

CLOSED, CASREF, ECF, LEAD

**U.S. District Court
Southern District of New York (Foley Square)
CIVIL DOCKET FOR CASE #: 1:06-cv-00732-DLC**

Wilson v. J.P. Morgan Chase Retirement Plan et al
Assigned to: Judge Denise L. Cote
Referred to: Magistrate Judge Theodore H. Katz (Settlement)
Member case: ([View Member Case](#))
Related Cases: [1:06-cv-03300-DLC](#)
[1:06-cv-01249-DLC](#)
[1:06-cv-03031-DLC](#)
[1:07-cv-07379-DLC](#)
[1:06-cv-04184-DLC](#)

Date Filed: 01/31/2006
Date Terminated: 07/15/2010
Jury Demand: Plaintiff
Nature of Suit: 791 Labor: E.R.I.S.A.
Jurisdiction: Federal Question

Cause: 29:1132 E.R.I.S.A.-Employee Benefits

Plaintiff

Darrin Wilson
*individually and on behalf of all others
similarly situated*
TERMINATED: 05/25/2006

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V.

Consolidated Plaintiff

Perry Shapiro
*on behalf of himself and on behalf of all
others similarly situated*
TERMINATED: 05/25/2006

represented by **Peter S Linden**
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V.

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Defendant

J.P. Morgan Chase Bank, N.A.
 TERMINATED: 05/25/2006

Defendant

J.P. Morgan Chase & Co.
 TERMINATED: 05/25/2006

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Defendant

John Does
 1-100

Defendant

JPMC's Director of Human Resources

represented by **Jonathan K. Youngwood**
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ADR Provider

Perry Shapiro
 TERMINATED: 05/25/2006

Date Filed	#	Docket Text
01/31/2006	<u>1</u>	COMPLAINT against J.P. Morgan Chase & Co., John Does, J.P. Morgan Chase Retirement Plan, J.P. Morgan Chase Bank, N.A.. (Filing Fee \$ 250.00, Receipt Number 568944) Document filed by Darrin Wilson.(jeh,) Additional attachment(s) added on 2/1/2006 (lb,). (Entered: 02/01/2006)
01/31/2006		SUMMONS ISSUED as to J.P. Morgan Chase & Co., John Does, J.P. Morgan Chase Retirement Plan, J.P. Morgan Chase Bank, N.A. (jeh,) (Entered: 02/01/2006)
01/31/2006		Case Designated ECF. (jeh,) (Entered: 02/01/2006)
01/31/2006		Magistrate Judge Kevin N. Fox is so designated. (jeh,) (Entered: 02/01/2006)
02/10/2006	<u>2</u>	AFFIDAVIT OF SERVICE of Summons and Complaint,. J.P. Morgan Chase & Co. served on 2/10/2006, answer due 3/2/2006; John Does served on 2/10/2006, answer due 3/2/2006; J.P. Morgan Chase Retirement Plan served on 2/10/2006, answer due 3/2/2006; J.P. Morgan Chase Bank, N.A. served on 2/10/2006, answer due 3/2/2006. Service was accepted by Dalia M. Perez, Legal Papers Served Office. Document filed by Darrin Wilson. (Trinko, Curtis) (Entered: 02/10/2006)
02/28/2006	<u>3</u>	STIPULATION; the time for all defendants to answer, move, or otherwise respond to the complaint is extended until 4/17/2006. (Signed by Judge Lawrence M. McKenna on 2/24/06) (kco,) (Entered: 02/28/2006)

03/13/2006	<u>4</u>	MOTION to Consolidate Cases 06–1249 <i>and for Entry of Pretrial Order No. 1</i> . Document filed by Darrin Wilson. Return Date set for 4/14/2006 10:00 AM. (Trinko, Curtis) (Entered: 03/13/2006)
03/13/2006	<u>5</u>	MEMORANDUM OF LAW in Support re: <u>4</u> MOTION to Consolidate Cases 06–1249 <i>and for Entry of Pretrial Order No. 1</i> .. Document filed by Darrin Wilson. (Attachments: # <u>1</u> Exhibit A# <u>2</u> Exhibit B)(Trinko, Curtis) (Entered: 03/13/2006)
03/13/2006	<u>6</u>	DECLARATION of Edward W. Ciolko in Support re: <u>4</u> MOTION to Consolidate Cases 06–1249 <i>and for Entry of Pretrial Order No. 1</i> .. Document filed by Darrin Wilson. (Attachments: # <u>1</u> Text of Proposed Pretrial Order No. 1# <u>2</u> Certificate of Service)(Trinko, Curtis) (Entered: 03/13/2006)
03/16/2006	<u>7</u>	MEMORANDUM OF LAW in Support re: <u>4</u> MOTION to Consolidate Cases 06–1249 <i>and for Entry of Pretrial Order No. 1</i> . [Corrected]. Document filed by Darrin Wilson. (Attachments: # <u>1</u> Exhibit A# <u>2</u> Exhibit B# <u>3</u> Certificate of Service)(Trinko, Curtis) (Entered: 03/16/2006)
03/24/2006	<u>8</u>	CROSS MOTION to Consolidate Cases 06–1249. Document filed by Perry Shapiro, Perry Shapiro. (Attachments: # <u>1</u> Text of Proposed Order)(Linden, Peter) (Entered: 03/24/2006)
03/24/2006	<u>9</u>	MEMORANDUM OF LAW in Support re: <u>8</u> CROSS MOTION to Consolidate Cases 06–1249. <i>and in Response to Plaintiff Wilson's Amended Motion</i> . Document filed by Perry Shapiro. (Linden, Peter) (Entered: 03/24/2006)
03/24/2006	<u>10</u>	AFFIDAVIT of Peter S. Linden in Support re: <u>8</u> CROSS MOTION to Consolidate Cases 06–1249.. Document filed by Perry Shapiro. (Attachments: # <u>1</u> Exhibit A# <u>2</u> Exhibit B# <u>3</u> Exhibit C# <u>4</u> Exhibit D)(Linden, Peter) (Entered: 03/24/2006)
03/24/2006	<u>11</u>	AFFIDAVIT OF SERVICE of Notice of Cross–Motion, Memorandum, Affidavit, Proposed Order served on Schiffrin & Barroway LLP, J.P. Morgan Chase Legal Dept., Simpson Thacher & Bartlett LLP on March 24, 2006. Service was made by by FedEx or by Hand. Document filed by Perry Shapiro. (Linden, Peter) (Entered: 03/24/2006)
04/05/2006	<u>12</u>	STIPULATION AND ORDER that the actions 06cv732 and 06cv1249 are consolidated purs. to FRCP 42(a) as further set forth in this order; they shall be referred to collectively as In re J.P. Morgan Chase Cash Balance Litigation, Master File no. 06cv732 the "Consolidated Action" or the "Cash Balance Action"; (Signed by Judge Harold Baer on 4/5/06) (dle,) (Entered: 04/06/2006)
04/05/2006		Set/Reset Hearings: Pretrial Conference set for 5/16/2006 10:00 AM before Judge Harold Baer. (dle,) (Entered: 04/06/2006)
04/13/2006	<u>13</u>	RULE 7.1 DISCLOSURE STATEMENT. Document filed by J.P. Morgan Chase & Co., J.P. Morgan Chase Retirement Plan, J.P. Morgan Chase Bank, N.A.. (Attachments: # <u>1</u> Certificate of Service)(Rice, Thomas) (Entered: 04/13/2006)
05/05/2006	<u>14</u>	ENDORSED LETTER: addressed to Judge Harold Baer from Peter S. Linden dated 5/3/2006. ENDORSEMENT: I will adjourn to 5/31 your request but direct that in conference with one another you construct and bring with you a detailed—on a short string—a timetable through a trial month and we can adjust further if necessary as we proceed. (Signed by Judge Harold Baer on 5/5/2006) (lb,) (Entered: 05/08/2006)
05/08/2006	<u>15</u>	NOTICE of Motion of Plaintiffs To Consolidate J.P. Morgan Cash Balance Cases and to Appoint Co–Lead Counsel. Document filed by Perry Shapiro. (Linden, Peter) (Entered: 05/08/2006)
05/08/2006	<u>16</u>	FILING ERROR – DEFICIENT DOCKET ENTRY – (MISSING PDF PAGES) – MEMORANDUM OF LAW in Support re: <u>15</u> Notice (Other) <i>Plaintiffs' Motion to Consolidate J.P. Morgan Cash Balance Cases and to Appoint Co–Lead Counsel</i> . Document filed by Perry Shapiro. Filed In Associated Cases: 1:06–cv–00732–HB,1:06–cv–01249–HB(Linden, Peter) Modified on 5/9/2006 (gf,). (Entered: 05/08/2006)
05/08/2006	<u>17</u>	AFFIDAVIT of Peter S. Linden in Support re: <u>16</u> Memorandum of Law in Support, <u>15</u> Notice (Other). Document filed by Perry Shapiro. (Attachments: # <u>1</u> Exhibit A# <u>2</u> Exhibit B# <u>3</u> Exhibit C# <u>4</u> Exhibit D)Filed In Associated Cases: 1:06–cv–00732–HB,1:06–cv–01249–HB(Linden, Peter) (Entered: 05/08/2006)
05/08/2006	<u>18</u>	MEMORANDUM OF LAW in Support re: <u>15</u> Notice (Other) <i>Plaintiffs' Motion to Consolidate J.P. Morgan Cash Balance Cases and to Appoint Co–Lead Counsel</i> . Document filed by Perry Shapiro. Filed In Associated Cases: 1:06–cv–00732–HB,1:06–cv–01249–HB(Linden, Peter) (Entered: 05/08/2006)

05/09/2006	<u>19</u>	CERTIFICATE OF SERVICE of Notice of Motion of Plaintiffs to Consolidate J.P. Morgan Cash Balance Cases and to Appoint Co-Lead Counsel, Memorandum, and Affidavit served on Simpson Thacher & Bartlett LLP, J.P. Morgan Chase Legal Dept., Schifffrin & Barroway, LLP, Keller Rohrback LLP, Edgar Pauk on May 8, 2006. Service was made by Hand, Mail, or ECF. Document filed by Perry Shapiro. Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB(Linden, Peter) (Entered: 05/09/2006)
05/22/2006	<u>20</u>	RESPONSE re: <u>15</u> Notice (Other) to Plaintiffs' Motion to Consolidate J.P. Morgan Cash Balance Cases and to Appoint Co-Lead Counsel. Document filed by J.P. Morgan Chase & Co., J.P. Morgan Chase Retirement Plan, J.P. Morgan Chase Bank, N.A.. Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB(Youngwood, Jonathan) (Entered: 05/22/2006)
05/25/2006	<u>21</u>	CERTIFICATE OF SERVICE of Consolidated Class Action Complaint served on J.P. Morgan Chase Legal Dept., Simpson Thacher & Bartlett LLP on 5/25/2006. Service was made by Mail. Document filed by Perry Shapiro. Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Linden, Peter) (Entered: 05/25/2006)
05/25/2006	<u>22</u>	CONSOLIDATED CLASS ACTION COMPLAINT against JPMC's Director of Human Resources, J.P. Morgan Chase Retirement Plan. Document filed by Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker, Perry Shapiro. (Attachments: # <u>1</u>)... Received in the night deposit box on 5/25/06 at 11:38 p.m.(sac,) (Entered: 05/26/2006)
05/30/2006	<u>23</u>	REPLY MEMORANDUM OF LAW re: <u>15</u> Notice (Other) of Motion of Plaintiffs to Consolidate J.P. Morgan Cash Balance Cases and to Appoint Co-Lead Counsel.. Document filed by Perry Shapiro. Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Linden, Peter) (Entered: 05/30/2006)
05/30/2006	<u>24</u>	CERTIFICATE OF SERVICE of Reply Memorandum of Plaintiffs in Support of Motion to Consolidate J.P. Morgan Cash Balance Cases and to Appoint Co-Lead Counsel served on Simpson Thacher & Bartlett LLP and J.P. Morgan Chase Legal Department on 05/30/2006. Service was made by Hand and Mail. Document filed by Perry Shapiro. Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Linden, Peter) (Entered: 05/30/2006)
06/01/2006	<u>25</u>	NOTICE OF APPEARANCE by Derek W. Loeser on behalf of Neil Aldoroty, John J. Berotti, Annette Marie Falchetti (Loeser, Derek) (Entered: 06/01/2006)
06/01/2006	<u>26</u>	NOTICE OF APPEARANCE by Amy C. Williams-Derry on behalf of Neil Aldoroty, John J. Berotti, Annette Marie Falchetti (Williams-Derry, Amy) (Entered: 06/01/2006)
06/01/2006	<u>27</u>	NOTICE OF APPEARANCE by Lynn Lincoln Sarko on behalf of Neil Aldoroty, John J. Berotti, Annette Marie Falchetti (Sarko, Lynn) (Entered: 06/01/2006)
06/02/2006	<u>28</u>	NOTICE OF APPEARANCE by Erin M. Riley on behalf of Neil Aldoroty, John J. Berotti, Annette Marie Falchetti (Riley, Erin) (Entered: 06/02/2006)
06/02/2006	<u>29</u>	MOTION for Joseph H. Meltzer and Edward W. Ciolko to Appear Pro Hac Vice. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. Filed In All Associated Cases.(db,) (Entered: 06/07/2006)
06/13/2006	<u>30</u>	ORDER granting <u>29</u> Motion for Joseph H. Meltzer and Edward W. Ciolko to Appear Pro Hac Vice . (Signed by Judge Harold Baer on 6/12/06) (jco,) (Entered: 06/13/2006)
06/13/2006		Transmission to Attorney Admissions Clerk. Transmitted re: <u>30</u> Order on Motion to Appear Pro Hac Vice, to the Attorney Admissions Clerk for updating of Attorney Information. (jco,) (Entered: 06/13/2006)
06/14/2006	<u>31</u>	MOTION for Joseph A. Weeden to Appear Pro Hac Vice. Document filed by Perry Shapiro. Proposed Order attached. (db,) (Entered: 06/14/2006)
06/15/2006		CASHIERS OFFICE REMARK on <u>30</u> Order on Motion to Appear Pro Hac Vice in the amount of \$50.00, paid on 06/14/2006, Receipt Number 581652. (jd,) (Entered: 06/15/2006)
06/15/2006	<u>32</u>	ORDER granting <u>31</u> Motion for Joseph A. Weeden to Appear Pro Hac Vice . (Signed by Judge Harold Baer on 6/15/06) (dle,) (Entered: 06/15/2006)

06/15/2006		Transmission to Attorney Admissions Clerk. Transmitted re: <u>32</u> Order on Motion to Appear Pro Hac Vice, to the Attorney Admissions Clerk for updating of Attorney Information. (dle,) (Entered: 06/15/2006)
06/23/2006	<u>33</u>	CERTIFICATE OF SERVICE of (Corrected) Consolidated Class Action Complaint served on Simpson Thacher & Bartlett LLP, J.P. Morgan Chase Legal Department, Wechsler Harwood LLP, Gainey & McKenna on 6/23/06. Service was made by Mail and Email. Document filed by Perry Shapiro. Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Linden, Peter) (Entered: 06/23/2006)
06/26/2006	<u>34</u>	(CORRECTED) AMENDED CONSOLIDATED CLASS ACTION COMPLAINT amending <u>1</u> Complaint, against J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. Related document: <u>1</u> Complaint, filed by Darrin Wilson,. (Attachments: # <u>1</u> exhibits)(db,) (Entered: 06/26/2006)
06/26/2006	<u>35</u>	MOTION to Dismiss <i>Plaintiffs (Corrected) Consolidated Class Action Complaint</i> . Document filed by J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. Return Date set for 8/3/2006 09:30 AM. (Attachments: # <u>1</u> Certificate of Service) Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Rice, Thomas) (Entered: 06/26/2006)
06/26/2006	<u>36</u>	MEMORANDUM OF LAW in Support re: <u>35</u> MOTION to Dismiss <i>Plaintiffs (Corrected) Consolidated Class Action Complaint</i> .. Document filed by J.P. Morgan Chase & Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources, J.P. Morgan Chase Bank, N.A.. (Attachments: # <u>1</u> Appendix A# <u>2</u> Certificate of Service) Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Rice, Thomas) (Entered: 06/26/2006)
06/26/2006	<u>37</u>	AFFIDAVIT of Thomas C. Rice in Support re: <u>35</u> MOTION to Dismiss <i>Plaintiffs (Corrected) Consolidated Class Action Complaint</i> .. Document filed by J.P. Morgan Chase & Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources, J.P. Morgan Chase Bank, N.A.. (Attachments: # <u>1</u> Exhibit A (Part 1)# <u>2</u> Exhibit A (Part 2) – Exhibit K (Part 1)# <u>3</u> Exhibit K (Part 2) – Exhibit L (Part 1)# <u>4</u> Exhibit L (Part 2) – Exhibit M (Part 1)# <u>5</u> Exhibit M (Part 2) – Exhibit O (Part 1)# <u>6</u> Exhibit O (Part 2)# <u>7</u> Certificate of Service) Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Rice, Thomas) (Entered: 06/26/2006)
07/06/2006		CASHIERS OFFICE REMARK on <u>32</u> Order on Motion to Appear Pro Hac Vice in the amount of \$25.00, paid on 06/21/2006, Receipt Number 582743. (jd,) (Entered: 07/06/2006)
07/20/2006	<u>38</u>	RESPONSE in Opposition re: <u>35</u> MOTION to Dismiss <i>Plaintiffs (Corrected) Consolidated Class Action Complaint</i> .. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. (Attachments: # <u>1</u> Appendix Appendix of Unreported Authorities) Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Williams-Derry, Amy) (Entered: 07/20/2006)
07/20/2006	<u>39</u>	DECLARATION of Amy Williams-Derry in Opposition re: <u>35</u> MOTION to Dismiss <i>Plaintiffs (Corrected) Consolidated Class Action Complaint</i> .. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. (Attachments: # <u>1</u> Exhibit 1-Zelinsky Article 2000# <u>2</u> Exhibit 2-AARP Amicus Brief-Register v. PNC# <u>3</u> Exhibit 3-AARP Amicus Brief Cooper v. IBM# <u>4</u> Exhibit 4-Langbein excerpt# <u>5</u> Exhibit 5-Wall Street Journal Article 1998# <u>6</u> Exhibit 6-Wall Street Journal Article 99# <u>7</u> Exhibit 7-GOA Report# <u>8</u> Exhibit 8-H.R. Conf. Report# <u>9</u> Exhibit 9-Zelinsky article 2001) Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Williams-Derry, Amy) (Entered: 07/20/2006)
07/31/2006	<u>40</u>	PRETRIAL SCHEDULING ORDER: Amended Pleadings due by 10/11/2006. Joinder of Parties due by 10/11/2006. Discovery due by 12/11/2006. Oral Argument set for 8/9/2006 10:00 AM before Judge Harold Baer. Pretrial Order due by 6/18/2007. So Ordered. (Signed by Judge Harold Baer on 7/31/06) (jco,) (Entered: 08/01/2006)
07/31/2006	<u>41</u>	PRETRIAL ORDER NO. 2: the Court designates the law firms as further set forth in said Order to act as Co-Lead Counsel for Plaintiffs and the Proposed Class in the Consolidated Action, with the responsibilities described on pgs 2-4 [marked 1-6]. (Signed by Judge Harold Baer on 7/28/06) (db,) Modified on 8/1/2006 (db,). (Entered: 08/01/2006)

08/03/2006	<u>42</u>	REPLY MEMORANDUM OF LAW in Support re: <u>35</u> MOTION to Dismiss <i>Plaintiffs (Corrected) Consolidated Class Action Complaint.</i> . Document filed by J.P. Morgan Chase &Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources, J.P. Morgan Chase Bank, N.A.. Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Rice, Thomas) (Entered: 08/03/2006)
08/08/2006	<u>43</u>	ENDORSED LETTER addressed to Judge Harold Baer, Jr. from David W. Loeser dated 8/7/06 re: Counsel writes to request to submit a supplemental brief not to exceed ten pages addressing the material on 8/16/06, the hearing on the motion to dismiss take place on 8/23/06. I trust you now understand how to approach the PTSO and realize that I have no problem with extending discovery so long as it does not impact on motion practice and my time to decide such motino and we keep our July 07 trial date. Furhter 10 pages on "new developments" due now by noon on 8/18 in my hands and agrument on the 23rd at 10:30 is fine. So Ordered. (Signed by Judge Harold Baer on 8/8/06) Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(jco,) (Entered: 08/08/2006)
08/10/2006	<u>44</u>	FILING ERROR – DEFICIENT DOCKET ENTRY – MOTION to Certify Class. Document filed by Perry Shapiro. Responses due by 10/20/2006 (Attachments: # <u>1</u> Plaintiffs' Memorandum In Support Of Motion For Class Certification# <u>2</u> Affidavit of Peter S. Linden# <u>3</u> Exhibit A# <u>4</u> Exhibit B# <u>5</u> Exhibit C# <u>6</u> Exhibit D# <u>7</u> Exhibit E# <u>8</u> Exhibit F# <u>9</u> Certificate of Service)Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Linden, Peter) Modified on 8/11/2006 (kg,). (Entered: 08/10/2006)
08/11/2006		***NOTE TO ATTORNEY TO RE-FILE DOCUMENT – DEFICIENT DOCKET ENTRY ERROR. Note to Attorney Peter S. Linden to RE-FILE Document <u>44</u> MOTION to Certify Class. ERROR(S): Filing Error of Attachments. Supporting documents must be filed separately. Event codes found under Responses and Replies. (kg) (Entered: 08/11/2006)
08/11/2006	<u>45</u>	MOTION to Certify Class. Document filed by Perry Shapiro. Responses due by 10/20/2006 Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Linden, Peter) (Entered: 08/11/2006)
08/11/2006	<u>46</u>	MEMORANDUM OF LAW in Support re: <u>45</u> MOTION to Certify Class.. Document filed by Perry Shapiro. Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Linden, Peter) (Entered: 08/11/2006)
08/11/2006	<u>47</u>	AFFIDAVIT of Peter S. Linden in Support re: <u>45</u> MOTION to Certify Class.. Document filed by Perry Shapiro. (Attachments: # <u>1</u> Exhibit A# <u>2</u> Exhibit B# <u>3</u> Exhibit C# <u>4</u> Exhibit D# <u>5</u> Exhibit E# <u>6</u> Exhibit F)Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Linden, Peter) (Entered: 08/11/2006)
08/11/2006	<u>48</u>	CERTIFICATE OF SERVICE of Plaintiffs' motion for class certification, supporting memorandum, supporting affidavit and exhibits served on Simpson Thacher & Bartlett LLP and J.P. Morgan Chase Legal Dept. on 08/10/2006. Service was made by Mail and Email. Document filed by Perry Shapiro. Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Linden, Peter) (Entered: 08/11/2006)
08/18/2006	<u>49</u>	SUPPLEMENTAL MEMORANDUM OF LAW in Support re: <u>35</u> MOTION to Dismiss <i>Plaintiffs (Corrected) Consolidated Class Action Complaint.</i> . Document filed by J.P. Morgan Chase &Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources, J.P. Morgan Chase Bank, N.A.. (Attachments: # <u>1</u> Certificate of Service)Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Rice, Thomas) (Entered: 08/18/2006)
08/18/2006	<u>50</u>	SUPPLEMENTAL MEMORANDUM OF LAW in Opposition re: <u>35</u> MOTION to Dismiss <i>Plaintiffs (Corrected) Consolidated Class Action Complaint.</i> . Document filed by Perry Shapiro. Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Linden, Peter) (Entered: 08/18/2006)

08/18/2006	<u>51</u>	AFFIDAVIT of Andrew T. Watt in Opposition re: <u>35</u> MOTION to Dismiss <i>Plaintiffs (Corrected) Consolidated Class Action Complaint..</i> Document filed by Perry Shapiro. (Attachments: # <u>1</u> Exhibit 1# <u>2</u> Exhibit 2# <u>3</u> Exhibit 3# <u>4</u> Exhibit 4# <u>5</u> Exhibit 5# <u>6</u> Exhibit 6)Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Linden, Peter) (Entered: 08/18/2006)
08/18/2006	<u>52</u>	CERTIFICATE OF SERVICE of Plaintiffs' supplemental memorandum of law in opposition to motion to dismiss, supporting affidavit and exhibits served on Simpson Thacher & Bartlett LLP and J.P. Morgan Chase Legal Dept. on 08/18/2006. Service was made by Mail, email and by hand. Document filed by Perry Shapiro. Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Linden, Peter) (Entered: 08/18/2006)
08/29/2006		Minute Entry for proceedings held before Judge Harold Baer : Motion Hearing held on 8/29/2006 re: <u>35</u> MOTION to Dismiss Plaintiffs (Corrected) Consolidated Class Action Complaint filed by J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. Judge's decision reserved. (jar,) (Entered: 08/31/2006)
09/07/2006	<u>53</u>	NOTICE of Plaintiffs' Notice of Supplemental Authority in Opposition to Defendants' Motion to Dismiss re: <u>38</u> Response in Opposition to Motion,. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. (Attachments: # <u>1</u> Exhibit 1)(Riley, Erin) (Entered: 09/07/2006)
09/08/2006	54	TRANSCRIPT of proceedings (Motion) held on 8/23/06 before Judge Harold Baer. (ja,) (Entered: 09/08/2006)
09/13/2006	<u>55</u>	RESPONSE in Support re: <u>35</u> MOTION to Dismiss <i>Plaintiffs (Corrected) Consolidated Class Action Complaint. Response to Plaintiffs' Notice of Supplemental Authority in Oppositon to Defendants' Motion.</i> Document filed by J.P. Morgan Chase & Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources, J.P. Morgan Chase Bank, N.A.. (Attachments: # <u>1</u> Exhibit A# <u>2</u> Exhibit B)Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Rice, Thomas) (Entered: 09/13/2006)
10/05/2006	<u>56</u>	NOTICE of Plaintiffs's Submission of Additional Supplemental Authority In Opposition To Defendants' Motion To Dismiss re: <u>35</u> MOTION to Dismiss <i>Plaintiffs (Corrected) Consolidated Class Action Complaint..</i> Document filed by Perry Shapiro. (Linden, Peter) (Entered: 10/05/2006)
10/11/2006	<u>57</u>	RESPONSE in Support re: <u>35</u> MOTION to Dismiss <i>Plaintiffs (Corrected) Consolidated Class Action Complaint. (Defendants' Response to Plaintiffs' Submission of Additional Supplemental Authority).</i> Document filed by J.P. Morgan Chase & Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources, J.P. Morgan Chase Bank, N.A.. (Attachments: # <u>1</u> Exhibit A# <u>2</u> Exhibit B# <u>3</u> Certificate of Service)Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Rice, Thomas) (Entered: 10/11/2006)
10/16/2006	<u>58</u>	RESPONSE in Opposition re: <u>35</u> MOTION to Dismiss <i>Plaintiffs (Corrected) Consolidated Class Action Complaint. Reply re: Add. Authorities.</i> Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Ciolko, Edward) (Entered: 10/16/2006)
10/19/2006	<u>59</u>	NOTICE of Withdrawal of Binu Mathew as Proposed Class Representative. Document filed by Neil Aldoroty, John J. Berotti, Annette Marie Falchetti. (Williams-Derry, Amy) (Entered: 10/19/2006)
10/20/2006	<u>60</u>	AFFIDAVIT of Jonathan K. Youngwood in Opposition re: <u>45</u> MOTION to Certify Class.. Document filed by J.P. Morgan Chase & Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources, J.P. Morgan Chase Bank, N.A.. (Attachments: # <u>1</u> Exhibits A-E# <u>2</u> Certificate of Service)Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Youngwood, Jonathan) (Entered: 10/20/2006)
10/20/2006	<u>61</u>	MEMORANDUM OF LAW in Opposition re: <u>45</u> MOTION to Certify Class.. Document filed by J.P. Morgan Chase & Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources, J.P. Morgan Chase Bank, N.A.. (Attachments: # <u>1</u> Appendices A-C# <u>2</u> Certificate of Service)Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Rice, Thomas) (Entered: 10/20/2006)

10/30/2006	<u>62</u>	MEMORANDUM AND OPINION # 93876: that for the reasons set forth in this opinion, this motion to dismiss is granted in part and denied in part. the motion to dismiss is denied with respect to Count I, the age discrimination claim, and Counts IV–VI the notice claims, but Counts II and III are dismissed. The clerk of the court is instructed to close this motion and remove it from my docket. (Signed by Judge Harold Baer on 10/30/06) (dle,) Modified on 10/31/2006 (rw,). (Entered: 10/31/2006)
11/01/2006	<u>63</u>	MOTION for Certificate of Appealability <i>For Immediate Appeal of the October 30, 2006 Opinion and Order of the Court and For Expedited Briefing and Consideration</i> . Document filed by J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. (Attachments: # <u>1</u> Exhibit Certificate of Service)Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Youngwood, Jonathan) (Entered: 11/01/2006)
11/01/2006	<u>64</u>	MEMORANDUM OF LAW in Support re: <u>63</u> MOTION for Certificate of Appealability <i>For Immediate Appeal of the October 30, 2006 Opinion and Order of the Court and For Expedited Briefing and Consideration</i> .. Document filed by J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. (Attachments: # <u>1</u> Exhibit A to Memorandum of Law# <u>2</u>)Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Youngwood, Jonathan) (Entered: 11/01/2006)
11/10/2006	<u>65</u>	SUPPLEMENTAL MEMORANDUM OF LAW in Opposition re: <u>45</u> MOTION to Certify Class.. Document filed by J.P. Morgan Chase &Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources, J.P. Morgan Chase Bank, N.A.. (Attachments: # <u>1</u> Certificate of Service)Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Rice, Thomas) (Entered: 11/10/2006)
11/13/2006	<u>66</u>	ENDORSED LETTER addressed to Judge Harold Baer from Thomas Rice dated 11/8/06 re: counsel for dfts request that the case be stayed. The 2d Circuit is less than enthusiastic about section 1292(b) certifications and so am I. Here the major issue will be decided in Hirt and I'm confident all my arguments will be pursued. That motion is denied – as for class certification and the three "notice claims" i.e., Count IV, V and VI they will proceed space. The central question as well as any discovery into the age discrimination issue and the case itself but for the allowed actions detailed above will be stayed for 60 days and then conferenced to see if anything makes going forward a reasonable course to follow.. (Signed by Judge Harold Baer on 11/13/06) Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(dle,) (Entered: 11/13/2006)
11/13/2006	<u>67</u>	MOTION to Strike Document No. <u>65</u> <i>Supplemental Memorandum of Law in Opposition re Motion to Certify Class</i> . Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Williams–Derry, Amy) (Entered: 11/13/2006)
11/13/2006	<u>68</u>	MOTION to Strike Document No. <u>65</u> <i>Supplemental Memorandum of Law in Opposition re Motion to Certify Class</i> . Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Williams–Derry, Amy) (Entered: 11/13/2006)
11/13/2006	<u>69</u>	REPLY MEMORANDUM OF LAW in Support re: <u>45</u> MOTION to Certify Class.. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Williams–Derry, Amy) (Entered: 11/13/2006)
11/13/2006	<u>70</u>	REPLY MEMORANDUM OF LAW in Support re: <u>45</u> MOTION to Certify Class.. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Williams–Derry, Amy) (Entered: 11/13/2006)
11/13/2006	<u>71</u>	REPLY AFFIDAVIT of Amy Williams–Derry in Support re: <u>45</u> MOTION to Certify Class.. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Williams–Derry, Amy) (Entered: 11/13/2006)

11/17/2006	<u>72</u>	REPLY MEMORANDUM OF LAW in Opposition re: <u>67</u> MOTION to Strike Document No. <u>65</u> <i>Supplemental Memorandum of Law in Opposition re Motion to Certify Class.</i> , <u>68</u> MOTION to Strike Document No. <u>65</u> <i>Supplemental Memorandum of Law in Opposition re Motion to Certify Class.</i> . Document filed by J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. (Attachments: # <u>1</u> Certificate of Service)Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Youngwood, Jonathan) (Entered: 11/17/2006)
11/20/2006	<u>73</u>	STIPULATED PROTECTIVE ORDER...regarding procedures to be followed that shall govern the handling of confidential material. (Signed by Judge Harold Baer on 11/17/06) (dle,) (Entered: 11/20/2006)
11/20/2006	<u>74</u>	REPLY MEMORANDUM OF LAW in Support re: <u>68</u> MOTION to Strike Document No. <u>65</u> <i>Supplemental Memorandum of Law in Opposition re Motion to Certify Class.</i> . Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. (Attachments: # <u>1</u> Text of Proposed Order Proposed Order)Filed In Associated Cases: 1:06-cv-00732-HB,1:06-cv-01249-HB,1:06-cv-03031-HB,1:06-cv-03300-HB(Williams-Derry, Amy) (Entered: 11/20/2006)
11/27/2006	<u>75</u>	ENDORSED LETTER addressed to Judge Harold Baer from Thomas Rice dated 11/22/06 re: counsel for dfts request confirmation of their understanding that the dates in the 7/31/06 scheduling order as amended by the 8/8/06 order, regarding dispositive motions and pretrial orders are extended until further order of the court. The short answer is yes. The longer answer is that come our next PTC in January we can discuss new time lines if that seems worthwhile depending upon what if anything we know about this HIRT matter as well as the matters discussed in this letter. The next PTC will be 1/18/06 at 3:00 p.m.. (Signed by Judge Harold Baer on 11/27/06) (dle,) (Entered: 11/28/2006)
11/27/2006		Set/Reset Hearings: Pretrial Conference set for 1/18/2007 03:00 PM before Judge Harold Baer. (dle,) (Entered: 11/28/2006)
12/12/2006	<u>76</u>	ANSWER to Amended Complaint. Document filed by J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. Related document: <u>34</u> Amended Complaint, filed by Perry Shapiro,, Neil Aldoroty,, John J. Berotti,, Norman J. Schomaker,, Annette Marie Falchetti,, Terri Melli.,(Youngwood, Jonathan) (Entered: 12/12/2006)
12/19/2006		Minute Entry for proceedings held before Judge Harold Baer : Oral Argument held on 12/19/2006 re: <u>45</u> MOTION to Certify Class. filed by Perry Shapiro,. (pl,) (Entered: 12/20/2006)
12/28/2006	<u>77</u>	NOTICE of Submission and Filing Under Seal. Document filed by Perry Shapiro. (Attachments: # <u>1</u> Exhibit A# <u>2</u> Exhibit B# <u>3</u> Exhibit C# <u>4</u> Exhibit D – Part 1# <u>5</u> Exhibit D – Part 2 of 2# <u>6</u> Exhibit E – Part 1 of 2# <u>7</u> Exhibit E – Part 2 of 2# <u>8</u> Exhibit F# <u>9</u> Exhibit G# <u>10</u> Exhibit H)(Linden, Peter) (Entered: 12/28/2006)
12/29/2006	<u>78</u>	NOTICE of SUBMISSION. Document filed by J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. (Attachments: # <u>1</u> Exhibit A# <u>2</u> TAB 1# <u>3</u> TAB 2# <u>4</u> TAB 3# <u>5</u> TAB 4# <u>6</u> TABS 5 AND 6)(Rice, Thomas) (Entered: 12/29/2006)
01/09/2007	80	TRANSCRIPT of proceedings held on 12/19/06 before Judge Harold Baer. (es,) (Entered: 01/09/2007)
03/16/2007	<u>81</u>	AFFIDAVIT of Jonathan K. Youngwood (Part I of IV) in Opposition re: (45 in 1:06-cv-00732-HB, 28 in 1:06-cv-03031-HB, 26 in 1:06-cv-01249-HB, 18 in 1:06-cv-03300-HB) MOTION to Certify Class.. Document filed by J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. (Attachments: # <u>1</u> Exhibit A– Part 1# <u>2</u> Exhibit A– Part 2# <u>3</u> Exhibit A– Part 3# <u>4</u> Exhibit A– Part 4# <u>5</u> Exhibit A– Part 5# <u>6</u> Exhibit B– Part 1# <u>7</u> Exhibit B– Part 2# <u>8</u> Exhibit B– Part 3# <u>9</u> Exhibit B– Part 4# <u>10</u> Exhibit B– Part 5# <u>11</u> Exhibit B– Part 6# <u>12</u> Exhibit B– Part 7# <u>13</u> Exhibit B– Part 8# <u>14</u> Exhibit B– Part 9)Filed In Associated Cases: 1:06-cv-00732-HB, 1:06-cv-01249-HB, 1:06-cv-03031-HB, 1:06-cv-03300-HB(Youngwood, Jonathan) (Entered: 03/16/2007)
03/16/2007	<u>82</u>	AFFIDAVIT of Jonathan K. Youngwood (Part II of IV) Exhibit C– Part 1 in Opposition re: (45 in 1:06-cv-00732-HB, 28 in 1:06-cv-03031-HB, 26 in 1:06-cv-01249-HB, 18 in 1:06-cv-03300-HB) MOTION to Certify Class.. Document filed by J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. (Attachments: # <u>1</u> Exhibit C– Part 2# <u>2</u> Exhibit C– Part 3# <u>3</u> Exhibit C– Part 4# <u>4</u> Exhibit C– Part 5# <u>5</u> Exhibit C– Part 6# <u>6</u> Exhibit C– Part 7# <u>7</u> Errata C– Part 8# <u>8</u> Exhibit D– Part 1# <u>9</u> Exhibit D– Part 2# <u>10</u> Exhibit E–Part1# <u>11</u> Exhibit E–Part 2# <u>12</u> Exhibit E–Part 3# <u>13</u> Exhibit E–Part 4)Filed In Associated Cases: 1:06-cv-00732-HB, 1:06-cv-01249-HB, 1:06-cv-03031-HB, 1:06-cv-03300-HB(Youngwood, Jonathan) (Entered: 03/16/2007)

03/16/2007	<u>83</u>	AFFIDAVIT of Jonathan K. Youngwood (Part III of IV) Exhibit F- Part 1 in Opposition re: (45 in 1:06-cv-00732-HB, 28 in 1:06-cv-03031-HB, 26 in 1:06-cv-01249-HB, 18 in 1:06-cv-03300-HB) MOTION to Certify Class.. Document filed by J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. (Attachments: # <u>1</u> Exhibit F- Part 2# <u>2</u> Exhibit F- Part 3# <u>3</u> Exhibit F- Part 4# <u>4</u> Exhibit G- Par1# <u>5</u> Exhibit G- Part 2# <u>6</u> Exhibit G- Part 3# <u>7</u> Exhibit G- Part 4# <u>8</u> Exhibit H# <u>9</u> Exhibit I- Part 1# <u>10</u> Exhibit I- Part 2# <u>11</u> Exhibit I- Part 3# <u>12</u> Exhibit I- Part 4# <u>13</u> Exhibit I- Part 5# <u>14</u> Exhibit I- Part 6)Filed In Associated Cases: 1:06-cv-00732-HB, 1:06-cv-01249-HB, 1:06-cv-03031-HB, 1:06-cv-03300-HB(Youngwood, Jonathan) (Entered: 03/16/2007)
03/16/2007	<u>84</u>	AFFIDAVIT of Jonathan K. Youngwood (Pat IV of IV)- Exhibit J in Opposition re: (28 in 1:06-cv-03031-HB, 26 in 1:06-cv-01249-HB, 45 in 1:06-cv-00732-HB, 18 in 1:06-cv-03300-HB) MOTION to Certify Class.. Document filed by J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. (Attachments: # <u>1</u> Exhibit K# <u>2</u> Exhibit L- Part 1# <u>3</u> Exhibit L- Part 2# <u>4</u> Exhibit M- Part 1# <u>5</u> Exhibit M- Part 2# <u>6</u> Exhibit N# <u>7</u> Exhibit N# <u>8</u> Certificate of Service)Filed In Associated Cases: 1:06-cv-00732-HB, 1:06-cv-01249-HB, 1:06-cv-03031-HB, 1:06-cv-03300-HB(Youngwood, Jonathan) (Entered: 03/16/2007)
03/16/2007	<u>85</u>	SUPPLEMENTAL MEMORANDUM OF LAW in Opposition re: (28 in 1:06-cv-03031-HB, 26 in 1:06-cv-01249-HB, 45 in 1:06-cv-00732-HB, 18 in 1:06-cv-03300-HB) MOTION to Certify Class.. Document filed by J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. (Attachments: # <u>1</u> Appendix 1# <u>2</u> Appendix 2# <u>3</u> Appendix 3# <u>4</u> Appendix 4# <u>5</u> Appendix 5 (Part 1 of 2)# <u>6</u> Appendix 5 (Part 2 of 2)# <u>7</u> Certificate of Service)Filed In Associated Cases: 1:06-cv-00732-HB, 1:06-cv-01249-HB, 1:06-cv-03031-HB, 1:06-cv-03300-HB(Youngwood, Jonathan) (Entered: 03/16/2007)
03/16/2007	<u>86</u>	SUPPLEMENTAL MEMORANDUM OF LAW in Support re: (28 in 1:06-cv-03031-HB, 26 in 1:06-cv-01249-HB, 45 in 1:06-cv-00732-HB, 18 in 1:06-cv-03300-HB) MOTION to Certify Class.. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker, Darrin Wilson, Perry Shapiro, Annette Falchetti, Annette Falchetti, Neil Aldoroty, Neil Aldoroty, John J. Berotti, John J. Berotti, Norman J. Schomaker, Norman J. Schomaker. (Attachments: # <u>1</u> Appendix 1# <u>2</u> Appendix 2# <u>3</u> Text of Proposed Order)Filed In Associated Cases: 1:06-cv-00732-HB, 1:06-cv-01249-HB, 1:06-cv-03031-HB, 1:06-cv-03300-HB(Williams-Derry, Amy) (Entered: 03/16/2007)
03/16/2007	<u>87</u>	DECLARATION of Amy Williams-Derry in Support re: (45 in 1:06-cv-00732-HB, 28 in 1:06-cv-03031-HB, 26 in 1:06-cv-01249-HB, 18 in 1:06-cv-03300-HB) MOTION to Certify Class.. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker, Darrin Wilson, Perry Shapiro, Annette Falchetti, Annette Falchetti, Neil Aldoroty, Neil Aldoroty, John J. Berotti, John J. Berotti, Norman J. Schomaker, Norman J. Schomaker. (Attachments: # <u>1</u> Exhibit 1# <u>2</u> Exhibit 2# <u>3</u> Exhibit 3# <u>4</u> Exhibit 4# <u>5</u> Exhibit 5# <u>6</u> Exhibit 6# <u>7</u> Exhibit 7# <u>8</u> Exhibit 8# <u>9</u> Exhibit 9# <u>10</u> Exhibit 10# <u>11</u> Exhibit 11# <u>12</u> Exhibit 12# <u>13</u> Exhibit 13# <u>14</u> Exhibit 14# <u>15</u> Errata 15# <u>16</u> Exhibit 16)Filed In Associated Cases: 1:06-cv-00732-HB, 1:06-cv-01249-HB, 1:06-cv-03031-HB, 1:06-cv-03300-HB(Williams-Derry, Amy) (Entered: 03/16/2007)
03/23/2007	<u>88</u>	ORDER REFERRING CASE TO MAGISTRATE JUDGE. Order that case be referred to the Clerk of Court for assignment to a Magistrate Judge for Specific Non-Dispositive Motion/Dispute* Discovery Dispute re:document. (Signed by Judge Harold Baer on 3/23/07) (jco) (Entered: 03/26/2007)
04/12/2007	<u>89</u>	NOTICE OF REASSIGNMENT OF A REFERRAL TO ANOTHER MAGISTRATE JUDGE. The referral in the above entitled action has been reassigned to Magistrate Judge Douglas F. Eaton, for DISCOVERY. Magistrate Judge Kevin Nathaniel Fox no longer referred to the case. (laq) (Entered: 04/16/2007)
04/16/2007		Mailed notice to the attorney(s) of record. (laq) (Entered: 04/16/2007)
04/20/2007	<u>90</u>	MOTION for Karin B. Swope to Appear Pro Hac Vice. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker.(jco) (Entered: 04/24/2007)
04/24/2007	<u>91</u>	MEMORANDUM AND ORDER: I hereby direct the defendants to submit to me, by 4/20/07, the disputed documents for my "in camera" review as further set forth in said Order. (Signed by Judge Douglas F. Eaton on 4/19/07) (db) (Entered: 04/25/2007)
04/25/2007		CASHIERS OFFICE REMARK on <u>90</u> Motion to Appear Pro Hac Vice in the amount of \$25.00, paid on 04/20/2007, Receipt Number 612919. (jd) (Entered: 04/25/2007)

04/30/2007	<u>92</u>	ORDER granting <u>90</u> Motion for Karin B. Swope to Appear Pro Hac Vice. (Signed by Judge Harold Baer on 4/27/07) (db) (Entered: 04/30/2007)
04/30/2007	<u>93</u>	ORDER that for the reasons set forth herein, I sustain dfts' invocation of the attorney client privilege. None of the disputed documents comes within the fiduciary exception. I deny plaintiffs' motion to compel production. (Signed by Judge Douglas F. Eaton on 4/30/07) (dle) (Entered: 05/01/2007)
05/11/2007	<u>94</u>	NOTICE of Filing. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker, Perry Shapiro, Annette Falchetti, Annette Falchetti, Neil Aldoroty, Neil Aldoroty, John J. Berotti, John J. Berotti, Norman J. Schomaker, Norman J. Schomaker. Filed In Associated Cases: 1:06-cv-00732-HB-DFE, 1:06-cv-01249-HB, 1:06-cv-03031-HB, 1:06-cv-03300-HB(Williams-Derry, Amy) (Entered: 05/11/2007)
05/11/2007	<u>95</u>	NOTICE of Amended Filing re: (41 in 1:06-cv-03300-HB) Notice (Other), Notice (Other). Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker, Perry Shapiro, Annette Falchetti, Annette Falchetti, Neil Aldoroty, Neil Aldoroty, John J. Berotti, John J. Berotti, Norman J. Schomaker, Norman J. Schomaker. Filed In Associated Cases: 1:06-cv-00732-HB-DFE, 1:06-cv-01249-HB, 1:06-cv-03031-HB, 1:06-cv-03300-HB(Loeser, Derek) (Entered: 05/11/2007)
05/17/2007	<u>96</u>	NOTICE of Filing of Opposition to Plaintiffs' Request for Consideration. Document filed by J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. (Attachments: # <u>1</u> Certificate of Service)Filed In Associated Cases: 1:06-cv-00732-HB-DFE, 1:06-cv-01249-HB, 1:06-cv-03031-HB, 1:06-cv-03300-HB(Youngwood, Jonathan) (Entered: 05/17/2007)
05/21/2007	<u>97</u>	MEMORANDUM AND ORDER: have reviewed plaintiff's 5/11/07 letter and defendants 5/17/07 letter. I have reconsidered my 4/30/07 Memorandum and Order, and I adhere to it. (Signed by Judge Douglas F. Eaton on 5/21/07) Copies Mailed by Chambers.(db) (Entered: 05/22/2007)
05/30/2007	<u>98</u>	MEMORANDUM AND OPINION # 94720: that certification is granted to the class with respect to the notice claims - i.e., Counts IV, V, and VI, but only with respect to the class claims that stem from the JPMC Plan as of 1/1/02 and excluding those individuals who have already received their lump sum benefit; certification is granted to the class with respect to the age discrimination claim beginning 1/1/89, however, because Hirt v. The Equitable Ret. Plan for Employees Managers & Agents, no. 06cv4757 is currently sub judice before the Second Circuit and since that decision will likely be dispositive of the age discrimination issue, I am reserving the right to revisit this issue following that decision; plaintiffs Berotti, Falchetti, Melli, and Shapiro are appointed class representatives and the law firm Schiffrin & Barroway, LLP, Kirby, McInerney & Squire, LLP and Keller Rohrback LLP are appointed class counsel and will abide my order of 7/28/06; discovery may proceed but it is restricted with respect to the age discrimination claim to the period 1/1/02 and thereafter; the parties will prepare a proposed joint pretrial scheduling order and bring it to a pretrial conference in chambers on 6/14/07 at 3:00 p.m.; the clerk of court is instructed to close this motion and remove it from my docket. (Signed by Judge Harold Baer on 5/30/07) (dle) Modified on 6/1/2007 (rw). Modified on 6/6/2007 (Lewis, Diahn). Modified on 6/6/2007 (Lewis, Diahn). (Entered: 05/31/2007)
05/30/2007		Set/Reset Hearings: Pretrial Conference set for 6/14/2007 03:00 PM before Judge Harold Baer. (dle) (Entered: 05/31/2007)
06/14/2007	<u>99</u>	MOTION for Reconsideration <i>and Clarification</i> . Document filed by Neil Aldoroty, Norman J. Schomaker, Neil Aldoroty, Neil Aldoroty, Norman J. Schomaker, Norman J. Schomaker. Filed In Associated Cases: 1:06-cv-00732-HB-DFE, 1:06-cv-01249-HB, 1:06-cv-03031-HB, 1:06-cv-03300-HB(Swope, Karin) (Entered: 06/14/2007)
06/14/2007	<u>100</u>	MEMORANDUM OF LAW in Support re: (44 in 1:06-cv-03300-HB) MOTION for Reconsideration.. Document filed by Neil Aldoroty, Norman J. Schomaker, Neil Aldoroty, Neil Aldoroty, Norman J. Schomaker, Norman J. Schomaker. (Attachments: # <u>1</u> Exhibit A# <u>2</u> Exhibit B# <u>3</u> Exhibit C)Filed In Associated Cases: 1:06-cv-00732-HB-DFE, 1:06-cv-01249-HB, 1:06-cv-03031-HB, 1:06-cv-03300-HB(Swope, Karin) (Entered: 06/14/2007)
06/15/2007	101	PRETRIAL SCHEDULING ORDER #2: The parties do not consent to proceed before a US Magistrate for all purposes, pursuant to 28 USC 636(c) and FRCP 73. All discovery, except expert, is due by 12/20/2007. Disclosure of expert testimony due by 1/31/2008. All Expert Discovery due by 3/31/2008. Dispositive Motions due by 5/30/2008, allowing 30 days for opposition to the motion and 15 days for reply. Joint Pretrial Order due by 8/15/2008. Jury Trial to be held, Ready for Trial by 9/2008. (Signed by Judge Harold Baer on 6/14/2007) (jar) (Entered: 06/18/2007)
06/20/2007	<u>102</u>	ENDORSED LETTER addressed to Jasmine Elwick from Karin B. Swope dated 6/19/07 re: Counsel writes on behalf of plaintiff to request permission to file their reply brief on or before 7/12/07.

		ENDORSEMENT: Your patriotism is praiseworthy but since I told you my general view on such motions at the conference let's not draw this litigation, already ling, to interminable lengths July 9 will provide time for plenty of fireworks time. So Ordered., Set Deadlines/Hearing as to <u>99</u> MOTION for Reconsideration and Clarification: Replies due by 7/9/2007. (Signed by Judge Harold Baer on 6/20/07) (jco) (Entered: 06/21/2007)
06/26/2007	<u>103</u>	TRANSCRIPT of proceedings held on 4/27/07 before Judge Harold Baer. (jbe) (Entered: 06/26/2007)
06/28/2007	<u>104</u>	MEMORANDUM OF LAW in Opposition re: <u>99</u> MOTION for Reconsideration and Clarification.. Document filed by J.P. Morgan Chase & Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources, J.P. Morgan Chase Bank, N.A.. (Attachments: # <u>1</u> Certificate of Service)(Rice, Thomas) (Entered: 06/28/2007)
07/09/2007	<u>105</u>	REPLY MEMORANDUM OF LAW in Support re: <u>99</u> MOTION for Reconsideration and Clarification.. Document filed by Neil Aldoroty, Norman J. Schomaker. (Linden, Peter) (Entered: 07/09/2007)
07/25/2007	<u>106</u>	TRANSCRIPT of proceedings held on 4/27/07 before Judge Harold Baer. (jbe) (Entered: 07/25/2007)
07/31/2007	<u>107</u>	OPINION & ORDER # 94984: because plaintiffs have failed to identify any controlling law or factual matters put to the Court on the underlying motion that the Court demonstrably did not consider, and, in the alternative, because they have failed to establish any manifest injustice which would result if the May 30th Order stands, plaintiffs' <u>99</u> MOTION for Reconsideration and Clarification is DENIED. Further, because plaintiffs have not shown that without interlocutory review they face unjust hardship, plaintiff's motion for certification pursuant to Rule 54(b) is DENIED. The Clerk of the Court is instructed to close this motion and remove it from my docket. (Signed by Judge Harold Baer on 7/31/07) (db) Modified on 8/2/2007 (rw). (Entered: 07/31/2007)
09/28/2007	<u>108</u>	NOTICE of CHANGE OF LAW FIRM NAME. Document filed by Perry Shapiro, Perry Shapiro. Filed In Associated Cases: 1:06-cv-00732-HB-DFE, 1:06-cv-01249-HB, 1:06-cv-03031-HB, 1:06-cv-03300-HB(Linden, Peter) (Entered: 09/28/2007)
12/07/2007	<u>109</u>	FILING ERROR – DEFICIENT DOCKET ENTRY – NOTICE of Submission. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. (Williams–Derry, Amy) Modified on 12/12/2007 (lb). (Entered: 12/07/2007)
12/10/2007	<u>110</u>	NOTICE of Submission AMENDED re: <u>109</u> Notice (Other). Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. (Williams–Derry, Amy) (Entered: 12/10/2007)
12/12/2007	<u>111</u>	ENDORSED LETTER addressed to Judge Harold Baer from Keller Rohrback L.L.P. dated 12/7/2007 re: We request guidance on whether the Court's certification of Plaintiffs' notice claims relates to the Plan as in effect from January 1, 2002, or whether certification of the notice claims is commensurate with the age discrimination claim, and has been granted from the period January 1, 1989 to the present. ENDORSEMENT: The notice claims as per the May 30th order granted class certification (on notice claims) from 2002 – a simple telephone call or even just a little review of your note might have come to that conclusion – Sorry for any inconvenience. (Signed by Judge Harold Baer on 12/12/2007) (jmi) (Entered: 12/13/2007)
12/13/2007	<u>112</u>	NOTICE of Submission. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. (Williams–Derry, Amy) (Entered: 12/13/2007)
12/26/2007	<u>113</u>	ENDORSED LETTER addressed to Judge Harold Baer from Counsel at Keller Rohrback, L.L.P dated 12/13/07 re: Counsel for Plaintiffs request the Court's guidance on timing for proceeding with discovery related to the certified age discrimination claim for the period 1/1/89 through 12/31/01. ENDORSEMENT: Denied. (Signed by Judge Harold Baer on 12/26/07) (tro) (Entered: 12/26/2007)
01/25/2008	<u>114</u>	STIPULATION AND ORDER REGARDING SCHEDULING ORDER NO. 2: Plaintiffs and Defendants stipulate that the Scheduling Order be slightly modified as follows: Disclosure of expert testimony due by 2/7/08. Disclosure of expert testimony intended to contradict or rebut the subject matter of other testimony due by 3/4/08. (Signed by Judge Harold Baer on 1/25/08) Filed In Associated Cases: 1:06-cv-00732-HB-DFE, 1:06-cv-01249-HB, 1:06-cv-03031-HB, 1:06-cv-03300-HB(tro) (Entered: 01/28/2008)
03/11/2008	<u>115</u>	NOTICE of Submission. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. (Williams–Derry, Amy) (Entered: 03/11/2008)

03/17/2008	<u>116</u>	ENDORSED LETTER addressed to Judge Harold Baer, Jr. from Amy William–Derry, Peter Linden, Edward Ciolko, Edgar Pauk, Esq. dated 3/10/08 re: the parties jointly write to update the Court of the status of this litigation, inform the Court that the Second Circuit recently scheduled oral argument in <i>Hirt v. The Equitable Ret. Plan of Employees, Manager & Agents</i> , No. 06cv4757 ("Hirt") for the week of 4/22/08, and to jointly requests a stay of this action pending the Second Circuit decision in <i>Hirt</i> . ENDORSEMENT: While I know and appreciate how much work you have done in this case, the case is over two years old, and by next January it will be on my three–year list a no–no at least for me. I also have great respect and admiration for the Second Circuit, but several cases of mine were argued last year, and there is no sign of a decision SOOO I will add this matter to my very short suspense calendar, and at the end of a year without word, (March 15, 2009) from the Circuit it will be dismissed without prejudice or motions fully briefed will be due 4/30/09. Joint Pretrial Order due by 7/10/2009 and we will try the case in early September. It is my hope and Im sure yours that the <i>Hirt</i> decision will come down before you notify me. Please notify me on or before 3/15 if there is a decision, and if not your choice of how to proceed. (Signed by Judge Harold Baer on 3/15/08) (pl) (Entered: 03/17/2008)
07/11/2008	<u>117</u>	NOTICE OF CHANGE OF ADDRESS by Peter S Linden on behalf of all plaintiffs. New Address: Kirby McInerney LLP., 825 Third Avenue, 16th Floor, New York, New York, USA 10022, 212–371–6600. Filed In Associated Cases: 1:06–cv–00732–HB–DFE, 1:06–cv–01249–HB, 1:06–cv–03031–HB, 1:06–cv–03300–HB(Linden, Peter) (Entered: 07/11/2008)
09/26/2008	<u>118</u>	NOTICE OF CASE REASSIGNMENT to Judge Denise L. Cote. Judge Harold Baer is no longer assigned to the case. (rdz) (Entered: 09/30/2008)
10/03/2008	<u>119</u>	SCHEDULING ORDER: That a pretrial conference is scheduled for October 24, 2008 at 3:30 p.m. in Courtroom 11B, 500 Pearl Street. IT IS FURTHER ORDERED that the conference must be attended by the attorney who will be principle trial counsel for each party. (Signed by Judge Denise L. Cote on 10/3/2008) Filed In Associated Cases: 1:06–cv–00732–DLC–DFE, 1:06–cv–01249–DLC, 1:06–cv–03031–DLC, 1:06–cv–03300–DLC(rw) Modified on 10/6/2008 (rw). (Entered: 10/03/2008)
10/21/2008	<u>120</u>	MOTION to Amend/Correct <u>98</u> Memorandum & Opinion,,,,, Document filed by Perry Shapiro, John J. Berotti, Annette Marie Falchetti, Terri Melli.(Williams–Derry, Amy) (Entered: 10/21/2008)
10/21/2008	<u>121</u>	MEMORANDUM OF LAW in Support re: <u>120</u> MOTION to Amend/Correct <u>98</u> Memorandum & Opinion,,,,, Document filed by Perry Shapiro, John J. Berotti, Annette Marie Falchetti, Terri Melli. (Williams–Derry, Amy) (Entered: 10/21/2008)
10/21/2008	<u>122</u>	DECLARATION of John J. Berotti in Support re: <u>120</u> MOTION to Amend/Correct <u>98</u> Memorandum & Opinion,,,,, Document filed by Perry Shapiro, John J. Berotti, Annette Marie Falchetti, Terri Melli. (Williams–Derry, Amy) (Entered: 10/21/2008)
10/21/2008	<u>123</u>	DECLARATION of Annette M. Falchetti in Support re: <u>120</u> MOTION to Amend/Correct <u>98</u> Memorandum & Opinion,,,,, Document filed by Perry Shapiro, John J. Berotti, Annette Marie Falchetti, Terri Melli. (Williams–Derry, Amy) (Entered: 10/21/2008)
10/21/2008	<u>124</u>	DECLARATION of Amy Williams–Derry in Support re: <u>120</u> MOTION to Amend/Correct <u>98</u> Memorandum & Opinion,,,,, Document filed by Perry Shapiro, John J. Berotti, Annette Marie Falchetti, Terri Melli. (Attachments: # <u>1</u> Exhibit 1A, # <u>2</u> Exhibit 1B, # <u>3</u> Exhibit 2, # <u>4</u> Exhibit 3, # <u>5</u> Exhibit 4, Part 1, # <u>6</u> Exhibit 4, Part 2, # <u>7</u> Exhibit 5, # <u>8</u> Exhibit 6, Part 1, # <u>9</u> Exhibit 6, Part 2, # <u>10</u> Exhibit 6, Part 3, # <u>11</u> Exhibit 6, Part 4, # <u>12</u> Exhibit 6, Part 5, # <u>13</u> Exhibit 6, Part 6, # <u>14</u> Exhibit 6, Part 7, # <u>15</u> Exhibit 7, # <u>16</u> Exhibit 8, # <u>17</u> Exhibit 9, # <u>18</u> Exhibit 10, Part 1, # <u>19</u> Exhibit 10, Part 2, # <u>20</u> Exhibit 10, Part 3, # <u>21</u> Exhibit 10, Part 4)(Williams–Derry, Amy) (Entered: 10/21/2008)
10/23/2008	<u>125</u>	MOTION to Dismiss <i>with Prejudice Count I of Plaintiffs' (Corrected) Consolidated Class Action Complaint</i> . Document filed by J.P. Morgan Chase & Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. Return Date set for 11/14/2008 at 09:30 AM. (Attachments: # <u>1</u> Text of Proposed Order)(Rice, Thomas) (Entered: 10/23/2008)
10/23/2008	<u>126</u>	MEMORANDUM OF LAW in Support re: <u>125</u> MOTION to Dismiss <i>with Prejudice Count I of Plaintiffs' (Corrected) Consolidated Class Action Complaint</i> . MOTION to Dismiss <i>with Prejudice Count I of Plaintiffs' (Corrected) Consolidated Class Action Complaint</i> .. Document filed by J.P. Morgan Chase & Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. (Rice, Thomas) (Entered: 10/23/2008)
10/23/2008	<u>127</u>	DECLARATION of Thomas C. Rice in Support re: <u>125</u> MOTION to Dismiss <i>with Prejudice Count I of Plaintiffs' (Corrected) Consolidated Class Action Complaint</i> . MOTION to Dismiss <i>with Prejudice Count I of Plaintiffs' (Corrected) Consolidated Class Action Complaint</i> .. Document filed by J.P. Morgan Chase & Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. (Rice, Thomas) (Entered: 10/23/2008)

10/29/2008	<u>128</u>	ORDER REFERRING CASE TO MAGISTRATE JUDGE. Order that case be referred to the Clerk of Court for assignment to a Magistrate Judge for Settlement. Referred to Magistrate Judge Kevin Nathaniel Fox. (Signed by Judge Denise L. Cote on 10/29/08) Filed In Associated Cases: 1:06-cv-00732-DLC-DFE, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC(tro) (Entered: 10/29/2008)
10/29/2008	<u>129</u>	SCHEDULING ORDER: The parties are instructed to contact the chambers of Magistrate Judge Kevin N. Fox prior to October 31, 2008 in order to pursue settlement discussions under his supervision. The Joint Pretrial Order for the 2002 and 2005 class certified claims is due by 1/30/2009. Final Pretrial Conference set for 2/13/2009 at 02:00 PM in Courtroom 11B, 500 Pearl Street, New York, NY 10007 before Judge Denise L. Cote. Trial set for 3/2/2009 at 09:30 AM before Judge Denise L. Cote. The procedures as set forth in this Order shall govern the conduct of the trial. (Signed by Judge Denise L. Cote on 10/29/08) Filed In Associated Cases: 1:06-cv-00732-DLC-DFE, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC(tro) (Entered: 10/29/2008)
10/30/2008	<u>130</u>	ORDER: that the parties are instructed to contact the Chambers of Magistrate Judge Eaton immediately in order to pursue settlement discussions under his supervision. (Signed by Judge Denise L. Cote on 10/30/2008) (tve) (Entered: 10/30/2008)
10/30/2008	<u>131</u>	AMENDED ORDER OF REFERENCE TO A MAGISTRATE JUDGE. Order that case be referred to the Clerk of Court for assignment to a Magistrate Judge for Settlement. Referred to Magistrate Judge Douglas F. Eaton. (Signed by Judge Denise L. Cote on 10/30/2008) (tve) (Entered: 10/30/2008)
11/05/2008	<u>132</u>	MEMORANDUM OF LAW in Opposition re: <u>120</u> MOTION to Amend/Correct <u>98</u> Memorandum & Opinion,,,,,, Document filed by J.P. Morgan Chase & Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources, J.P. Morgan Chase Bank, N.A.. (Rice, Thomas) (Entered: 11/05/2008)
11/05/2008	<u>133</u>	AFFIDAVIT of Thomas C. Rice in Opposition re: <u>120</u> MOTION to Amend/Correct <u>98</u> Memorandum & Opinion,,,,,, Document filed by J.P. Morgan Chase & Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources, J.P. Morgan Chase Bank, N.A.. (Rice, Thomas) (Entered: 11/05/2008)
11/05/2008	139	TRANSCRIPT of proceedings held on October 24, 2008 before Judge Denise L. Cote. (ad) (Entered: 12/29/2008)
11/11/2008	<u>134</u>	NOTICE OF APPEARANCE by David Steven Preminger on behalf of Perry Shapiro, John J. Berotti, Annette Marie Falchetti, Terri Melli (Preminger, David) (Entered: 11/11/2008)
11/13/2008	<u>135</u>	REPLY to Response to Motion re: <u>120</u> MOTION to Amend/Correct <u>98</u> Memorandum & Opinion,,,,,, Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. (Attachments: # <u>1</u> Appendix)(Williams-Derry, Amy) (Entered: 11/13/2008)
11/13/2008	<u>136</u>	DECLARATION of Amy Williams-Derry in Support re: <u>120</u> MOTION to Amend/Correct <u>98</u> Memorandum & Opinion,,,,,, Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C, # <u>4</u> Exhibit D, # <u>5</u> Exhibit E, # <u>6</u> Exhibit F)(Williams-Derry, Amy) (Entered: 11/13/2008)
12/03/2008	<u>137</u>	MOTION for Gretchen S. Obrist to Appear Pro Hac Vice. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker.(dle) (Entered: 12/05/2008)
12/05/2008	<u>138</u>	ORDER: defendants' October 23, 2008 motion for partial judgment on the pleadings is granted and Count I of the Complaint is dismissed with prejudice. ORDER granting (125) Motion to Dismiss in case 1:06-cv-00732-DLC-DFE. (Signed by Judge Denise L. Cote on 12/4/08) Filed In Associated Cases: 1:06-cv-00732-DLC-DFE, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC(db) (Entered: 12/05/2008)
12/08/2008		CASHIERS OFFICE REMARK on <u>137</u> Motion to Appear Pro Hac Vice in the amount of \$25.00, paid on 12/03/2008, Receipt Number 671334. (jd) (Entered: 12/08/2008)
12/17/2008		Minute Entry for proceedings held before Magistrate Judge Douglas F. Eaton: Settlement Conference held on 12/17/2008. The parties are unable to reach any settlement. (mro) (Entered: 12/18/2008)
12/19/2008		CASE NO LONGER REFERRED to Magistrate Judge Douglas F. Eaton. I hereby close the above Order of Reference for magistrate judge statistical purposes. This case remains open. Reason: I held a settlement conference on 12/17/08. The parties are unable to reach any settlement. Associated Cases: 1:06-cv-00732-DLC-DFE, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC(ae) (Entered: 12/30/2008)

01/06/2009		***DELETED DOCUMENT. Deleted document number <u>141</u> ORDER. The document was incorrectly filed in this case. (rw) (Entered: 01/07/2009)
01/06/2009		***DELETED DOCUMENT. Deleted document number 140 Opinion and Order. The document was incorrectly filed in this case. (js) (Entered: 01/15/2009)
01/06/2009	<u>144</u>	OPINION AND ORDER: #96918. Plaintiffs' October 21, 2008 motion to amend class certification is denied with respect to the 1989 Notice Claims and granted with respect to the inclusion of former employees who have received lump-sum payouts of their retirement benefits. The May 2007 Order is hereby amended in accordance with this Opinion. All current deadlines in this matter remain in place. So Ordered. (Signed by Judge Denise L. Cote on 1/6/09) Filed In Associated Cases: 1:06-cv-00732-DLC, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC(js) (Entered: 01/15/2009)
01/07/2009	<u>141</u>	MEMO ENDORSEMENT granting (137) Motion for Gretchen S. Obrist to Appear Pro Hac Vice in case 1:06-cv-00732-DLC. ENDORSEMENT: Granted. (Signed by Judge Denise L. Cote on 1/6/09) Filed In Associated Cases: 1:06-cv-00732-DLC, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC(tro) (Entered: 01/07/2009)
01/07/2009		Transmission to Attorney Admissions Clerk. Transmitted re: (55 in 1:06-cv-03300-DLC, 65 in 1:06-cv-03031-DLC, 63 in 1:06-cv-01249-DLC, 141 in 1:06-cv-00732-DLC) Order on Motion to Appear Pro Hac Vice, to the Attorney Admissions Clerk for updating of Attorney Information. Filed In Associated Cases: 1:06-cv-00732-DLC, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC(tro) (Entered: 01/07/2009)
01/12/2009	<u>142</u>	NOTICE of Firm Name Change. Document filed by Terri Melli. (Meltzer, Joseph) (Entered: 01/12/2009)
01/12/2009	<u>143</u>	NOTICE of Firm Name Change. Document filed by Terri Melli. (Ciolko, Edward) (Entered: 01/12/2009)
01/26/2009	<u>145</u>	ENDORSED LETTER addressed to Judge Denise L. Cote from Amy Williams-Derry and Jonathan K. Youngwood dated 1/23/09 re: Counsel requests that the January 30 Pretrial Order deadline be extended by one week, to February 6, 2009. ENDORSEMENT: Plaintiffs' submission is due January 30, 2009, at the latest. The one week extension of the Pretrial Order is granted. (Signed by Judge Denise L. Cote on 1/26/09) (mme) Modified on 1/28/2009 (mme). (Entered: 01/26/2009)
02/02/2009	<u>146</u>	PRELIMINARY ORDER RELATED TO DISMISSAL PROCEEDINGS: It is hereby stipulated and agreed by and between the parties that on or before February 27, 2009, plaintiffs shall file with the Court a motion to approve the voluntary dismissal provided for in the Stipulation, as set forth herein. Any response by Defendants shall be filed by 3/13/2009. A hearing shall be scheduled for March 25, 2009 at 2:30 p.m. (Signed by Judge Denise L. Cote on 2/2/2009) Filed In Associated Cases: 1:06-cv-00732-DLC, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC(jpo) (Entered: 02/02/2009)
02/03/2009	<u>147</u>	NOTICE OF CHANGE OF ADDRESS by Edgar Pauk on behalf of all plaintiffs. New Address: Edgar Pauk, 27 Eighth Avenue, Park Slope, Brooklyn, New York, USA 11217, 347-529-4604. (Pauk, Edgar) (Entered: 02/03/2009)
02/27/2009	<u>148</u>	MOTION for Approval of Dismissal of Certified Claims. Document filed by Perry Shapiro, John J. Berotti, Annette Marie Falchetti, Terri Melli. Return Date set for 3/25/2009 at 02:30 PM.(Ciolko, Edward) (Entered: 02/27/2009)
02/27/2009	<u>149</u>	MEMORANDUM OF LAW in Support re: <u>148</u> MOTION for Approval of Dismissal of Certified Claims.. Document filed by Perry Shapiro, John J. Berotti, Annette Marie Falchetti, Terri Melli. (Attachments: # <u>1</u> Exhibit A)(Ciolko, Edward) (Entered: 02/27/2009)
03/12/2009	<u>150</u>	ENDORSED LETTER addressed to Judge Denise L. Cote from Jonathan K. Youngwood dated 3/12/2009 re: Request permission to electronically file the affidavit, without exhibits, and file a hard copy of the affidavit with the referenced. ENDORSEMENT: Granted. (Signed by Judge Denise L. Cote on 3/12/2009) (jpo) (Entered: 03/12/2009)
03/13/2009	<u>151</u>	RESPONSE in Support re: <u>148</u> MOTION for Approval of Dismissal of Certified Claims.. Document filed by J.P. Morgan Chase &Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources, J.P. Morgan Chase Bank, N.A.. (Rice, Thomas) (Entered: 03/13/2009)
03/13/2009	<u>152</u>	AFFIDAVIT of Ian H. Altman in Support re: <u>148</u> MOTION for Approval of Dismissal of Certified Claims.. Document filed by J.P. Morgan Chase &Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources, J.P. Morgan Chase Bank, N.A.. (Attachments: # <u>1</u> Exhibit)(Rice,

		Thomas) (Entered: 03/13/2009)
03/13/2009	<u>153</u>	AFFIDAVIT of Edward L. McGann (Exhibits filed in hard copy pursuant to document #150) in Support re: <u>148</u> MOTION for Approval of Dismissal of Certified Claims.. Document filed by J.P. Morgan Chase &Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources, J.P. Morgan Chase Bank, N.A.. (Rice, Thomas) (Entered: 03/13/2009)
03/13/2009	156	AFFIDAVIT of Edward L. McGann. Document filed by J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. (hard copy filed purs to Order dated 3/12/09, docmt #150) (djc) (Entered: 03/19/2009)
03/13/2009	157	AFFIDAVIT of Edward L. McGann in Support re: <u>148</u> MOTION for Approval of Dismissal of Certified Claims. Document filed by J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources.(hard copy filed purs to Order dated 3/12/09, docmt #150); (received in night dep. on 3/13/09 at 10:57 p.m.) (djc) (Entered: 03/19/2009)
03/17/2009	<u>154</u>	ENDORSED LETTER addressed to Judge Denise L. Cote from Amy Williams–Derry dated 3/16/2009 re: Plaintiffs request the Court's permission to file a short reply to correct some misstatements in Defendant's Response, and to point out Plaintiffs' disagreement with certain issues Defendants raised. Plaintiff will electronically file this reply by March 20, 2009. ENDORSEMENT: Granted. (Signed by Judge Denise L. Cote on 3/17/2009) (jfe) (Entered: 03/18/2009)
03/18/2009	<u>155</u>	ORDER: It is hereby ORDERED that, should the Court find a hearing necessary to consider preliminary approval of the voluntary dismissal, such a hearing shall occur on April 1, 2009 at 2:00 p.m. There shall be no hearing on March 25. (Signed by Judge Denise L. Cote on 3/18/2009) Filed In Associated Cases: 1:06–cv–00732–DLC, 1:06–cv–01249–DLC, 1:06–cv–03031–DLC, 1:06–cv–03300–DLC(jfe) (Entered: 03/18/2009)
03/20/2009	<u>158</u>	REPLY MEMORANDUM OF LAW in Support re: <u>148</u> MOTION for Approval of Dismissal of Certified Claims.. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. (Williams–Derry, Amy) (Entered: 03/20/2009)
03/20/2009	<u>159</u>	DECLARATION of Amy Williams–Derry in Support re: <u>148</u> MOTION for Approval of Dismissal of Certified Claims.. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. (Williams–Derry, Amy) (Entered: 03/20/2009)
04/15/2009	161	TRANSCRIPT of proceedings held on 4/1/2009 before Judge Denise L. Cote. (jmi) (Entered: 04/23/2009)
04/20/2009	<u>160</u>	ENDORSED LETTER addressed to Judge Denise L. Cote from Peter S. Linden dated 4/16/09 re: The parties request a telephone conference. ENDORSEMENT: Granted. A conference call will be held on 4/22/09 at noon. (Telephone Conference set for 4/22/2009 at 12:00 PM before Judge Denise L. Cote.) (Signed by Judge Denise L. Cote on 4/20/09) (db) (Entered: 04/20/2009)
04/29/2009	<u>162</u>	SUPPLEMENTAL MEMORANDUM OF LAW in Support re: <u>148</u> MOTION for Approval of Dismissal of Certified Claims.. Document filed by Perry Shapiro, John J. Berotti, Annette Marie Falchetti, Terri Melli. (Attachments: # <u>1</u> Exhibit A: Revised Notice)(Williams–Derry, Amy) (Entered: 04/29/2009)
05/01/2009	<u>163</u>	RESPONSE re: <u>162</u> Memorandum of Law in Support of Motion, <u>148</u> MOTION for Approval of Dismissal of Certified Claims.. Document filed by J.P. Morgan Chase &Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources, J.P. Morgan Chase Bank, N.A.. (Rice, Thomas) (Entered: 05/01/2009)
05/01/2009	<u>164</u>	ENDORSED LETTER addressed to Judge Denise Cote from Amy William Derry dated 4/29/2009 re: Enclosed please find two courtesy copies of plaintiffs' supplemental memorandum in support of voluntary dismissal of certified claims, with revised proposed notice to class members attached as Ex A. ENDORSEMENT: The revised notice is approved Chambers will contact counsel regarding a minor editing issue. Counsel shall submit a proposed schedule for the distribution, objections, and hearing. (Signed by Judge Denise L. Cote on 5/1/2009) (jpo) (Entered: 05/01/2009)
05/07/2009	<u>165</u>	ENDORSED LETTER addressed to Judge Denise Cote from Amy Williams–Derry dated 5/6/2009 re: Pursuant to your Memo Endorsement dated May1, 2009, the parties have conferred on a proposed schedule for distributing class notice, filing any objections, and setting a final hearing for the pending voluntary dismissal. The parties respectfully request that the Court approve the following schedule: Plaintiffs to post notice & associated materials to website: by May 15, 2009; Plaintiffs to cause notice to be posted on PR Newswire: by June 1, 2009; Defendants to complete mailing and distribution of notice (via U.S. Mail, email, or interoffice mail): by June 5, 2009; Objections to be postmarked, filed,

		or faxed: by July 6, 2009; and Final approval hearing: July 30, 2009 at 11:00 am. ENDORSEMENT: SO ORDERED. (Signed by Judge Denise L. Cote on 5/7/2009) (jmi) (Entered: 05/07/2009)
05/29/2009	<u>166</u>	NOTICE OF CHANGE OF ADDRESS by David Steven Preminger on behalf of Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. New Address: Keller Rohrback L.L.P. (New York office), 770 Broadway, Second Floor, New York, New York, USA 10003, (646) 495-6198. (Preminger, David) (Entered: 05/29/2009)
07/02/2009	<u>167</u>	ENDORSED LETTER addressed to Judge Denise L. Cote from Amy Williams-Derry dated 6/18/09 re: If Defendants do not so stipulate, Plaintiffs propose filing a motion seeking leave to amend by July 20, 2009. ENDORSEMENT: Granted. (Amended Pleadings due by 7/20/2009.) (Signed by Judge Denise L. Cote on 7/2/09) (db) (Entered: 07/02/2009)
07/13/2009	168	ANSWER TO OPINION AND ORDER. (Docmt filed by Annie Scott-Gray) (djc) (Entered: 07/13/2009)
07/13/2009	169	Answer to Opinion. (Docmt filed by Patricia Raysor). (djc) (Entered: 07/13/2009)
07/13/2009	170	Answer to Opinion & Order. (docmt filed by Sylvia Scott-Daniels) (djc) (Entered: 07/13/2009)
07/16/2009	171	MOTION to Docket Opposition to Plaintiffs' Motion for Voluntary Dismissal of Certified Class Claims. Filed by Melissa Y. Carr. (ae) Modified on 7/17/2009 (ae). (Entered: 07/17/2009)
07/20/2009	<u>172</u>	ENDORSED LETTER addressed to Judge Denise Cote from Peter S. Linden dated 7/17/09 re: counsel for plaintiff's respectfully request the opportunity to submit these class member objections/responses to the Court along with a supplemental submission from plaintiffs responding, as necessary, to these papers. We ask that the Court allow us until July 23, 2009 to make this submission. ENDORSEMENT: Granted. (Signed by Judge Denise L. Cote on 7/20/09) (pl) (Entered: 07/20/2009)
07/20/2009	<u>173</u>	FILING ERROR - DEFICIENT DOCKET ENTRY - MOTION for leave to file an Amended Consolidated Class Action Complaint. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker.(Williams-Derry, Amy) Modified on 7/21/2009 (KA). (Entered: 07/20/2009)
07/20/2009	<u>174</u>	FILING ERROR - DEFICIENT DOCKET ENTRY - MEMORANDUM OF LAW in Support re: <u>173</u> MOTION for leave to file an Amended Consolidated Class Action Complaint. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. (Williams-Derry, Amy) Modified on 7/21/2009 (KA). (Entered: 07/20/2009)
07/20/2009	<u>175</u>	FILING ERROR - DEFICIENT DOCKET ENTRY - DECLARATION of Amy Williams-Derry in Support re: <u>173</u> MOTION for leave to file an Amended Consolidated Class Action Complaint. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 1, # <u>3</u> Exhibit 1, # <u>4</u> Exhibit 2, # <u>5</u> Exhibit 3)(Williams-Derry, Amy) Modified on 7/21/2009 (KA). (Entered: 07/20/2009)
07/21/2009	<u>176</u>	MOTION to Amend/Correct <u>1</u> Complaint,. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti.(Williams-Derry, Amy) (Entered: 07/21/2009)
07/21/2009	<u>177</u>	MEMORANDUM OF LAW in Support re: <u>176</u> MOTION to Amend/Correct <u>1</u> Complaint,.. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti. (Williams-Derry, Amy) (Entered: 07/21/2009)
07/21/2009	<u>178</u>	DECLARATION of Amy Williams-Derry in Support re: <u>176</u> MOTION to Amend/Correct <u>1</u> Complaint,.. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 1, # <u>3</u> Exhibit 1, # <u>4</u> Exhibit 1, # <u>5</u> Exhibit 1, # <u>6</u> Exhibit 2, # <u>7</u> Exhibit 3)(Williams-Derry, Amy) (Entered: 07/21/2009)
07/21/2009	<u>179</u>	ORDER. The parties shall be prepared to address the motion for leave to amend at the July 30 conference. If necessary, a schedule will be set at the conference for the submission of the remainder of the briefing on this motion. (Signed by Judge Denise L. Cote on 7/21/09) (djc) (Entered: 07/22/2009)
07/22/2009	<u>180</u>	AFFIDAVIT OF SERVICE on 7/20/2009. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti. (Linden, Peter) (Entered: 07/22/2009)
07/23/2009	<u>181</u>	SUPPLEMENTAL MEMORANDUM OF LAW in Support re: <u>148</u> MOTION for Approval of Dismissal of Certified Claims.. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti. (Linden, Peter) (Entered: 07/23/2009)

07/23/2009	<u>182</u>	DECLARATION of Amy Williams–Derry in Support re: <u>148</u> MOTION for Approval of Dismissal of Certified Claims.. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti. (Linden, Peter) (Entered: 07/23/2009)
07/23/2009	<u>183</u>	DECLARATION of Peter S. Linden in Support re: <u>148</u> MOTION for Approval of Dismissal of Certified Claims.. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti. (Attachments: # <u>1</u> Exhibit A–L, # <u>2</u> Exhibit M–R, # <u>3</u> Exhibit S–MM)(Linden, Peter) (Entered: 07/23/2009)
07/24/2009	<u>184</u>	CERTIFICATE OF SERVICE on 7/23/2009. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti. (Linden, Peter) (Entered: 07/24/2009)
07/24/2009	<u>185</u>	RESPONSE re: <u>181</u> Memorandum of Law in Support of Motion . <i>Defendants' Response to Plaintiffs' Second Supplemental Memorandum in Support of Voluntary Dismissal of Certified Notice Claims.</i> Document filed by J.P. Morgan Chase &Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources, J.P. Morgan Chase Bank, N.A.. (Rice, Thomas) (Entered: 07/24/2009)
07/24/2009	<u>186</u>	AFFIDAVIT of Daniela Nese re: <u>185</u> Response,. Document filed by J.P. Morgan Chase &Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources, J.P. Morgan Chase Bank, N.A.. (Rice, Thomas) (Entered: 07/24/2009)
07/28/2009	<u>187</u>	SUPPLEMENTAL MEMORANDUM OF LAW in Support re: <u>148</u> MOTION for Approval of Dismissal of Certified Claims.. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti. (Linden, Peter) (Entered: 07/28/2009)
07/28/2009	<u>188</u>	DECLARATION of Peter S. Linden in Support re: <u>148</u> MOTION for Approval of Dismissal of Certified Claims.. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti. (Attachments: # <u>1</u> Exhibit NN, # <u>2</u> Exhibit OO, # <u>3</u> Exhibit PP, # <u>4</u> Exhibit QQ)(Linden, Peter) (Entered: 07/28/2009)
07/28/2009	<u>189</u>	DECLARATION of Amy Williams–Derry in Support re: <u>148</u> MOTION for Approval of Dismissal of Certified Claims.. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti. (Attachments: # <u>1</u> Exhibit 1)(Linden, Peter) (Entered: 07/28/2009)
07/28/2009	<u>190</u>	CERTIFICATE OF SERVICE on 07/28/2009. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti. (Linden, Peter) (Entered: 07/28/2009)
07/29/2009	<u>191</u>	CERTIFICATE OF SERVICE of Plaintiffs' Second Supplemental Memorandum in Support of Voluntary Dismissal of Certified Notice of Claims, dated July 23, 2009; Declaration of Amy Williams–Derry, dated July 23, 2009; Declaration of Peter Linden, dated July 23, 2009; Defendants' Response to Plaintiffs' Second Supplemental Memorandum in Support of Voluntary Dismissal of Certified Notice Claims, dated July 24, 2009; and Affidavit of Daniela Nese, dated July 24, 2009 served on David Martin on July 27, 2009. Service was made by email. Document filed by Perry Shapiro, John J. Berotti, Annette Marie Falchetti, Terri Melli. (Williams–Derry, Amy) (Entered: 07/29/2009)
07/29/2009	<u>192</u>	AFFIDAVIT of Daniela Nese (Supplemental) in Support re: <u>148</u> MOTION for Approval of Dismissal of Certified Claims.. Document filed by J.P. Morgan Chase &Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources, J.P. Morgan Chase Bank, N.A.. (Rice, Thomas) (Entered: 07/29/2009)
07/30/2009	<u>193</u>	NOTICE of Additional Submission re: <u>183</u> Declaration in Support of Motion,. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti. (Linden, Peter) (Entered: 07/30/2009)
08/04/2009	<u>194</u>	ORDER: that defendants' opposition is due by August 14, 2009. Any reply is due by September 4, 2009. At the time any reply is filed, the plaintiffs shall provide two courtesy copies of all motion papers to Chambers by delivering them to the Courthouse Mailroom, 8th Floor, United States Courthouse, 500 Pearl Street, New York, New York. IT IS FURTHER ORDERED that the parties shall contact Magistrate Judge Katz by August 14, 2009 to arrange for settlement discussions under his supervision. SO ORDERED. (Signed by Judge Denise L. Cote on 8/4/2009) Filed In Associated Cases: 1:06–cv–00732–DLC, 1:06–cv–01249–DLC, 1:06–cv–03031–DLC, 1:06–cv–03300–DLC(tve) (Entered: 08/04/2009)
08/04/2009	<u>195</u>	ORDER REFERRING CASE TO MAGISTRATE JUDGE. Order that case be referred to the Clerk of Court for assignment to a Magistrate Judge for Settlement. Referred to Magistrate Judge Theodore H. Katz. (Signed by Judge Denise L. Cote on 8/4/2009) Filed In Associated Cases: 1:06–cv–00732–DLC, 1:06–cv–01249–DLC, 1:06–cv–03031–DLC, 1:06–cv–03300–DLC(tve) (Entered: 08/04/2009)

08/04/2009	<u>196</u>	ORDER: granting (148) Motion in case 1:06-cv-00732-DLC. Plaintiffs' February 27 motion is granted conditionally, pending the distribution of notice to certain class members as discussed on the record at the July 31 telephone conference and the consideration of any objections submitted in response. SO ORDERED. (Signed by Judge Denise L. Cote on 8/4/2009) Filed In Associated Cases: 1:06-cv-00732-DLC, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC(tve) (Entered: 08/04/2009)
08/04/2009	<u>197</u>	NOTICE OF REASSIGNMENT OF A REFERRAL TO ANOTHER MAGISTRATE JUDGE. The referral in the above entitled action has been reassigned to Magistrate Judge Theodore H. Katz, for Settlement. Magistrate Judge Douglas F. Eaton no longer referred to the case. (ldi) (ldi). (Entered: 08/05/2009)
08/13/2009	<u>198</u>	ENDORSED LETTER addressed to Judge Denise L. Cote from Jonathan K. Youngwood dated 8/13/2009 re: Requesting that the due date for an opposition be extended to August 26, 2009, and that the due date for Plaintiffs' reply be extended to September 17, 2009. ENDORSEMENT: Granted. (Signed by Judge Denise L. Cote on 8/13/2009) (jpo) (Entered: 08/13/2009)
08/18/2009	<u>199</u>	NOTICE OF CHANGE OF ADDRESS by Edgar Pauk on behalf of Norman J. Schomaker. New Address: Law Offices of Edgar Pauk, 27 Eighth Avenue, Park Slope, Brooklyn, New York, USA 11217, 347-529-4604. (Pauk, Edgar) (Entered: 08/18/2009)
08/20/2009	<u>200</u>	ENDORSED LETTER addressed to Judge Denise Cote from Thomas C. Rice dated 8/20/2009 re: We write to update the Court on the status and schedule for providing additional notice as directed by the Court. ENDORSEMENT: So ordered. (Signed by Judge Denise L. Cote on 8/20/2009) (jpo) (Entered: 08/20/2009)
08/26/2009	<u>201</u>	MEMORANDUM OF LAW in Opposition re: (176 in 1:06-cv-00732-DLC) MOTION to Amend/Correct (1) Complaint,.. Document filed by J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. Filed In Associated Cases: 1:06-cv-00732-DLC, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC(Rice, Thomas) (Entered: 08/26/2009)
08/26/2009	<u>202</u>	DECLARATION of Jonathan K. Youngwood in Opposition re: (176 in 1:06-cv-00732-DLC) MOTION to Amend/Correct (1) Complaint,.. Document filed by J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. Filed In Associated Cases: 1:06-cv-00732-DLC, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC(Youngwood, Jonathan) (Entered: 08/26/2009)
08/26/2009	<u>203</u>	MOTION for Mark K. Gyandoh to Appear Pro Hac Vice. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker.(dle) (Entered: 08/27/2009)
08/27/2009		CASHIERS OFFICE REMARK on <u>203</u> Motion to Appear Pro Hac Vice in the amount of \$25.00, paid on 08/26/2009, Receipt Number 698444. (jd) (Entered: 08/27/2009)
08/31/2009	<u>204</u>	MOTION FOR ADMISSION PRO HAC VICE: ORDER granting (203) Motion for Mark K. Gyandoh to Appear Pro Hac Vice in case 1:06-cv-00732-DLC for Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. ENDORSEMENT: Granted. (Signed by Judge Denise L. Cote on 8/31/09) Filed In Associated Cases: 1:06-cv-00732-DLC, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC(db) (Entered: 08/31/2009)
08/31/2009		Transmission to Attorney Admissions Clerk. Transmitted re: (64 in 1:06-cv-03300-DLC, 72 in 1:06-cv-01249-DLC, 204 in 1:06-cv-00732-DLC, 74 in 1:06-cv-03031-DLC) Order on Motion to Appear Pro Hac Vice, to the Attorney Admissions Clerk for updating of Attorney Information. Filed In Associated Cases: 1:06-cv-00732-DLC, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC(db) (Entered: 08/31/2009)
09/11/2009	<u>205</u>	ENDORSED LETTER addressed to Judge Denise L. Cote from Thomas C. Rice dated 9/10/09 re: Enlargement of page requests by both parties for reply briefs and sur-replies. ENDORSEMENT: Both parties' requests are granted. (Signed by Judge Denise L. Cote on 9/11/09) (db) (Entered: 09/11/2009)
09/16/2009	<u>206</u>	MEMORANDUM OF LAW in Opposition re: (62 in 1:06-cv-03300-DLC) Memorandum of Law in Opposition to Motion, (<i>CORRECTED</i>). Document filed by J.P. Morgan Chase & Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources, J.P. Morgan Chase Bank, N.A., J.P. Morgan Chase & Co., The JPMorgan Chase Retirement Plan, JPMorgan Chase Bank, N.A., JPMorgan Chase & Co., J.P. Morgan Chase & Co.. Filed In Associated Cases: 1:06-cv-00732-DLC, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC(Rice, Thomas) (Entered: 09/16/2009)

09/17/2009	<u>207</u>	REPLY MEMORANDUM OF LAW in Support re: <u>176</u> MOTION to Amend/Correct <u>1</u> Complaint,.. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti. (Williams-Derry, Amy) (Entered: 09/17/2009)
09/17/2009	<u>208</u>	DECLARATION of Amy Williams-Derry in Support re: <u>176</u> MOTION to Amend/Correct <u>1</u> Complaint,.. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C, # <u>4</u> Exhibit D, # <u>5</u> Exhibit E, # <u>6</u> Exhibit F, # <u>7</u> Exhibit G, # <u>8</u> Exhibit H, # <u>9</u> Exhibit I, # <u>10</u> Exhibit J, # <u>11</u> Exhibit K, # <u>12</u> Exhibit L, # <u>13</u> Exhibit M, # <u>14</u> Exhibit N, # <u>15</u> Exhibit O, # <u>16</u> Exhibit P, # <u>17</u> Exhibit Q, # <u>18</u> Exhibit R, # <u>19</u> Exhibit S, # <u>20</u> Exhibit T, # <u>21</u> Exhibit U, # <u>22</u> Exhibit V)(Williams-Derry, Amy) (Entered: 09/17/2009)
09/17/2009	<u>209</u>	DECLARATION of Perry Shapiro in Support re: <u>176</u> MOTION to Amend/Correct <u>1</u> Complaint,.. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B)(Williams-Derry, Amy) (Entered: 09/17/2009)
09/17/2009	<u>210</u>	DECLARATION of Neil Aldoroty in Support re: <u>176</u> MOTION to Amend/Correct <u>1</u> Complaint,.. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti. (Attachments: # <u>1</u> Exhibit A)(Williams-Derry, Amy) (Entered: 09/17/2009)
09/17/2009	<u>211</u>	CERTIFICATE OF SERVICE of Plaintiffs' Reply in Support of Plaintiffs' Motion for Leave to File an Amended Consolidated Class Action Complaint served on All Counsel of Record on September 17, 2009. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti. (Williams-Derry, Amy) (Entered: 09/17/2009)
09/18/2009	<u>212</u>	ENDORSED LETTER addressed to Magistrate Judge Theodore H. Katz from Amy Williams Derry dated 9/17/2009 re: Requesting permission for Mr. Aldoroty appear by telephone throughout the duration of the conference. ENDORSEMENT: That is fine. (Signed by Magistrate Judge Theodore H. Katz on 9/18/2009) (jpo) (Entered: 09/18/2009)
09/22/2009		Minute Entry for proceedings held before Magistrate Judge Theodore H. Katz: Settlement Conference held on 9/22/2009. (mro) (Entered: 09/24/2009)
09/25/2009	<u>213</u>	DECLARATION of Jonathan K. Youngwood (Supplemental with Exhibits) in Opposition re: (176 in 1:06-cv-00732-DLC) MOTION to Amend/Correct (1) Complaint,.. Document filed by J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. Filed In Associated Cases: 1:06-cv-00732-DLC, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC(Youngwood, Jonathan) (Entered: 09/25/2009)
09/25/2009	<u>214</u>	SUPPLEMENTAL MEMORANDUM OF LAW in Opposition re: (176 in 1:06-cv-00732-DLC) MOTION to Amend/Correct (1) Complaint,.. Document filed by J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. Filed In Associated Cases: 1:06-cv-00732-DLC, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC(Youngwood, Jonathan) (Entered: 09/25/2009)
10/02/2009	<u>215</u>	ENDORSED LETTER addressed to Judge Denise L. Cote from Peter S. Linden dated 10/1/2009 re: Plaintiffs request until October 8, 2009 to submit a supplemental memorandum to respond to these submissions and in further support of voluntary dismissal of the certified notice claims. ENDORSEMENT: Granted. So Ordered. (Signed by Judge Denise L. Cote on 10/2/2009) (jfe) (Entered: 10/02/2009)
10/08/2009	<u>216</u>	FOURTH MEMORANDUM OF LAW in Support re: <u>148</u> MOTION for Approval of Dismissal of Certified Claims.. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti. (Linden, Peter) (Entered: 10/08/2009)
10/08/2009	<u>217</u>	FILING ERROR - DEFICIENT DOCKET ENTRY - DECLARATION of Peter S. Linden in Support re: <u>148</u> MOTION for Approval of Dismissal of Certified Claims.. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti. (Attachments: # <u>1</u> Exhibit A-H)(Linden, Peter) Modified on 10/15/2009 (KA). (Entered: 10/08/2009)
10/08/2009	<u>218</u>	DECLARATION of Mark K. Gyandoh in Support re: <u>148</u> MOTION for Approval of Dismissal of Certified Claims.. Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti. (Linden, Peter) (Entered: 10/08/2009)
10/08/2009	<u>219</u>	AFFIDAVIT of Daniela Nese (Second Supplemental) in Support re: <u>192</u> Affidavit in Support of Motion,. Document filed by J.P. Morgan Chase & Co., J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources, J.P. Morgan Chase Bank, N.A.. (Youngwood, Jonathan) (Entered: 10/08/2009)

10/09/2009	<u>220</u>	DECLARATION of Peter S. Linden in Support re: <u>148</u> MOTION for Approval of Dismissal of Certified Claims.. Document filed by John Does, Perry Shapiro, Neil Aldoroty, Annette Marie Falchetti. (Attachments: # <u>1</u> Exhibit A-H)(Linden, Peter) (Entered: 10/09/2009)
10/19/2009	<u>221</u>	ORDER: It is hereby ordered that Plaintiffs' Motion for Voluntary Dismissal of Certified Claims is granted, the certified notice claims are dismissed with prejudice, and the Clerk is expressly directed to enter partial final judgment pursuant to Rule 54(b) of the Federal Rules of Civil Procedure. (Signed by Judge Denise L. Cote on 10/19/2009) Filed In Associated Cases: 1:06-cv-00732-DLC, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC(jpo) (Entered: 10/19/2009)
10/19/2009		Transmission to Judgments and Orders Clerk. Transmitted re: <u>221</u> Order, to the Judgments and Orders Clerk. (jpo) (Entered: 10/19/2009)
10/20/2009	<u>222</u>	RULE 54(B) PARTIAL CLERK'S JUDGMENT That for the reasons stated in the Court's Order dated October 19, 2009, that there is no just reason for delay, pursuant to Fed. R. Civ. P. 54(b), a partial final judgment is entered granting Plaintiffs' Motion for Voluntary Dismissal of Certified Claims, and dismissing the certified notice claims with prejudice. (Signed by J. Michael McMahon, CLERK on 10/20/09) (Attachments: # <u>1</u> notice of right to appeal)Filed In Associated Cases: 1:06-cv-00732-DLC, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC(ml) (Entered: 10/20/2009)
10/23/2009		Minute Entry for proceedings held before Magistrate Judge Theodore H. Katz: Settlement Conference held on 10/23/2009. (mro) (Entered: 10/27/2009)
10/27/2009	<u>223</u>	ENDORSED LETTER addressed to Judge Denise L. Cote from Amy Williams-Derry dated 10/26/09 re: Request that the Court stay both pending actions pending settlement. ENDORSEMENT: Both actions are stayed, pending a status report from the parties due 11/13/09. (Status Report due by 11/13/2009.) (Signed by Judge Denise L. Cote on 10/27/09) (cd) (Entered: 10/27/2009)
11/17/2009	<u>224</u>	ENDORSED LETTER addressed to Judge Denise L. Cote from Thomas C. Rice dated 11/13/2009 re: The parties respectfully request that the Court continue the stay of both actions until the proposed stipulation of settlement is filed. ENDORSEMENT: Status letter due 12/18/2009. So Ordered. (Signed by Judge Denise L. Cote on 11/17/2009) (jfe) (Entered: 11/17/2009)
12/16/2009	<u>225</u>	ORDER: that the Clerk of Court shall terminate the pending motion for leave to file an amended consolidated class action complaint (ECF Docket # <u>173</u>) and motion to amend the complaint (ECF Docket # <u>176</u>) without prejudice to renewal. SO ORDERED. (Signed by Judge Denise L. Cote on 12/16/2009) Filed In Associated Cases: 1:06-cv-00732-DLC, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC(tve) (Entered: 12/16/2009)
12/21/2009	<u>226</u>	ENDORSED LETTER addressed to Judge Denise L. Cote from Amy Williams Derry dated 12/17/2009 re: The parties respectfully request that the Court continue the stay of both actions until the Stipulation of Settlement is filed. ENDORSEMENT: The proposed settlement papers shall be filed by 1/22/10. (Signed by Judge Denise L. Cote on 12/21/2009) (tve) (Entered: 12/21/2009)
01/21/2010	<u>227</u>	ENDORSED LETTER addressed to Judge Denise L. Cote from Peter S. Linden dated 1/20/2010 re: Your Honor's order of December 21, 2009 requires the parties to file their settlement papers by January 22, 2010. I write on behalf of all the parties to request an additional week to submit the executed settlement stipulation. Accordingly, the parties jointly request until Friday, January 29, 2010, to submit the executed agreement together with a proposed class notice and a preliminary approval order. We intend to seek preliminary approval by letter, in lieu of a formal motion, if that is acceptable to the Court. In the alternative, the parties could submit the settlement papers by January 29, 2010, with a preliminary approval motion to be submitted by February 5, 2010.ENDORSEMENT: Submission on 1/29/10 is approved. (Signed by Judge Denise L. Cote on 1/21/2010) (tro) (Entered: 01/26/2010)
01/21/2010		***DELETED DOCUMENT. Deleted document number <u>228</u> Endorsed Letter (Duplicate of 227). The document was incorrectly filed in this case. (tro) (Entered: 01/26/2010)
03/03/2010	<u>228</u>	ORDER GRANTING PRELIMINARY APPROVAL OF CLASS ACTION SETTLEMENT, PRELIMINARILY CERTIFYING CLASS FOR SETTLEMENT PURPOSES, APPROVING FORM AND MANNER OF CLASS NOTICE, AND SETTING DATE FOR HEARING ON FINAL APPROVAL OF SETTLEMENT: A hearing is scheduled for June 25, 2010 at 3:00 p.m. (Signed by Judge Denise L. Cote on 3/3/2010) Filed In Associated Cases: 1:06-cv-00732-DLC, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC(jfe) (Entered: 03/04/2010)
03/05/2010	<u>229</u>	ENDORSED LETTER addressed to Judge Denise L. Cote from Peter S. Linden dated 3/4/2010 re: I write on behalf of the Plaintiffs, and with the consent of Defendants, in the above-referenced cases. The parties respectfully request that the due date for objections to be filed be changed to May 28, 2010.

		ENDORSEMENT: SO ORDERED. (Signed by Judge Denise L. Cote on 3/5/2010) (jmi) (Entered: 03/08/2010)
04/23/2010	<u>230</u>	MANDATE of USCA (Certified Copy) USCA Case Number 09-0444-mv. The parties in the above referenced case have filed a stipulation withdrawing their petition for leave to appeal, without costs or attorney's fees. The stipulation is so ordered. Catherine O'Hagan Wolfe, Clerk USCA. Issued As Mandate: 04/23/2010. (nd) (Entered: 04/23/2010)
06/14/2010	<u>231</u>	ENDORSED LETTER addressed to Judge Denise L. Cote from Peter Linden dated 6/11/10 re: Plaintiffs request an additional five pages (from the 25 pages) for the memorandum of law in support of plaintiffs motion. ENDORSEMENT: Granted. (Signed by Judge Denise L. Cote on 6/14/10) (cd) (Entered: 06/14/2010)
06/17/2010	<u>232</u>	MOTION for Settlement <i>NAMED PLAINTIFFS MOTION FOR FINAL APPROVAL OF CLASS ACTION SETTLEMENT, SETTLEMENT CLASS CERTIFICATION, CASE CONTRIBUTION AWARDS TO NAMED PLAINTIFFS AND REIMBURSEMENT OF ATTORNEYS EXPENSES.</i> Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker.(Linden, Peter) (Entered: 06/17/2010)
06/17/2010	<u>233</u>	MEMORANDUM OF LAW in Support re: <u>232</u> MOTION for Settlement <i>NAMED PLAINTIFFS MOTION FOR FINAL APPROVAL OF CLASS ACTION SETTLEMENT, SETTLEMENT CLASS CERTIFICATION, CASE CONTRIBUTION AWARDS TO NAMED PLAINTIFFS AND REIMBURSEMENT OF ATTORNEYS EXPENSES.</i> Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. (Linden, Peter) (Entered: 06/17/2010)
06/17/2010	<u>234</u>	DECLARATION of Peter S. Linden in Support re: <u>232</u> MOTION for Settlement <i>NAMED PLAINTIFFS MOTION FOR FINAL APPROVAL OF CLASS ACTION SETTLEMENT, SETTLEMENT CLASS CERTIFICATION, CASE CONTRIBUTION AWARDS TO NAMED PLAINTIFFS AND REIMBURSEMENT OF ATTORNEYS EXPENSES.</i> Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C, # <u>4</u> Exhibit D, # <u>5</u> Exhibit E, # <u>6</u> Exhibit F, # <u>7</u> Exhibit G, # <u>8</u> Exhibit H, # <u>9</u> Exhibit I, # <u>10</u> Exhibit J)(Linden, Peter) (Entered: 06/17/2010)
06/17/2010	<u>235</u>	DECLARATION of Amy Williams-Derry in Support re: <u>232</u> MOTION for Settlement <i>NAMED PLAINTIFFS MOTION FOR FINAL APPROVAL OF CLASS ACTION SETTLEMENT, SETTLEMENT CLASS CERTIFICATION, CASE CONTRIBUTION AWARDS TO NAMED PLAINTIFFS AND REIMBURSEMENT OF ATTORNEYS EXPENSES.</i> Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B)(Linden, Peter) (Entered: 06/17/2010)
06/17/2010	<u>236</u>	DECLARATION of Joseph A. Weeden in Support re: <u>232</u> MOTION for Settlement <i>NAMED PLAINTIFFS MOTION FOR FINAL APPROVAL OF CLASS ACTION SETTLEMENT, SETTLEMENT CLASS CERTIFICATION, CASE CONTRIBUTION AWARDS TO NAMED PLAINTIFFS AND REIMBURSEMENT OF ATTORNEYS EXPENSES.</i> Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. (Attachments: # <u>1</u> Exhibit A)(Linden, Peter) (Entered: 06/17/2010)
06/17/2010	<u>237</u>	AFFIRMATION of Edgar Pauk in Support re: <u>232</u> MOTION for Settlement <i>NAMED PLAINTIFFS MOTION FOR FINAL APPROVAL OF CLASS ACTION SETTLEMENT, SETTLEMENT CLASS CERTIFICATION, CASE CONTRIBUTION AWARDS TO NAMED PLAINTIFFS AND REIMBURSEMENT OF ATTORNEYS EXPENSES.</i> Document filed by Perry Shapiro, Neil Aldoroty, John J. Berotti, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. (Attachments: # <u>1</u> Exhibit A)(Linden, Peter) (Entered: 06/17/2010)
06/24/2010	<u>238</u>	AFFIDAVIT of Daniela Nese in Support. Document filed by J.P. Morgan Chase Retirement Plan, JPMC's Director of Human Resources. Filed In Associated Cases: 1:06-cv-00732-DLC, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC(Youngwood, Jonathan) (Entered: 06/24/2010)
07/06/2010	<u>239</u>	NOTICE of Filing re: <u>233</u> Memorandum of Law in Support of Motion,. Document filed by Perry Shapiro, Annette Marie Falchetti, Terri Melli, Norman J. Schomaker. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2, # <u>3</u> Exhibit 3, # <u>4</u> Exhibit 4)(Williams-Derry, Amy) (Entered: 07/06/2010)
07/12/2010	<u>240</u>	ORDER denying (for the reasons stated on the record at the June 25 Fairness Hearing) Renewed (232) Motion for Settlement in case 1:06-cv-00732-DLC The following amounts are approved for reimbursement of out-of-pocket expenses associated with serving as class representatives as set forth

		herein. Plaintiffs' counsel shall promptly submit a revised Order and Final Judgment that reflects the rulings at the June 25 Fairness Hearing and in this Order. (Signed by Judge Denise L. Cote on 7/12/10) Filed In Associated Cases: 1:06-cv-00732-DLC, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC (djc) Modified on 7/12/2010 (djc). Modified on 7/14/2010 (djc). (Entered: 07/12/2010)
07/15/2010	<u>241</u>	JUDGMENT settling action. (Signed by Judge Denise L. Cote on 7/15/10) (Attachments: # <u>1</u> notice of right to appeal) Filed In Associated Cases: 1:06-cv-00732-DLC, 1:06-cv-01249-DLC, 1:06-cv-03031-DLC, 1:06-cv-03300-DLC(ml) (Entered: 07/17/2010)
07/16/2010	242	TRANSCRIPT of proceedings held on 6/25/2010 before Judge Denise L. Cote. (ab) (Entered: 07/19/2010)