</i> . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Giuffra, Robert) (Entered: 11/13/2017)  |
| 11/14/2017 | <u>556</u> | LETTER MOTION for Oral Argument <i>on the parties' proposed schedules for supplementation of the record</i> addressed to Magistrate Judge Robert W. Lehrburger from Robert J. Giuffra, Jr. dated 11/14/2017. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 11/14/2017)  |
| 11/16/2017 | <u>557</u> | LETTER addressed to Robert W. Lehrburger from Adam T. Klein dated 11/16/2017 re: Plaintiffs' non-opposition to Goldman's request for oral argument on the recent briefing about the impact, if any, of changes to the challenged personnel evaluation policies on class certification discovery and briefing. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich.(Klein, Adam) (Entered: 11/16/2017)   |
| 11/27/2017 |            | NOTICE OF REASSIGNMENT OF A REFERRAL TO ANOTHER MAGISTRATE JUDGE. The referral in the above entitled action has been reassigned to Magistrate Judge Robert W. Lehrburger, for Dispositive Motion (i.e., motion requiring a Report and Recommendation). Magistrate Judge James C. Francis no longer referred to the case. (wb) (Entered: 11/27/2017)   |
| 11/29/2017 |            | NOTICE and ORDER of Hearing: Oral Argument set for 12/13/2017 at 11:00 AM in Courtroom 18D, 500 Pearl Street, New York, NY 10007 before Magistrate Judge Robert W. Lehrburger. (rsh) (Entered: 11/29/2017)  |
| 12/13/2017 |            | Minute entry for proceedings held before Magistrate Judge Robert W. Lehrburger: Oral Argument held on 12/13/2017 at 11:00 a.m. Issues Resolved per Orders Reflected in Transcript. (rsh) (Entered: 12/13/2017)  |
| 12/20/2017 | <u>558</u> | LETTER addressed to Robert W. Lehrburger from Robert J. Giuffra, Jr. and Adam T. Klein dated December 20, 2017 re: Schedule for Additional Discovery and Briefing. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 12/20/2017)  |
| 12/20/2017 | <u>559</u> | MEMO ENDORSEMENT (Signed by Magistrate Judge Robert W. Lehrburger on 12/20/2017) (Lehrburger, Robert) (Entered: 12/20/2017)   |
| 12/20/2017 | <u>560</u> | TRANSCRIPT of Proceedings re: Oral Argument held on 12/13/2017 before Magistrate Judge Robert W. Lehrburger. Court Reporter/Transcriber: Carole Ludwig, (212) 420-0771. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 1/10/2018. Redacted Transcript Deadline set for 1/22/2018. Release of Transcript Restriction set for 3/20/2018.(rro) (Entered: 12/20/2017) |



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| 12/20/2017 | <u>561</u> | NOTICE OF FILING OF OFFICIAL TRANSCRIPT Notice is hereby given that an official transcript of a Oral Argument proceeding held on 12/13/2017 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.(rro) (Entered: 12/20/2017)   |
| 12/29/2017 | <u>562</u> | LETTER MOTION for Extension of Time addressed to Magistrate Judge Robert W. Lehrburger from Robert J. Giuffra, Jr. and Adam T. Klein dated December 29, 2017. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 12/29/2017)   |
| 12/29/2017 | <u>563</u> | ORDER granting <u>562</u> Letter Motion for Extension of Time. SO ORDERED. (Discovery due by 1/3/2018.) (Signed by Magistrate Judge Robert W. Lehrburger on 12/29/2017) (anc) (Entered: 12/29/2017)   |
| 01/03/2018 | <u>564</u> | JOINT LETTER addressed to Robert W. Lehrburger from Adam T. Klein and Robert J. Giuffra, Jr. dated January 3, 2018 re: Joint Submission. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich.(Dermody, Kelly) (Entered: 01/03/2018)   |
| 01/11/2018 | <u>565</u> | ORDER: The Court believes the schedule on the following page is appropriate. Before finalizing it, however, I would like to hear from the parties whether any dates should be adjusted in light of concerns about which the Court may not be aware. Accordingly, I will hold a telephone conference with the parties to discuss and finalize the schedule set forth below. The parties should contact my Courtroom Deputy to find a suitable date and time; and as further set forth herein. SO ORDERED. (Signed by Magistrate Judge Robert W. Lehrburger on 1/11/2018) Copies Transmitted By Chambers. (anc) (Entered: 01/11/2018) |
| 01/18/2018 |            | NOTICE of Hearing: Telephone Conference set for 1/23/2018 at 2:00 PM before Magistrate Judge Robert W. Lehrburger. Parties shall jointly call the chambers conference line at (212) 805-0113.(rsh) (Entered: 01/18/2018)  |
| 01/23/2018 | <u>566</u> | LETTER addressed to Robert W. Lehrburger from Robert J. Giuffra, Jr. and Adam T. Klein dated January 23, 2018 re: Proposed Schedule for Discovery and Briefing. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 01/23/2018)   |
| 01/23/2018 |            | ORDER: As discussed during the telephone conference held today, the parties are to meet and confer to discuss a schedule for class certification and briefing. Plaintiffs' reply brief may be due no later than December 3, 2018. The parties shall submit the schedule for the Court's review by January 30, 2018. Thereafter, the parties shall submit status reports to the Court every sixty days, unless directed otherwise. (Signed by Magistrate Judge Robert W. Lehrburger on 1/23/2018) (Lehrburger, Robert) (Entered: 01/23/2018)   |
| 01/23/2018 |            | Minute entry for proceedings held before Magistrate Judge Robert W. Lehrburger: Telephone Conference held on 1/23/2018 at 2:00 p.m. (rsh) (Entered: 01/23/2018)   |
| 01/30/2018 | <u>567</u> | LETTER addressed to Robert W. Lehrburger from Robert J. Giuffra, Jr. and Adam T. Klein dated January 30, 2018 re: Proposed Schedule for Class Certification Discovery and Briefing. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 01/30/2018)   |
| 01/30/2018 | <u>568</u> | MEMO ENDORSEMENT on re: <u>567</u> Letter, filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc. ENDORSEMENT: The dates set forth below are adopted as the schedule going forward. ( Brief due by 9/17/2018., Deposition due by 8/15/2018., Fact Discovery due by 3/30/2018., Reply to Response to Brief due by 11/16/2018., Responses to Brief due by 10/26/2018) (Signed by Magistrate Judge Robert W. Lehrburger on 1/30/2018) (mro) (Entered: 01/30/2018)  |
| 02/08/2018 | <u>569</u> | MOTION for Michelle A. Lamy to Appear Pro Hac Vice . Filing fee \$ 200.00, receipt number 0208-14678503. <b>Motion and supporting papers to be reviewed by Clerk's Office staff.</b> Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Attachments: # <u>1</u> Affidavit of Michelle A. Lamy and attached Certificate of Good Standing, # <u>2</u> Text of Proposed Order)(Lamy, Michelle) (Entered: 02/08/2018)  |



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| 02/08/2018 |            | >>>NOTICE REGARDING PRO HAC VICE MOTION. Regarding Document No. <u>569</u> MOTION for Michelle A. Lamy to Appear Pro Hac Vice . Filing fee \$ <b>200.00</b> , receipt number 0208-14678503. Motion and supporting papers to be reviewed by Clerk's Office staff.. The document has been reviewed and there are no deficiencies. (wb) (Entered: 02/08/2018)   |
| 02/09/2018 | <u>570</u> | ORDER FOR ADMISSION PRO HAC VICE OF MICHELLE A. LAMY granting <u>569</u> Motion for Michelle A. Lamy to Appear Pro Hac Vice. (Signed by Magistrate Judge Robert W. Lehrburger on 2/9/2018) (anc) (Entered: 02/09/2018)   |
| 02/23/2018 | <u>571</u> | MOTION for Daniel S. Stromberg to Appear Pro Hac Vice . Filing fee \$ 200.00, receipt number 0208-14735949. <b>Motion and supporting papers to be reviewed by Clerk's Office staff.</b> Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Attachments: # <u>1</u> Affidavit, # <u>2</u> Text of Proposed Order)(Stromberg, Danile) (Entered: 02/23/2018)   |
| 02/23/2018 |            | >>>NOTICE REGARDING PRO HAC VICE MOTION. Regarding Document No. <u>571</u> MOTION for Daniel S. Stromberg to Appear Pro Hac Vice . Filing fee \$ <b>200.00</b> , receipt number 0208-14735949. Motion and supporting papers to be reviewed by Clerk's Office staff.. The document has been reviewed and there are no deficiencies. (jc) (Entered: 02/23/2018)  |
| 02/26/2018 | <u>572</u> | ORDER FOR ADMISSION PRO HAC VICE granting <u>571</u> Motion for Daniel S. Stromberg to Appear Pro Hac Vice. (Signed by Magistrate Judge Robert W. Lehrburger on 2/26/2018) (mml) (Entered: 02/26/2018)   |
| 03/23/2018 | 573        | ORDER To assist the Court in its review, by <b>March 26, 2018</b> , the parties shall email to the Court (1) a list of all declarations and depositions cited in the briefing for all pending motions, along with their corresponding ECF numbers, and (2) full, unexcerpted, unredacted copies of all depositions cited in the briefing for all pending motions. Emailing a link to an FTP is acceptable. (Signed by Judge Analisa Torres on 3/23/2018)(DN) (Entered: 03/23/2018)   |
| 03/23/2018 | <u>574</u> | LETTER MOTION for Extension of Time <i>to Comply with Court's March 23, 2018 Order</i> addressed to Judge Analisa Torres from Kelly M. Dermody dated 3/23/2018. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi.(Dermody, Kelly) (Entered: 03/23/2018)  |
| 03/23/2018 | 575        | ORDER granting in part and denying in part <u>574</u> Letter Motion for Extension of Time. By <b>March 26, 2018</b> , the parties shall email full, unexcerpted, unredacted copies of the depositions of the four named Plaintiffs to the Court. By <b>March 28, 2018</b> , the parties shall email the remaining documents identified in the Court's order at ECF No. 573. (HEREBY ORDERED by Judge Analisa Torres)(Text Only Order) (DN) (Entered: 03/23/2018)   |
| 03/26/2018 | <u>576</u> | JOINT LETTER addressed to Robert W. Lehrburger from Robert J. Giuffra, Jr. and Adam T. Klein dated March 26, 2018 re: Joint Progress Report. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 03/26/2018)   |
| 03/28/2018 | 577        | ORDER: The Court is in receipt of the parties' joint status report filed on March 26, 2018 (Dkt. 576). The Court appreciates the thoroughness of the report and the cooperation between the parties and their counsel. The joint request to take the depositions identified on page six of the report beyond the fact discovery cut-off is granted. (Signed by Magistrate Judge Robert W. Lehrburger on 3/28/2018) (Lehrburger, Robert) (Entered: 03/28/2018)  |
| 03/30/2018 | <u>578</u> | OPINION AND ORDER re: <u>504</u> MOTION Defendants' Objections to the Magistrate Judge's Decision Granting in Part Motion to Strike Expert Testimony of Michael P. Ward re: <u>363</u> Order on Motion to Strike Order on Motion in Limine filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc., <u>506</u> MOTION Defendants' Objections to the Magistrate Judge's Decision Denying Motion to Strike Expert Testimony of Wayne F. Cascio re: <u>363</u> Order on Motion to Strike Order on Motion in Limine filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc., <u>505</u> MOTION Defendants' Objections to the Magistrate Judge's Decision Denying Defendants' |

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|            |            | <p>Motion to Strike Reports, Opinion, and Any Testimony of Plaintiffs' Expert Dr. Henry S. Farber re: <u>363</u> Order on Motion to Strike Order o filed by Goldman, Sachs &amp; Co., The Goldman Sachs Group, Inc., <u>503</u> MOTION Objections to the Magistrate Judge's Decision Granting Motion to Strike Expert Testimony of Michael P. Curran re: <u>363</u> Order on Motion to Strike Order on Motion in Limine filed by Goldman, Sachs &amp; Co., The Goldman Sachs Group, Inc. The Court held in abeyance briefing on objections to Judge Francis' M&amp;O and R&amp;R as to Rule 23(b)(3) class certification while it addressed other motions. See ECF Nos. 370, 437, 454. On April 28, 2017, the Court set a briefing schedule for objections to the M&amp;O and R&amp;R, see ECF No. 488, and briefs were fully submitted on August 1, 2017, see ECF Nos. 522, 531–35. (As further set forth in this Order.) For the reasons stated above, the parties' objections to the M&amp;O on expert testimony are OVERRULED. The parties' objections to the R&amp;R are SUSTAINED in part and OVERRULED in part. Plaintiffs' motion to certify the class is GRANTED in part and DENIED in part. The Clerk of Court is directed to terminate the motions at ECF Nos. 501, 502, 503, 504, 505, 506, and 511. (Signed by Judge Analisa Torres on 3/30/2018) (cf) Modified on 3/30/2018 (ama). Modified on 5/21/2018 (cf). (Entered: 03/30/2018)</p> |
| 04/13/2018 | <u>579</u> | <p>LETTER MOTION to Stay <i>Rule 23(b)(3) Class Notice and Discovery</i> addressed to Magistrate Judge Robert W. Lehrburger from Robert J. Giuffra, Jr. dated April 13, 2018. Document filed by Goldman, Sachs &amp; Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit A)(Giuffra, Robert) (Entered: 04/13/2018)</p>  |
| 04/16/2018 | <u>580</u> | <p>LETTER RESPONSE in Opposition to Motion addressed to Magistrate Judge Robert W. Lehrburger from Kelly M. Dermody dated April 16, 2018 re: <u>579</u> LETTER MOTION to Stay <i>Rule 23(b)(3) Class Notice and Discovery</i> addressed to Magistrate Judge Robert W. Lehrburger from Robert J. Giuffra, Jr. dated April 13, 2018. . Document filed by H. Christina Chen–Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Dermody, Kelly) (Entered: 04/16/2018)</p>   |
| 04/17/2018 | <u>581</u> | <p>ORDER denying <u>579</u> Letter Motion to Stay. For these reasons, and having considered the parties' submissions and all prior proceedings, there is insufficient basis to warrant granting a stay, and Goldman's request is denied. SO ORDERED. (Signed by Magistrate Judge Robert W. Lehrburger on 4/17/2018) Copies Sent By Chambers. (mml) (Entered: 04/17/2018)</p>   |
| 04/18/2018 | <u>582</u> | <p>MOTION to Modify Schedule re: <u>565</u> Order., <u>568</u> Memo Endorsement, Set Deadlines,, . Document filed by H. Christina Chen–Oster, Mary De Luis, Allison Gamba, Shanna Orlich.(Dermody, Kelly) (Entered: 04/18/2018)</p>  |
| 04/19/2018 | <u>585</u> | <p>REDACTION to <u>582</u> MOTION to Modify Schedule re: <u>565</u> Order., <u>568</u> Memo Endorsement, Set Deadlines,, . <i>Memorandum in Support of Motion to Modify Schedule (unredacted copy filed with chambers as per Individual Rule III.G.2)</i> by H. Christina Chen–Oster, Mary De Luis, Allison Gamba, Shanna Orlich(Dermody, Kelly) (Entered: 04/19/2018)</p>   |
| 04/19/2018 | <u>586</u> | <p>REDACTION to <u>582</u> MOTION to Modify Schedule re: <u>565</u> Order., <u>568</u> Memo Endorsement, Set Deadlines,, . <i>Declaration of Anne B. Shaver in Support of Motion to Modify Schedule (unredacted copy filed with chambers as per Individual Rule III.G.2)</i> by H. Christina Chen–Oster, Mary De Luis, Allison Gamba, Shanna Orlich (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C, # <u>4</u> Exhibit D, # <u>5</u> Exhibit E, # <u>6</u> Exhibit F)(Dermody, Kelly) (Entered: 04/19/2018)</p>  |
| 04/23/2018 | <u>587</u> | <p>LETTER addressed to Robert W. Lehrburger from Robert J. Giuffra, Jr. dated April 23, 2018 re: Plaintiffs' Motion to Modify Schedule. Document filed by Goldman, Sachs &amp; Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit A)(Giuffra, Robert) (Entered: 04/23/2018)</p>  |
| 04/24/2018 | 588        | <p>SCHEDULING ORDER: Pre–Motion Telephone Conference set for 5/2/2018 at 3:30 PM before Magistrate Judge Robert W. Lehrburger. Parties shall jointly call the chambers conference line at (212) 805–0113. (Signed by Magistrate Judge Robert W. Lehrburger on 4/24/2018) (rsh) (Entered: 04/24/2018)</p>   |
| 04/24/2018 | <u>589</u> | <p>NOTICE OF APPEARANCE by Michael Christopher Danna on behalf of H. Christina Chen–Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Danna, Michael) (Entered: 04/24/2018)</p>  |

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| 04/24/2018 | <u>590</u> | MEMORANDUM OF LAW in Support re: <u>582</u> MOTION to Modify Schedule re: <u>565</u> Order., <u>568</u> Memo Endorsement, Set Deadlines,, . . Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Dermody, Kelly) (Entered: 04/24/2018)  |
| 04/24/2018 | <u>591</u> | DECLARATION of Anne B. Shaver in Support re: <u>582</u> MOTION to Modify Schedule re: <u>565</u> Order., <u>568</u> Memo Endorsement, Set Deadlines,, . . Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C, # <u>4</u> Exhibit D, # <u>5</u> Exhibit E, # <u>6</u> Exhibit F)(Dermody, Kelly) (Entered: 04/24/2018)   |
| 05/02/2018 | 592        | ORDER: As directed during today's telephone conference addressing Plaintiffs' motion to modify the discovery schedule, Defendant shall submit its opposition papers by May 23, 2018, and Plaintiffs shall submit their reply by June 6, 2018. The Courtroom Deputy will contact the parties to schedule a date for oral argument. (Signed by Magistrate Judge Robert W. Lehrburger on 5/2/18) (Lehrburger, Robert) (Entered: 05/02/2018)   |
| 05/02/2018 |            | Minute entry for proceedings held before Magistrate Judge Robert W. Lehrburger: Pre-Motion Telephone Conference held on 5/2/2018 at 3:30 p.m. (rsh) (Entered: 05/07/2018)  |
| 05/07/2018 | 593        | SCHEDULING ORDER: Oral Argument set for 6/27/2018 at 2:30 PM in Courtroom 18D, 500 Pearl Street, New York, NY 10007 before Magistrate Judge Robert W. Lehrburger. Parties are instructed to review and adhere to Judge Lehrburger's individual rules and practices. (Signed by Magistrate Judge Robert W. Lehrburger on 5/7/2018) (rsh) (Entered: 05/07/2018)  |
| 05/08/2018 | <u>594</u> | TRANSCRIPT of Proceedings re: Pre-Motion Telephone Conference held on 5/2/2018 before Magistrate Judge Robert W. Lehrburger. Court Reporter/Transcriber: Carole Ludwig, (212) 420-0771. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 5/29/2018. Redacted Transcript Deadline set for 6/8/2018. Release of Transcript Restriction set for 8/6/2018.(rro) (Entered: 05/08/2018)  |
| 05/08/2018 | <u>595</u> | NOTICE OF FILING OF OFFICIAL TRANSCRIPT Notice is hereby given that an official transcript of a Pre-Motion Telephone Conference proceeding held on 5/2/2018 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.(rro) (Entered: 05/08/2018)  |
| 05/23/2018 | <u>596</u> | STATUS REPORT. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 05/23/2018)   |
| 05/23/2018 | <u>597</u> | MEMORANDUM OF LAW in Opposition re: <u>582</u> MOTION to Modify Schedule re: <u>565</u> Order., <u>568</u> Memo Endorsement, Set Deadlines,, . . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Giuffra, Robert) (Entered: 05/23/2018)  |
| 05/23/2018 | <u>598</u> | DECLARATION of Robert J. Giuffra, Jr. in Opposition re: <u>582</u> MOTION to Modify Schedule re: <u>565</u> Order., <u>568</u> Memo Endorsement, Set Deadlines,, . . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2, # <u>3</u> Exhibit 3, # <u>4</u> Exhibit 4, # <u>5</u> Exhibit 5, # <u>6</u> Exhibit 6, # <u>7</u> Exhibit 7, # <u>8</u> Exhibit 8, # <u>9</u> Exhibit 9, # <u>10</u> Exhibit 10, # <u>11</u> Exhibit 11, # <u>12</u> Exhibit 12, # <u>13</u> Exhibit 13, # <u>14</u> Exhibit 14, # <u>15</u> Exhibit 15, # <u>16</u> Exhibit 16, # <u>17</u> Exhibit 17, # <u>18</u> Exhibit 18, # <u>19</u> Exhibit 19, # <u>20</u> Exhibit 20, # <u>21</u> Exhibit 21)(Giuffra, Robert) (Entered: 05/23/2018) |
| 05/24/2018 | <u>599</u> | LETTER MOTION for Extension of Time for <i>Plaintiffs' production of new or revised expert reports</i> addressed to Magistrate Judge Robert W. Lehrburger from Adam T. Klein and Kelly M. Dermody dated May 24, 2018. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich, Lisa Parisi.(Klein, Adam) (Entered: 05/24/2018)   |
| 05/30/2018 | <u>600</u> | LETTER addressed to Robert W. Lehrburger from Robert J. Giuffra, Jr. dated May 30, 2018 re: Plaintiffs' Request to Delay Deadline for Submitting Their Expert Reports.   |

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|            |            | Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 05/30/2018)   |
| 06/04/2018 | 601        | ORDER denying <u>599</u> Letter Motion for Extension of Time: Plaintiffs' request for an adjournment of the deadline for production of revised or new expert reports is denied.(HEREBY ORDERED by Magistrate Judge Robert W. Lehrburger)(Text Only Order) (Lehrburger, Robert) (Entered: 06/04/2018)  |
| 06/06/2018 | <u>602</u> | REPLY MEMORANDUM OF LAW in Support re: <u>582</u> MOTION to Modify Schedule re: <u>565</u> Order,, <u>568</u> Memo Endorsement, Set Deadlines,, . ( <i>Redacted version; unredacted version filed with chambers as per Individual Rule III.G.2</i> ). Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Dermody, Kelly) (Entered: 06/06/2018)   |
| 06/06/2018 | <u>603</u> | DECLARATION of Michelle A. Lamy (Redacted version; unredacted version filed with chambers as per Individual Rule III.G.2) in Support re: <u>602</u> Reply Memorandum of Law in Support of Motion,. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Dermody, Kelly) (Entered: 06/06/2018)  |
| 06/06/2018 | <u>604</u> | DECLARATION of Henry S. Farber (Redacted version; unredacted version filed with chambers as per Individual Rule III.G.2) in Support re: <u>602</u> Reply Memorandum of Law in Support of Motion,. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Dermody, Kelly) (Entered: 06/06/2018)   |
| 06/08/2018 | <u>605</u> | NOTICE OF APPEARANCE by Patrick William Shea on behalf of Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Shea, Patrick) (Entered: 06/08/2018)  |
| 06/15/2018 | <u>606</u> | REPLY MEMORANDUM OF LAW in Support re: <u>582</u> MOTION to Modify Schedule re: <u>565</u> Order,, <u>568</u> Memo Endorsement, Set Deadlines,, . . Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Dermody, Kelly) (Entered: 06/15/2018)   |
| 06/15/2018 | <u>607</u> | DECLARATION of Michelle A. Lamy in Support re: <u>606</u> Reply Memorandum of Law in Support of Motion,. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Dermody, Kelly) (Entered: 06/15/2018)  |
| 06/15/2018 | <u>608</u> | DECLARATION of Henry S. Farber in Support re: <u>606</u> Reply Memorandum of Law in Support of Motion,. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Dermody, Kelly) (Entered: 06/15/2018)   |
| 06/27/2018 |            | Minute Entry for proceedings held before Magistrate Judge Robert W. Lehrburger: Oral Argument held on 6/27/2018 at 2:30 p.m. (rsh) (Entered: 06/27/2018)  |
| 06/27/2018 | 609        | ORDER denying <u>582</u> Motion. Plaintiffs' motion to modify the scheduling order is denied as ordered from the bench at today's oral argument. (HEREBY ORDERED by Magistrate Judge Robert W. Lehrburger)(Text Only Order) (Lehrburger, Robert) (Entered: 06/27/2018)  |
| 07/09/2018 | <u>610</u> | JOINT LETTER MOTION for Conference <i>Regarding the Form of Class Notice</i> addressed to Magistrate Judge Robert W. Lehrburger from Rober J. Giuffra, Jr. and Adam T. Klein dated 7/9/2018. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit Exhibit A)(Giuffra, Robert) (Entered: 07/09/2018)  |
| 07/09/2018 | <u>611</u> | LETTER addressed to Robert W. Lehrburger from Robert J. Giuffra, Jr. dated 7/9/2018 re: Standard for Class Certification. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 07/09/2018)   |
| 07/09/2018 | <u>612</u> | DECLARATION of Robert J. Giuffra, Jr. in Support re: <u>610</u> JOINT LETTER MOTION for Conference <i>Regarding the Form of Class Notice</i> addressed to Magistrate Judge Robert W. Lehrburger from Rober J. Giuffra, Jr. and Adam T. Klein dated 7/9/2018.. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2, # <u>3</u> Exhibit 3, # <u>4</u> Exhibit 4, # <u>5</u> Exhibit 5, # <u>6</u> Exhibit 6, # <u>7</u> Exhibit 7)(Giuffra, Robert) (Entered: 07/09/2018) |
| 07/12/2018 | <u>613</u> | TRANSCRIPT of Proceedings re: Oral Argument held on 6/27/2018 before Magistrate Judge Robert W. Lehrburger. Court Reporter/Transcriber: Shari Riemer, (518) 581-8973. Transcript may be viewed at the court public terminal or purchased through  |

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|            |            | the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 8/2/2018. Redacted Transcript Deadline set for 8/13/2018. Release of Transcript Restriction set for 10/10/2018.(rro) (Entered: 07/12/2018)  |
| 07/12/2018 | <u>614</u> | NOTICE OF FILING OF OFFICIAL TRANSCRIPT Notice is hereby given that an official transcript of a Oral Argument proceeding held on 6/27/2018 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.(rro) (Entered: 07/12/2018)  |
| 07/13/2018 | <u>615</u> | LETTER addressed to Robert W. Lehrburger from Robert J. Giuffra, Jr. and Adam T. Klein dated July 13, 2018 re: Schedule for the Completion of Fact Discovery, Expert Discovery, and Reports and Briefing of Plaintiffs' Motion for Class Certification Pursuant to Federal Rule of Civil Procedure 23(b)(2). Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 07/13/2018)  |
| 07/16/2018 | <u>616</u> | MEMO ENDORSEMENT on re: <u>615</u> LETTER addressed to Robert W. Lehrburger from Robert J. Giuffra, Jr. and Adam T. Klein dated July 13, 2018 re: Schedule for the Completion of Fact Discovery, Expert Discovery, and Reports and Briefing of Plaintiffs' Motion for Class Certification Pursuant to Federal Rule of Civil Procedure 23(b)(2). Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc. ENDORSEMENT: The parties' proposed revised schedule is granted. So ordered. (Brief due by 10/18/2018. Deposition due by 9/21/2018. Reply to Response to Brief due by 12/20/2018. Responses to Brief due by 11/29/2018). (Signed by Magistrate Judge Robert W. Lehrburger on 7/16/2018) (rjm) (Entered: 07/16/2018)  |
| 07/23/2018 | <u>617</u> | STATUS REPORT. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 07/23/2018)  |
| 07/24/2018 | <u>618</u> | MEMORANDUM AND ORDER ON CLASS NOTICE terminating <u>610</u> Letter Motion for Conference. Accordingly, Goldman is directed to produce the requested class contact information without delay. In sum, the rulings set forth above, taken together, will properly and best serve the purposes and principles governing class notification and the interests of class members, including both those who choose to do nothing and thereby remain in the action and those who may choose to opt out. (Signed by Magistrate Judge Robert W. Lehrburger on 7/24/2018) Copies Sent By Chambers. (mml) (Entered: 07/24/2018)   |
| 08/15/2018 | <u>619</u> | NOTICE OF APPEARANCE by Sabine Jean on behalf of H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Jean, Sabine) (Entered: 08/15/2018)  |
| 09/04/2018 | <u>620</u> | MANDATE of USCA (Certified Copy) USCA Case Number 18-1075. Petitioners request leave, pursuant to Federal Rule of Civil Procedure 23(f), to appeal from the district court's order granting class certification. Petitioners also move for leave to file a reply in further support of their petition. Certain non-parties move for leave to file a brief as amici curiae. Upon due consideration, it is hereby ORDERED that the motion for leave to file a reply and the motion for leave to file a brief amici curiae are GRANTED. See Fed. R. App. P. 2, 29(a). It is further ORDERED that the Rule 23(f) petition for leave to appeal is DENIED because an immediate appeal is unwarranted. See <i>Sumitomo Copper Litig. v. Credit Lyonnais Rouse, Ltd.</i> , 262 F.3d 134, 139-40 (2d Cir. 2001). Catherine O'Hagan Wolfe, Clerk USCA for the Second Circuit. Issued As Order: 9/4/2018. (tp) (Entered: 09/04/2018) |
| 09/04/2018 |            | Transmission of USCA Mandate/Order to the District Judge re: <u>620</u> USCA Mandate - Other. (tp) (Entered: 09/04/2018)  |
| 09/05/2018 | <u>621</u> | LETTER MOTION for Extension of Time <i>re Schedule for Expert Discovery</i> addressed to Magistrate Judge Robert W. Lehrburger from Adam T. Klein dated September 5, 2018. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich.(Klein, Adam) (Entered: 09/05/2018)  |
| 09/06/2018 | <u>622</u> | LETTER RESPONSE in Opposition to Motion addressed to Magistrate Judge Robert W. Lehrburger from Robert J. Giuffra, Jr. dated September 6, 2018 re: <u>621</u> LETTER MOTION for Extension of Time <i>re Schedule for Expert Discovery</i> addressed to  |

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|            |            | Magistrate Judge Robert W. Lehrburger from Adam T. Klein dated September 5, 2018. . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Giuffra, Robert) (Entered: 09/06/2018)  |
| 09/06/2018 | 623        | ORDER granting in part and denying in part <u>621</u> Letter Motion for Extension of Time: Plaintiff shall have up to and including September 28, 2018 (a one-week extension) to take the deposition of Defendant's expert. (Plaintiff's counsel indicates unexpected scheduling issues the week of September 21. September 21 falls on a Friday. Accordingly, the Court understands that week to be the week of September 17-21.) Plaintiff's request for an extension is otherwise denied, absent agreement. The 5-day period between production of Defendant's expert report and the expert's back up files is not out of the ordinary. Nothing in Plaintiff's letter demonstrates a need to extend the time for Plaintiff's expert reply reports. At the same time, the Court expects all parties and counsel to cooperate and extend professional courtesies in scheduling. SO ORDERED. (HEREBY ORDERED by Magistrate Judge Robert W. Lehrburger)(Text Only Order) (Lehrburger, Robert) (Entered: 09/06/2018)  |
| 09/20/2018 | <u>624</u> | STATUS REPORT. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 09/20/2018)  |
| 09/27/2018 | <u>625</u> | LETTER MOTION for Conference <i>re Setting Deadlines for Merits Discovery, Pre-trial Submissions, and Class Trial and Request to Vacate Remaining Deadlines Related to Fed. R. Civ. P. 23(b)(2) Class Certification</i> addressed to Judge Analisa Torres from Adam T. Klein dated September 27, 2018. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich.(Klein, Adam) (Entered: 09/27/2018)  |
| 10/02/2018 | <u>626</u> | LETTER RESPONSE to Motion addressed to Judge Analisa Torres from Robert J. Giuffra, Jr. dated October 2, 2018 re: <u>625</u> LETTER MOTION for Conference <i>re Setting Deadlines for Merits Discovery, Pre-trial Submissions, and Class Trial and Request to Vacate Remaining Deadlines Related to Fed. R. Civ. P. 23(b)(2) Class Certification</i> addressed to Judge Analisa Torre . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Giuffra, Robert) (Entered: 10/02/2018)  |
| 10/03/2018 | 627        | ORDER: The Court is in receipt of Plaintiffs' letter (ECF 625) stating that Plaintiffs withdraw their currently pending motion for class certification pursuant to Fed. R. Civ. P. 23(b)(2), and requesting that the Court vacate all remaining deadlines related to Rule 23(b)(2) certification and schedule a case management conference to schedule merits discovery and future deadlines for trial of the certified Rule 23(b)(3) class. The Court is also in receipt of Defendant's letter (ECF 626) acknowledging Plaintiffs' withdrawal of its motion for Rule 23(b)(2) certification, asking for a scheduling conference, and taking issue with certain statements made in Plaintiffs' letter. Accordingly, and based on Plaintiffs' withdrawal of their motion to certify a class pursuant to Fed. R. Civ. P. 23(b)(2), it is hereby ordered that (1) all remaining deadlines related to Rule 23(b)(2) class discovery are vacated; (2) a case management conference will be scheduled to address deadlines for merits discovery and subsequent proceedings, as well as any other issues relevant to the Rule 23(b)(3) action; and (3) the parties shall meet and confer in good faith and, at least five days before the conference, shall submit a joint letter setting forth a proposed schedule and any items of disagreement. (HEREBY ORDERED by Magistrate Judge Robert W. Lehrburger)(Text Only Order) (Lehrburger, Robert) (Entered: 10/03/2018) |
| 10/03/2018 | 628        | SCHEDULING ORDER: Case Management Conference set for 10/22/2018 at 3:30 PM in Courtroom 18D, 500 Pearl Street, New York, NY 10007 before Magistrate Judge Robert W. Lehrburger. Counsel shall jointly complete and file Judge Lehrburger's [Proposed] Civil Case Management Plan and Scheduling Order, which must be submitted in compliance with Judge Lehrburger's Individual Practices no later than one week before the initial case management conference. If suitable for the case, the parties are encouraged to use Judge Lehrburger's model Joint Electronic Discovery Submission and Proposed Order. These forms are available on the SDNY website at <a href="http://nysd.uscourts.gov/judge/Lehrburger">http://nysd.uscourts.gov/judge/Lehrburger</a> . (Signed by Magistrate Judge Robert W. Lehrburger on 10/3/2018) (rsh) (Entered: 10/03/2018)  |
| 10/17/2018 | <u>629</u> | LETTER addressed to Magistrate Judge Robert W. Lehrburger from Robert J. Giuffra, Jr. and Adam T. Klein dated October 17, 2018 re: Rule 23(b)(3) Proceedings. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra,   |

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|            |            | Robert) (Entered: 10/17/2018)   |
| 10/22/2018 |            | Minute Entry for proceedings held before Magistrate Judge Robert W. Lehrburger: Case Management Conference held on 10/22/2018 at 3:30 p.m. (rsh) (Entered: 10/22/2018)  |
| 10/24/2018 | <u>630</u> | SCHEDULING ORDER: Motions: Dispositive, Daubert and Decertification due by 11/14/2019. Completed Briefing on Dispositive, Daubert and Decertification Motions due by 2/14/2020. Fact Discovery due by 6/21/2019. Expert Discovery due by 10/14/2019. Pretrial Order and Motions In Limine due 30 days after resolution of dispositive, Daubert and decertification motions. The parties shall coordinate with each other and this Court's Deputy to set dates for a status conference to be held approximately every 2 months from the date of this Order through the end of expert discovery. SO ORDERED. (Signed by Magistrate Judge Robert W. Lehrburger on 10/24/2018) Copies Transmitted By Chambers. (ne) (Entered: 10/24/2018) |
| 10/29/2018 | <u>631</u> | LETTER addressed to Magistrate Judge Robert W. Lehrburger from Kelly M. Dermody and Adam Klein dated October 29, 2018 Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich.(Lamy, Michelle) (Entered: 10/29/2018)  |
| 10/30/2018 | <u>632</u> | LETTER addressed to Magistrate Judge Robert W. Lehrburger from Robert J. Giuffra, Jr. dated October 29, 2018 re: Authority Relevant To Trial Procedure. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 10/30/2018)   |
| 11/05/2018 | <u>633</u> | MOTION for Michael Levin-Gesundheit to Appear Pro Hac Vice . Filing fee \$ 200.00, receipt number ANYSDC-15864715. <b>Motion and supporting papers to be reviewed by Clerk's Office staff.</b> Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Attachments: # <u>1</u> Affidavit of Michael Levin-Gesundheit and attached Certificate of Good Standing, # <u>2</u> Text of Proposed Order Granting Pro Hac Vice Admission for Michael Levin-Gesundheit)(Levin-Gesundheit, Michael) Modified on 11/5/2018 (bcu). (Entered: 11/05/2018)   |
| 11/05/2018 |            | <b>&gt;&gt;&gt;NOTICE REGARDING PRO HAC VICE MOTION. Regarding Document No. <u>633</u> MOTION for Michael Levin-Gesundheit to Appear Pro Hac Vice . Filing fee \$ 200.00, receipt number ANYSDC-15864715. Motion and supporting papers to be reviewed by Clerk's Office staff.. The document has been reviewed and there are no deficiencies. (bcu)</b> (Entered: 11/05/2018)   |
| 11/05/2018 | <u>634</u> | TRANSCRIPT of Proceedings re: Case Management Conference held on 10/22/2018 before Magistrate Judge Robert W. Lehrburger. Court Reporter/Transcriber: Mary Greco, (518) 581-8973. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 11/26/2018. Redacted Transcript Deadline set for 12/6/2018. Release of Transcript Restriction set for 2/3/2019.(vba) (Entered: 11/05/2018)   |
| 11/05/2018 | <u>635</u> | NOTICE OF FILING OF OFFICIAL TRANSCRIPT Notice is hereby given that an official transcript of a Case Management Conference proceeding held on 10/22/2018 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.(vba) (Entered: 11/05/2018)  |
| 11/06/2018 | <u>636</u> | ORDER FOR ADMISSION PRO HAC VICE OF MICHAEL I. LEVIN-GESUNDHEIT granting <u>633</u> Motion for Michael Levin-Gesundheit to Appear Pro Hac Vice. IT IS HEREBY ORDERED that Applicant is admitted to practice Pro Hac Vice as counsel for Plaintiff in the above-captioned case in the United States District Court for the Southern District of New York. All attorneys appearing before this Court are subject to the Local Rules of this Court, including the Rules governing the discipline of attorneys. (Signed by Magistrate Judge Robert W. Lehrburger on 11/6/2018) (mml) (Entered: 11/06/2018)  |



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| 11/14/2018 | <a href="#"><u>637</u></a> | LETTER addressed to Magistrate Judge Robert W. Lehrburger from Robert J. Giuffra, Jr. dated November 14, 2018 re: Class Notice. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <a href="#"><u>1</u></a> Exhibit Exhibit A)(Giuffra, Robert) (Entered: 11/14/2018)  |
| 11/15/2018 | <a href="#"><u>638</u></a> | LETTER addressed to Magistrate Judge Robert W. Lehrburger from Adam T. Klein and Kelly M. Dermody dated November 15, 2018 re: Response to Defendants' letter dated November 14, 2018. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Attachments: # <a href="#"><u>1</u></a> Exhibit A)(Klein, Adam) (Entered: 11/15/2018)  |
| 11/16/2018 | <a href="#"><u>639</u></a> | ORDER: The Court is in receipt of the parties' correspondence concerning the specific way in which a class action opt-out hyperlink should be presented. (See ECF 637 and 638.) The Court previously issued an order (the "Order") concerning the form and content of class notice (the "Notice"). (See ECF 618.) The Court has considered the same factors and standards for class notice recognized in the Order and directs as follows: 1. The language under Section 9 ("How do I exclude myself from the lawsuit") of the Notice should be modified as follows. What currently reads as "(2) if you have received this Notice electronically, by submitting the form electronically via [hyperlink to Opt-Out Request Form]" should be revised to "(2) if you have received this Notice electronically, by submitting the form electronically via the hyperlink provided on the Class Action Opt-Out Form attached to this notice (to do so, you will need to use the following unique identifier, as further set forth in this Order. (Signed by Magistrate Judge Robert W. Lehrburger on 11/16/2018) Copies Sent By Chambers. (mml) (Entered: 11/16/2018) |
| 12/03/2018 | <a href="#"><u>640</u></a> | SCHEDULING ORDER: Status Conference set for 12/20/2018 at 10:00 AM in Courtroom 18D, 500 Pearl Street, New York, NY 10007 before Magistrate Judge Robert W. Lehrburger. Parties are instructed to review and adhere to Judge Lehrburger's individual rules and practices. (Signed by Magistrate Judge Robert W. Lehrburger on 12/3/2018) (rsh) (Entered: 12/03/2018)   |
| 12/10/2018 | <a href="#"><u>641</u></a> | LETTER MOTION for Conference <i>re: Requesting Pre-Motion Conference to Resolve An Outstanding Discovery Dispute</i> addressed to Magistrate Judge Robert W. Lehrburger from Kelly M. Dermody and Adam Klein dated December 10, 2018. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Attachments: # <a href="#"><u>1</u></a> Exhibit 1)(Klein, Adam) (Entered: 12/10/2018)  |
| 12/12/2018 | <a href="#"><u>642</u></a> | LETTER MOTION for Conference <i>Requesting Pre-Motion Conference to Resolve an Outstanding Discovery Dispute</i> addressed to Magistrate Judge Robert W. Lehrburger from Kelly M. Dermody and Adam Klein dated December 12, 2018. Document filed by H. Christina Chen-Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Attachments: # <a href="#"><u>1</u></a> Exhibit A)(Dermody, Kelly) (Entered: 12/12/2018)   |
| 12/13/2018 | <a href="#"><u>643</u></a> | LETTER RESPONSE to Motion addressed to Magistrate Judge Robert W. Lehrburger from Robert J. Giuffra, Jr. dated 12/13/2018 re: <a href="#"><u>641</u></a> LETTER MOTION for Conference <i>re: Requesting Pre-Motion Conference to Resolve An Outstanding Discovery Dispute</i> addressed to Magistrate Judge Robert W. Lehrburger from Kelly M. Dermody and Adam Klein dated December 10, 2018. . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Attachments: # <a href="#"><u>1</u></a> Exhibit Exhibit A)(Giuffra, Robert) (Entered: 12/13/2018)   |
| 12/14/2018 | <a href="#"><u>644</u></a> | LETTER addressed to Magistrate Judge Robert W. Lehrburger from Robert J. Giuffra, Jr. dated December 14, 2018 re: Authority Relevant to Trial Procedure. Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 12/14/2018)   |
| 12/15/2018 | <a href="#"><u>645</u></a> | LETTER RESPONSE to Motion addressed to Magistrate Judge Robert W. Lehrburger from Robert J. Giuffra, Jr. dated December 15, 2018 re: <a href="#"><u>642</u></a> LETTER MOTION for Conference <i>Requesting Pre-Motion Conference to Resolve an Outstanding Discovery Dispute</i> addressed to Magistrate Judge Robert W. Lehrburger from Kelly M. Dermody and Adam Klein dated December 12, 2018. . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Giuffra, Robert) (Entered: 12/15/2018)   |
| 12/17/2018 | <a href="#"><u>646</u></a> | LETTER addressed to Magistrate Judge Robert W. Lehrburger from Kelly M. Dermody and Adam Klein dated December 17, 2018 re: December 14, 2018 Letter from Robert J. Giuffra re: Authority Relevant to Trial Procedure (ECF No. 644).  |



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|            |            | Document filed by H. Christina Chen–Oster, Mary De Luis, Allison Gamba, Shanna Orlich.(Dermody, Kelly) (Entered: 12/17/2018)   |
| 12/17/2018 | <u>647</u> | STATUS REPORT. <i>Joint Status Report</i> Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc..(Giuffra, Robert) (Entered: 12/17/2018)  |
| 12/18/2018 | <u>648</u> | LETTER REPLY to Response to Motion addressed to Magistrate Judge Robert W. Lehrburger from Kelly M. Dermody and Adam Klein dated December 18, 2018 re: <u>641</u> LETTER MOTION for Conference <i>re: Requesting Pre–Motion Conference to Resolve An Outstanding Discovery Dispute</i> addressed to Magistrate Judge Robert W. Lehrburger from Kelly M. Dermody and Adam Klein dated December 10, 2018. . Document filed by H. Christina Chen–Oster, Mary De Luis, Allison Gamba, Shanna Orlich. (Klein, Adam) (Entered: 12/18/2018)   |
| 12/19/2018 | <u>649</u> | NOTICE OF APPEARANCE by Hilary M. Williams on behalf of Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Williams, Hilary) (Entered: 12/19/2018)  |
| 12/19/2018 | <u>650</u> | NOTICE OF APPEARANCE by Sharon L. Nelles on behalf of Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Nelles, Sharon) (Entered: 12/19/2018)  |
| 12/19/2018 | <u>651</u> | NOTICE OF APPEARANCE by Amanda Flug Davidoff on behalf of Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Davidoff, Amanda) (Entered: 12/19/2018)  |
| 12/19/2018 | <u>652</u> | <b>FILING ERROR – DEFICIENT DOCKET ENTRY (SEE <u>653</u> Letter Reply) –</b> LETTER REPLY to Response to Motion addressed to Magistrate Judge Robert W. Lehrburger from Robert J. Giuffra, Jr. dated December 19, 2018 re: <u>641</u> LETTER MOTION for Conference <i>re: Requesting Pre–Motion Conference to Resolve An Outstanding Discovery Dispute</i> addressed to Magistrate Judge Robert W. Lehrburger from Kelly M. Dermody and Adam Klein dated December 10, 2018. . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Giuffra, Robert) Modified on 12/20/2018 (db). (Entered: 12/19/2018)  |
| 12/19/2018 | <u>653</u> | LETTER REPLY to Response to Motion addressed to Magistrate Judge Robert W. Lehrburger from Robert J. Giuffra, Jr. dated December 19, 2018 re: <u>641</u> LETTER MOTION for Conference <i>re: Requesting Pre–Motion Conference to Resolve An Outstanding Discovery Dispute</i> addressed to Magistrate Judge Robert W. Lehrburger from Kelly M. Dermody and Adam Klein dated December 10, 2018. . Document filed by Goldman, Sachs & Co., The Goldman Sachs Group, Inc.. (Giuffra, Robert) (Entered: 12/19/2018)  |
| 12/20/2018 |            | Minute entry for proceedings held before Magistrate Judge Robert W. Lehrburger: Status Conference held on 12/20/2018 at 10:00 a.m. (rsh) (Entered: 12/20/2018)   |
| 12/20/2018 | 654        | SCHEDULING ORDER: Status Conference set for 2/25/2019 at 2:00 PM in Courtroom 18D, 500 Pearl Street, New York, NY 10007 before Magistrate Judge Robert W. Lehrburger. Parties are instructed to review and adhere to Judge Lehrburger's individual rules and practices. (Signed by Magistrate Judge Robert W. Lehrburger on 12/20/2018) (rsh) (Entered: 12/20/2018)  |
| 12/20/2018 | <u>655</u> | SCHEDULING ORDER terminating <u>641</u> Letter Motion for Conference; terminating <u>642</u> Letter Motion for Conference. 2. By January 15, 2019, the parties shall complete the meet and confer process for document discovery and electronically stored information. The parties shall have until January 22, 2019, to file a letter outlining any unresolved issues for which the Court's assistance is needed. 3. The parties shall continue to submit a joint status report before each bimonthly status conference. In addition, the parties may file letters with the Court regarding issues that arise in the interim, so long as the parties have satisfied their obligations to meet and confer. 4. This Order resolves Dkt. Nos. 641 and 642. SO ORDERED. (Signed by Magistrate Judge Robert W. Lehrburger on 12/20/2018) Copies Sent By Chambers. (mml) (Entered: 12/20/2018) |