

CLOSED

**U.S. District Court**  
**United States District Court for the Southern District of New York (Foley Square)**  
**CIVIL DOCKET FOR CASE #: 1:01-cv-08421-RMB-RLE**

EEOC v. Morgan Stanley & Co., et al  
Assigned to: Judge Richard M. Berman  
Referred to: Magistrate Judge Ronald L. Ellis  
Demand: \$0  
Cause: 42:1983 Civil Rights Act

Date Filed: 09/10/2001  
Date Terminated: 07/13/2004  
Jury Demand: Both  
Nature of Suit: 442 Civil Rights: Jobs  
Jurisdiction: U.S. Government Plaintiff

**Plaintiff**

**Equal Employment Opportunity  
Commission**

represented by **Elizabeth A. Grossman**  
U.S. Equal Employment Opportunity  
Commission  
New York District Office  
33 Whitehall Street, 5th Floor  
New York, NY 10004  
(212) 336-3696  
Email: elizabeth.grossman@eoc.gov  
*LEAD ATTORNEY*

**Katherine E. Bissell**  
U.S. Equal Employment Opportunity  
Commission  
New York District Office  
33 Whitehall Street, 5th Floor  
New York, NY 10004  
(212) 336-3696  
Email: katherine.bissell@eoc.gov  
*LEAD ATTORNEY*

V.

**Defendant**

**Morgan Stanley & Co., Inc.**

represented by **Andrew Johnstone**  
Kirkland & Ellis  
200 East Randolph Drive  
Chicago, IL 60601  
(312) 861-2000  
*LEAD ATTORNEY*

**Andrew Johnstone**  
(See above for address)  
*LEAD ATTORNEY*

**Brian Kavanaugh**

Kirkland & Ellis LLP  
200 East Randolph Drive  
Chicago, IL 60601  
(312) 861-2000  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Emily Nicklin**  
Kirkland & Ellis  
200 East Randolph Drive  
Chicago, IL 60601  
(312) 861-2000  
*LEAD ATTORNEY*

**Gabor Balassa**  
Kirkland & Ellis (IL)  
200 East Randolph Street  
Chicago, IL 60601  
(312) 861-2000  
Fax: (312)-861-2200  
Email: gbalassa@kirkland.com  
*LEAD ATTORNEY*

**Helen E. Witt**  
Kirkland & Ellis  
200 East Randolph Drive  
Chicago, IL 60601  
(312) 861-2000  
*LEAD ATTORNEY*

**Joseph Serino, JR.**  
Kirkland & Ellis LLP (NYC)  
153 East 53rd Street  
New York, NY 10022  
(212) 446-4913  
Fax: (212) 446-6460  
Email: jserino@kirkland.com  
*LEAD ATTORNEY*

**Thomas E. Dutton**  
Kirkland & Ellis LLP  
200 East Randolph Drive  
Chicago, IL 60601  
(312) 861-2000  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Wendy L. Bloom**  
Kirkland & Ellis LLP  
200 East Randolph Drive

Chicago, IL 60601  
*LEAD ATTORNEY*

**Defendant**

**Morgan Stanley Dean Witter**

represented by **Andrew Johnstone**  
(See above for address)  
*LEAD ATTORNEY*

**Andrew Johnstone**  
(See above for address)  
*LEAD ATTORNEY*

**Brian Kavanaugh**  
(See above for address)  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Emily Nicklin**  
(See above for address)  
*LEAD ATTORNEY*

**Gabor Balassa**  
(See above for address)  
*LEAD ATTORNEY*

**Joseph Serino, JR.**  
(See above for address)  
*LEAD ATTORNEY*

**Thomas E. Dutton**  
(See above for address)  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Wendy L. Bloom**  
(See above for address)  
*LEAD ATTORNEY*

**Helen E. Witt**  
(See above for address)  
*ATTORNEY TO BE NOTICED*

**Intervenor Defendant**

**Morgan Stanley & Co., Inc.**

represented by **Joseph Serino, JR.**  
(See above for address)  
*LEAD ATTORNEY*

**Intervenor Defendant**

**Morgan Stanley Dean Witter**

represented by **Joseph Serino, JR.**  
 (See above for address)  
*LEAD ATTORNEY*

**Intervenor Plaintiff****Allison Schieffelin**

represented by **Piper Hoffman**  
 Outten & Golden,LLP (NYC)  
 3 Park Avenue, 29th Floor  
 New York, NY 10016  
 (212) 245-1000  
 Fax: (212) 977-4005  
 Email: ph@outtengolden.com  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Scott A. Moss**  
 Outten & Golden, L.L.P.  
 3 Park Avenue  
 New York, NY 10016  
 (212) 245-1000  
 Fax: (212) 977-4005  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Wayne Outten**  
 Outten & Golden LLP  
 1740 Broadway  
 25th Floor  
 New York, NY 10019  
 (212) 245-1000  
 Fax: 212-658-9762  
*LEAD ATTORNEY*

<b>Date Filed</b>	<b>#</b>	<b>Docket Text</b>
09/10/2001	1	COMPLAINT filed. Summons issued and Notice pursuant to 28 U.S.C. 636(c). . (dle) (Entered: 09/19/2001)
09/10/2001		Magistrate Judge Ronald L. Ellis is so designated. (dle) (Entered: 09/19/2001)
10/10/2001	2	NOTICE OF MOTION/UNOPPOSED APPLICATION (FILED ON SERVICE DATE) by Morgan Stanley & Co., Morgan Stanley Dean; for an Order pursuant to Local Rule 1.3(c) for Emily Nicklin to appear pro hac vice to represent defendants , for an Order for Gabor Balassa to appear pro hac vice to represent defendants , for an Order for Andrew M. Johnstone to appear pro hac vice to represent defendants . Return date not indicated. Affidavit of Joseph Serino Jr., and Proposed Order attached. (kkc) (Entered: 10/12/2001)

10/11/2001	3	RULE 1.9 CERTIFICATE filed by Morgan Stanley & Co., Morgan Stanley Dean . (bai) (Entered: 10/12/2001)
10/12/2001	4	Memo-Endorsement on letter addressed to Judge Berman from Emily Nicklin, dated 10/11/01; counsel for the defendants write to request a pre-motion conference to seek leave to file a motion for a more definite statement of the allegations contained in the EEOC's complaint in this action. Government can respond in writing on 10/15/01 but Court's inclination is that "meet & confer" conferences can easily resolve these issues; also, I thought the parties were confident these issues could be resolved . ( signed by Judge Richard M. Berman ); Copies mailed. (kkc) (Entered: 10/15/2001)
10/16/2001	5	Case Management Plan; status of settlement discussions on 11/29/01 at 1:30 p.m. with full authority; application to intervene granted on consent; refer to SDNY mediation forthwith; parties to meet & confer on all issues forthwith, including settlement . ( signed by Judge Richard M. Berman ); Copies mailed. Copy forwarded to Mediation Clerk. (kkc) (Entered: 10/16/2001)
10/16/2001	6	ORDER; granting [2-1] motion for an Order pursuant to Local Rule 1.3(c) for Emily Nicklin to appear pro hac vice to represent defendants, granting [2-2] motion for an Order for Gabor Balassa to appear pro hac vice to represent defendants, granting [2-3] motion for an Order for Andrew M. Johnstone to appear pro hac vice to represent defendants. ( signed by Judge Richard M. Berman ); Copies mailed. Original document forwarded to Attorney Admissions Clerk. (kkc) (Entered: 10/16/2001)
11/02/2001	8	INTERVENOR'S COMPLAINT by Allison Schieffelin against Morgan Stanley & Co., Morgan Stanley Dean. Summons issued. (cd) (Entered: 11/06/2001)
11/02/2001	8	DEMAND for jury trial by Allison Schieffelin . (cd) (Entered: 11/06/2001)
11/05/2001	7	Order referring action to the Clerk to assign the above-entitled action to mediation. All issues are eligible. ( signed by Judge Richard M. Berman ) (dj) (Entered: 11/05/2001)
11/07/2001	9	Notice of selection of mediator. (sc) (Entered: 11/07/2001)
11/28/2001	10	Memo-Endorsement on letter addressed to Judge Berman from Michelle A. Caiola, dated 11/21/01. Re: EEOC writes on behalf of all parties regarding a scheduling issue. They are requesting that the 11/29/01 settlement conference be postponed until after 12/6/01; The Court is optimistic that a non-trial resolution is probable through good faith effort. 11/29/01 conference date is vacated. Advise the Court Deputy of status after 12/6/01 mediation . ( signed by Judge Richard M. Berman ); Copies mailed. (tp) (Entered: 11/29/2001)
11/29/2001	11	ANSWER to Complaint by Morgan Stanley & Co., Morgan Stanley Dean (Attorney Andrew Johnstone, Gabor Balassa, Emily Nicklin from the Firm: Kirkland & Ellis); jury demand. (db) (Entered: 12/04/2001)
11/29/2001	12	ANSWER to Complaint by Morgan Stanley & Co., Morgan Stanley Dean (Attorney Andrew Johnstone, Gabor Balassa, Emily Nicklin from the Firm: Kirkland & Ellis); jury demand. (db) (Entered: 12/04/2001)

01/22/2002	13	JOINT SCHEDULING ORDER: Rule 26(a) Disclosures by 02/01/02; Close of fact discovery by 06/17/02; Plaintiffs' Expert Reports by 08/15/02; Defendants' Expert Reports if one report by 09/16/02; the parties also agree to discuss possible modifications to the number and length of depositions and number of interrogatories imposed under the FRCP after the parties' respective Rule 26(a) disclosures; Settlement conference - 09/26/02 at 11:00 a.m. with principals; ( signed by Judge Richard M. Berman ); Copies mailed. (djc) (Entered: 01/23/2002)
01/22/2002		Deadline(s) updated: set settlement conference for 11:00 a.m. on 9/26/02 ; (djc) (Entered: 01/23/2002)
01/23/2002	14	Order that case be referred to the Clerk of Court for assignment to a Magistrate Judge for general pretrial/after initial case management conference held by District Judge; Referred to Magistrate Judge Ronald L. Ellis. ( signed by Judge Richard M. Berman ) (djc) Modified on 01/24/2002 (Entered: 01/24/2002)
01/30/2002	15	ORDER/PRETRIAL CONFERENCE: a conference will be held in this case by the Judge on 03/19/02 at 10:30 a.m. in Courtroom 20A, 500 Pearl Street; ( signed by Magistrate Judge Ronald L. Ellis ) (djc) (Entered: 01/30/2002)
02/04/2002		Cashier's Received \$ 75.00, Receipt # 429109 on 2/4/02. (db) (Entered: 02/05/2002)
02/22/2002	16	Memo-Endorsement on letter addressed to Judge Berman from Emily Nickin, dated 02/21/02; Take up these discovery issues with Magistrate Ellis (Ripp & Kanarek). See prim. Order entered 01/24/02. (By the way no need for multiple letters when I would do.) All dates set in this case are firm. Parties encouraged to focus on resolution ; ( signed by Judge Richard M. Berman ); Copies mailed. (djc) (Entered: 02/25/2002)
02/26/2002	17	ORDER; the Court has scheduled trial in this matter for 11/4/02 at 9:00 a.m. ; joint jury instructions and a joint pretrial order are due on 10/7/02 ; a settlement conference is (already) scheduled for 9/26/02 at 11:00 a.m.; the Regional Director of the EEOC, the President of Morgan Stanley, and Ms. Schieffelin are requested to be in attendance at the settlement conference, in the event the issues are not able to be resolved prior to the conference . ( signed by Judge Richard M. Berman ); Copies mailed. (kkc) (Entered: 02/26/2002)
03/13/2002	18	Memo-Endorsement on letter addressed to Judge Berman from Michelle A. Caiola, dated 03/06/02; Fact discovery reset to 8/16/02 ; Plaintiff's experts to 09/16/02; pretrial submissions to 10/14/02 ; Settlement conference ( with officials previously indicated) remains for 09/26/02 at 11:00; trial remains for 11/04/02 at 9:00 a.m. ; ( signed by Judge Richard M. Berman ); Copies mailed. (djc) (Entered: 03/15/2002)
04/01/2002	19	NOTICE OF MOTION (filed on service date ) by EEOC pursuant to Local Rule 1.3(c) of the United States District Court for the Southern District of New York, for an Order admitting Michelle A. Caiola in this lawsuit . Return date not indicated. (yv) (Entered: 04/04/2002)
04/04/2002	20	Memo-Endorsement on letter addressed to Judge Berman from Michelle A.Caiola, dated 04/01/02. Re:granting [19-1] motion pursuant to Local Rule 1.3(c) of the United States District Court for the Southern District of New York,

		for an Order admitting Michelle A. Caiola in this lawsuit. ( signed by Judge Richard M. Berman ); Copies mailed. (docmt forwarded to atty admissions clerk) (dj) (Entered: 04/05/2002)
04/08/2002	21	NOTICE OF MOTION (filed on service date) by Morgan Stanley & Co., Morgan Stanley Dean for Helen E. Witt to appear pro hac vice ; Attached is Affidavit of Joseph Serino, Jr. in support; and Declaration of Helen E. Witt in support. (dj) (Entered: 04/09/2002)
04/15/2002	22	Memo-Endorsement on letter addressed to Judge Berman from Emily Nicklin, dated 4/12/02. Re: defendant Morgan Stanley requests a conference to confirm that the EEOC"s class is limited to IED-North America during a period date from 1/1/96. EEOC to respond with 2-3 pp letter by 4/18/02 (noon) & Counsel thereafter to set conference date with Deputy, if needed . ( signed by Judge Richard M. Berman ); Copies mailed. (kw) (Entered: 04/22/2002)
04/23/2002	23	Memo-Endorsement on letter addressed to Judge Berman from Michelle A. Caiola, dated 4/18/02; counsel writes to request that the Court deny defendants' procedurally infirm and factually and legally unsupported request to limit EEOC's complaint. Please take up with Magistrate Ellis . ( signed by Judge Richard M. Berman ); Copies mailed. (kkc) (Entered: 04/24/2002)
04/30/2002	24	Letter Brief filed by Morgan Stanley & Co., Morgan Stanley Dean addressed to Judge Berman from Emily Nicklin, dated 4/26/02; counsel writes to request a pre-motion conference to consider its objections to two oral ruling by Magistrate Judge Ellis. (kkc) (Entered: 05/03/2002)
04/30/2002	25	Memo-Endorsement on letter addressed to Judge Berman from Emily Nicklin, dated 4/26/02. Re: Morgan Stanley respectfully requests a pre-motion conference to consider its objections, pursuant to FRCP 72, to two oral rulings by Magistrate Judge Ellis. Counsel should discuss with Mag. Ellis at next conference & respectfully request a written ruling from him, e.g. as by reconsideration clarification, etc . ( signed by Judge Richard M. Berman ); Copies mailed. (kw) (Entered: 05/06/2002)
05/01/2002	26	ORDER; granting [21-1] motion for Helen E. Witt to appear pro hac vice; that an attorney admitted to practice pro hac vice is required to pay a \$25.00 attorney admission fee and to present this Order to the intake deputy clerk in the Clerk's Office; when paying by mail, return a copy of this Order to the Clerk's Office with the required fee within 10 business days; the attorney admitted pro hac vice must serve a copy of this Order on all other counsel in this case . ( signed by Judge Richard M. Berman ); Copies mailed; forwarded this document to the attorney admissions clerk. (pl) Modified on 05/06/2002 (Entered: 05/06/2002)
05/01/2002		PRETRIAL CONFERENCE held before Magistrate Judge Ronald L. Ellis . (bai) (Entered: 05/07/2002)
05/07/2002		CASHIER'S OFFICE REMARK on [21-1] motion for Helen E. Witt to appear pro hac vice in the amount of \$25.00 paid on 5/7/02 Receipt # 438209. (db) (Entered: 05/10/2002)
05/10/2002	27	ORDER GOVERNING THE PRODUCTION AND USE OF CONFIDENTIAL MATERIAL, regarding procedures to be followed that shall govern the handling of confidential materials . ( signed by Magistrate Judge Ronald L. Ellis ); Copies

		mailed. (tp) (Entered: 05/10/2002)
05/21/2002	28	Objection(s) by third-party witnesses, Geoffery Raynor, Rob McCormick and Q Investments, L.P. to portion of a subpoena served upon them. (bai) (Entered: 05/23/2002)
05/29/2002	29	Memo-Endorsement on letter addressed to Judge Berman from Emily Nicklin, dated 5/28/02 Re: Morgan Stanley request for a pre-motion conference to consider its objections: see FRCP 72(a). I'm not sure what a conference would accomplish ( signed by Judge Richard M. Berman ); Copies mailed. (cd) (Entered: 05/30/2002)
05/31/2002	30	MEMORANDUM OPINION & ORDER 87007, this Court (1) Orders the parties to submit to the Court a joint proposed notice by 6/7/02, which complies with the safeguards indicated herein regarding communication with potential class members, and (2) Denies Morgan Stanley's request to limit disclosure of compensation and promotion data . ( signed by Magistrate Judge Ronald L. Ellis ); Copies mailed. (kw) (Entered: 06/03/2002)
05/31/2002	31	Memo-Endorsement on letter addressed to Judge Ellis from Helen E. Witt, dated 5/24/02, granting deft's request for an extension of time to 6/4/02 to submit a response to plntf's letter of 5/23/02 ( signed by Magistrate Judge Ronald L. Ellis ); Copies mailed (cd) (Entered: 06/04/2002)
06/03/2002	32	NOTICE OF MOTION by Morgan Stanley & Co., Morgan Stanley Dean for an order, for Thomas E. Dutton to appear pro hac vice as atty for defts ; Return Date not indicated. (sac) (Entered: 06/07/2002)
06/10/2002	33	Transcript of record of proceedings before Magistrate Judge Ronald L. Ellis for the date(s) of April 12, 2002. (jb) (Entered: 06/12/2002)
06/13/2002	34	SEALED DOCUMENT placed in vault. (wv) (Entered: 06/13/2002)
06/13/2002	39	NOTICE OF MOTION (filed on service date) by EEOC for an Order granting leave to amend EEOC's Complaint pursuant to Rule 15(a) of the FRCP . Return Date not indicated. Memorandum of law in support of motion attached. (yv) (Entered: 06/25/2002)
06/14/2002	35	SEALED DOCUMENT placed in vault. (js) (Entered: 06/17/2002)
06/19/2002	36	Memo-Endorsement on letter addressed to Judge Berman from Michelle A. Caiola, dated 6/17/02, granting the EEOC's request for an extension of time to 6/24/02 at noon, to respond to defts' letter, received on 6/14/02 ( signed by Judge Richard M. Berman ); Copies mailed. (cd) Modified on 06/24/2002 (Entered: 06/21/2002)
06/19/2002	37	ORDER; following plaintiff's notice of motion for leave to amend the complaint filed 6/13/02: (1) defendant serve its response on or before 6/24/02, and (2) plaintiff serve its reply papers, if any, on or before 7/1/02 . ( signed by Magistrate Judge Ronald L. Ellis ); Copies mailed. (pl) (Entered: 06/24/2002)
06/21/2002	38	ORDER granting [32-1] motion for an order, for Thomas E. Dutton to appear pro hac vice as atty for defts . ( signed by Judge Richard M. Berman ); Copies mailed; (forwarded orig. doc to the Atty Admissions Clerk) (cd) (Entered: 06/25/2002)



06/24/2002	40	Letter filed by Morgan Stanley & Co., Morgan Stanley Dean addressed to Anna Jadow, Intern to the Honorable Richard M. Berman from Joseph J. Cali, dated 6/19/02, re: certificate of admission to the Bar of Illinois. (yv) (Entered: 06/27/2002)
06/27/2002		CASHIER'S OFFICE REMARK on duplicate [38-1] order in the amount of \$25.00 paid on 6/27/02 Receipt # 443614. (sac) (Entered: 07/02/2002)
06/28/2002	48	MEMORANDUM OF LAW by Morgan Stanley & Co., Morgan Stanley Dean in opposition to [47-2] EEOC's Motion to amend complaint. (dh) (Entered: 07/11/2002)
07/01/2002	41	DECISION AND ORDER, that for the reasons contained herein, the Court affirms Judge Ellis' Order dated 5/28/02 . ( signed by Judge Richard M. Berman ); Copies mailed. (tp) (Entered: 07/03/2002)
07/01/2002	42	Memo-Endorsement on letter addressed to Judge Berman from Michelle A. Caiola, dated 6/28/02. Re: The EEOC writes requesting extension of the discovery period until 4/15/03. Don't count on it.!! Defendants to respond with Brief (2-3 pp) letter by 7/5/02 (noon). Plaintiff's may need to contact D.C. Office for reinforcements because trial on 11/4/02 is still the goal. Sanctions -- not extensions -- may be warranted. The COURT's initial reaction is that this request is naive . ( signed by Judge Richard M. Berman ); Copies mailed. (tp) Modified on 07/08/2002 (Entered: 07/03/2002)
07/02/2002	43	Memo-Endorsement on letter addressed to Magistrate Judge Ellis from Kam Wong, dated 6/27/02: Granting EEOC's request for extension of time until 7/9/02 to submit its reply to the [39-1] motion for an Order granting leave to amend EEOC's Complaint pursuant to Rule 15(a) of the FRCP . ( signed by Magistrate Judge Ronald L. Ellis ); Copies mailed. (tp) (Entered: 07/03/2002)
07/02/2002	44	ORDER, that for the reasons set forth herein, the parties are directed immediately to contact the Court Deputy Christine Murray (212-805-6715) in order to arrange the date and time for the conference . ( signed by Judge Richard M. Berman ); Copies mailed. (tp) (Entered: 07/03/2002)
07/03/2002	45	SEALED DOCUMENT placed in vault. (wv) (Entered: 07/09/2002)
07/08/2002	46	SEALED DOCUMENT placed in vault. (wv) (Entered: 07/09/2002)
07/08/2002	51	NOTICE OF MOTION (filed on service date) by Morgan Stanley & Co., Morgan Stanley Dean for an order admitting Kathryn F. Taylor to this bar for the purposes of this action . Return Date not indicated . Affidavit of Joseph Serino attached. (dle) (Entered: 07/12/2002)
07/09/2002	47	Memo-Endorsement on letter addressed to Judge Berman from Emily Nicklin, dated 7/5/02 Re: Morgan Stanley's request that the Court deny the EEOC's request for an extension of discovery and deferral of the 11/4/02 trial date: judging from the amount of time it's taking for plntfs to schedule the conference called for in the Courts 7/2/02 order, the issue may well be one of litigation efficiency. Exhibit 16, pp 268 + 367 approach won't work at trial ( signed by Judge Richard M. Berman ); Copies mailed. (cd) Modified on 07/12/2002 (Entered: 07/11/2002)

07/09/2002	49	REPLY by EEOC to Defendants' Memorandum in Opposition to [39-1] motion for an Order granting leave to amend EEOC's Complaint pursuant to Rule 15(a) of the FRCP. (sb) (Entered: 07/11/2002)
07/09/2002	57	Letter filed by EEOC addressed to Mag. Judge Ellis from Parisis G. Filippatos, dated 7/5/02, re: to correct the scurrilous misinformation that Defendants have put before the Court in their submission of June 28, 2002 ("Defendants" Letter"). (pl) (Entered: 07/17/2002)
07/10/2002	50	ORDER, in anticipation of the 7/19/02 conference, the Court respectfully requests that the principals be fully conversant with (i) the status of the case, (ii) trial procedures, and (iii) settlement. The Court is expecting that the following persons, among others, will be present at the conference: the Morgan Stanley President, the EEOC Regional Director for NY, and the EEOC Associate General Counsel from Washington, DC ( signed by Judge Richard M. Berman ); Copies mailed. (cd) (Entered: 07/11/2002)
07/10/2002	52	ORDER, reset fact discovery due for 9/13/02. Plaintiff's expert discovery is extended to 9/23/02 . ( signed by Judge Richard M. Berman ); Copies mailed. (kw) (Entered: 07/15/2002)
07/10/2002	53	UNOPPOSED NOTICE OF MOTION by Morgan Stanley & Co., Morgan Stanley Dean pursuant to Rule 1.3(c) of this Court's Local Rules, for an Order admitting pro hac vice Brian P. Kavanaugh, to this bar for the purposes of this action . Return Date not indicated. Affidavit of Joseph Serino, Jr. , Brian P. Kavanaugh in support of motion and Proposed Order attached. (yv) (Entered: 07/16/2002)
07/10/2002	54	NOTICE OF MOTION (FILED ON SERVICE DATE) by Morgan Stanley & Co., Morgan Stanley Dean for an order pursuant to Local Rule 1.3 for Frederick L. Block to appear pro hac vice . Affidavits of Joseph Serino and Frederick L. Block in support and proposed order attached. Return Date not indicated. (moc) (Entered: 07/16/2002)
07/16/2002	55	Transcript of record of proceedings before Judge Richard M. Berman for the date(s) of 5/01/02 at 3:45 pm. (gf) (Entered: 07/16/2002)
07/16/2002	56	SEALED DOCUMENT placed in vault. (wv) (Entered: 07/17/2002)
07/16/2002	58	NOTICE of attorney appearance for Morgan Stanley & Co., Morgan Stanley Dean Kirkland & Ellis. (kw) (Entered: 07/18/2002)
07/19/2002	59	NOTICE OF MOTION by EEOC for Raechel L. Adams to appear pro hac vice for EEOC ; Return Date not indicated (cd) (Entered: 07/22/2002)
07/24/2002	60	Memo-Endorsement on letter addressed to Judge Berman from Emily Nicklin, dated 7/19/02. Re: defendant requests a pre-motion conference to consider it objection, pursuant to F.R.C.P. 72, to the EEOC's interpretation of an oral ruling by Mag. Judge Ellis issued from the bench on 7/9/02; respectfully referred to Judge Ellis . ( signed by Judge Richard M. Berman ); Copies mailed. (pl) (Entered: 07/26/2002)
07/26/2002	61	ORDER granting [54-1] motion for an order pursuant to Local Rule 1.3 for Frederick L. Block to appear pro hac vice ( signed by Judge Richard M. Berman ); Copies mailed. (docmt forwarded to atty admissions clerk) (dj) (Entered: 07/26/2002)

		07/29/2002)
07/26/2002	62	ORDER granting [53-1] motion pursuant to Rule 1.3(c) of this Court's Local Rules, for an Order admitting pro hac vice Brian P. Kavanaugh, to this bar for the purposes of this action . ( signed by Judge Richard M. Berman ); Copies mailed. (docmt forwarded to atty admissions clk) (djc) (Entered: 07/29/2002)
07/26/2002	63	ORDER granting [51-1] motion for an order admitting Kathryn F. Taylor to this bar for the purposes of this action. ( signed by Judge Richard M. Berman ); Copies mailed. (docmt forwarded to atty admissions clk) (djc) (Entered: 07/29/2002)
07/26/2002	64	ORDER; granting [59-1] motion for Raechel L. Adams to appear pro hac vice for EEOC . ( signed by Judge Richard M. Berman ); Copies mailed. (docmt forwarded to atty admissions clk) (djc) (Entered: 07/29/2002)
08/01/2002	65	AFFIDAVIT OF SERVICE of Pro Hac Vice Order as to EEOC, Allison Schieffelin by Fax on 7/24/02. (sb) (Entered: 08/06/2002)
08/08/2002	66	Letter filed by Morgan Stanley & Co., Morgan Stanley Dean addressed to Honorable Richard M. Berman from Emily Nicklin, dated 8/8/02, re: request for a pre-motion conference to consider its objection, to an oral ruling by Magistrate Judge Ellis on 7/25/02, granting the EEOC's motion for leave to amend its complaint. Received in night deposit box on 8/8/02 at 6:13 p.m. (yv) (Entered: 08/12/2002)
08/08/2002	67	Letter filed by Morgan Stanley & Co., Morgan Stanley Dean addressed to Honorable Richard M. Berman from Emily Nicklin, dated 8/8/02, re: request for a pre-motion conference to consider its objections, to an oral ruling by Magistrate Judge Ellis, which denied Morgan Stanley's request for an order confirming that the class encompassed in the EEOC's suit cannot be broader than the class it investigated and conciliated. Received in night deposit box on 8/8/02 at 6:13 p.m. (yv) (Entered: 08/12/2002)
08/14/2002	68	Memo-Endorsement on letter addressed to Judge Berman from Emily Nicklin, dated 8/8/02. Re: defendant request that the Court overrule Mag. Judge Ellis' determination and confirm that the eligible clas encompassed in the EEOC's suit can be no broader than the extent of its investigation and conciliation --Morgan Stanley's Institutional Equity Division ("IED") in North America during a period commencing on 1/1/96; I must be having a (double) vision problem. I could swear I saw 2 letters, each dated 8/8/02 from Morgan, Stanley, & each complaining about the Magistrates 7/25/02 ruling. EEOC to submit 1 (2-3pp) response to counsel thereafter to set phone conference with Court Deputy . ( signed by Judge Richard M. Berman ); Copies mailed. (pl) (Entered: 08/15/2002)
08/22/2002	69	Memo-Endorsement on letter addressed to Judge Berman from Kam S. Wong & Michelle A. Caiola, dated 8/16/02. Re: plaintiff request that Your Honor affirm Mag. Jduge Ellis's rulings of 7/25/02 1) granting EEOC's motion for leave to amend its complaint & 2) denying Dft's motion to limit EEOC's complaint temporally and geographically; the Court is unable to rule fully since it cannot interpret which of two applications (of identical date) Morgan Stanley is making. Both applications are therefore denied without prejduice to a single (2-3 pp) submission by 8/22/02 (noon) . ( signed by Judge Richard M. Berman ); Copies mailed. (pl) (Entered: 08/27/2002)

08/27/2002	72	Memo-Endorsement on letter addressed to Judge Berman from Emily Nicklin, dated 8/23/02; Morgan Stanley & Co. submits this letter to the Court that EEOC's motion for leave to amend its complaint be denied. EEOC to advise the court whether it relies on prior letter response or to submit new (2-3pp) letter response by 8/29/02 . ( signed by Judge Richard M. Berman ); Copies mailed. (jco) (Entered: 09/09/2002)
08/30/2002	70	Transcript of record of proceedings before Magistrate Judge Ronald L. Ellis for the date(s) of July 9, 2002. (jw) (Entered: 08/30/2002)
09/04/2002	71	ORDER, that for the reasons set forth, it is unrealistic to pursu a November 2002 trial date. The current trial date is hereby vacated ; The discovery deadline is extended to 12/13/02 (fact and expert) ; A further status/scheduling conference will be held at 10:00 a.m. on 12/20/02 . ( signed by Judge Richard M. Berman ); Copies mailed. (tp) (Entered: 09/06/2002)
09/05/2002	73	AMENDED COMPLAINT by EEOC (Government Answer due on 9/16/02 for Morgan Stanley Dean, for Morgan Stanley & Co. ) amending [1-1] complaint ; Summons issued. Jury Trial Demanded. (dj) (Entered: 09/10/2002)
09/20/2002	74	MEMORANDUM OPINION & ORDER #87457, employees of Morgan Stanley, former or current, who have signed releases may communicate freely with the EEOC regarding gender discrimination claims, notwithstanding any non-assistance clauses in their release agreements. Employees of Morgan Stanley to whom the 2001 Code of Conduct applies may communicate freely with the EEOC about this action . ( signed by Magistrate Judge Ronald L. Ellis ); Copies mailed. (kw) (Entered: 09/24/2002)
09/24/2002	75	ANSWER by Morgan Stanley & Co., Morgan Stanley Dean to [73-1] amended complaint. (Attorney Helen E. Witt from the Firm: Kirkland & Ellis); jury demand. (gf) (Entered: 09/30/2002)
10/01/2002	76	Transcript of record of proceedings before Judge Richard M. Berman for the date(s) of June 27, 2002. (jw) (Entered: 10/01/2002)
10/04/2002	77	Transcript of record of proceedings before Magistrate Judge Ronald L. Ellis for the date(s) of August 6, 2002. (jw) (Entered: 10/04/2002)
10/09/2002	78	Transcript of record of proceedings before Magistrate Judge Ronald L. Ellis for the date(s) of 7/25/02. (df) (Entered: 10/09/2002)
10/09/2002	80	Transcript of record of proceedings before Magistrate Judge Ronald L. Ellis for the date(s) of 7/9/02. (df) (Entered: 10/09/2002)
10/09/2002	79	Transcript of record of proceedings before Magistrate Judge Ronald L. Ellis for the date(s) of 8/8/02. (df) (Entered: 10/09/2002)
10/16/2002	89	Letter filed addressed to Judge Ellis from Michelle A. Caiola, dated 10/15/02, re: writes to request a conference or, in the alternative, permission to bring a motion to strike portions of Defendants' Answer to Plaintiff Equal Employment Opportunity Commission's Amended Complaint. (jco) (Entered: 11/14/2002)
10/21/2002	88	Letter filed by Morgan Stanley & Co., Morgan Stanley Dean addressed to Judge Ellis from Helen E. Witt, dated 10/18/02 re: opposition to the EEOC's request for leave to bring a motion to strike portions of Morgan Stanley's recently filed

		Answer to the EEOC's Amended Complaint.. (jco) (Entered: 11/14/2002)
10/23/2002	80	NOTICE OF MOTION by Morgan Stanley & Co., Morgan Stanley Dean for leave to amend their answer to Plaintiff-Intervenor's Complaint (vb) (Entered: 10/25/2002)
10/23/2002	81	MEMORANDUM OF LAW by Morgan Stanley & Co., Morgan Stanley Dean in support of [80-1] motion for leave to amend their answer to Plaintiff-Intervenor's Complaint . (vb) (Entered: 10/25/2002)
10/23/2002	82	DECLARATION of KATHRYN F.TAYLOR by Morgan Stanley & Co., Morgan Stanley Dean Re:in support of their [80-1] motion for leave to amend their answer to Plaintiff-Intervenor's Complaint . (vb) (Entered: 10/25/2002)
10/23/2002	83	Memo-Endorsement on letter addressed to Judge Berman from Parisis G. Filippatos, dated 10/17/02; counsel writes to request an adjournment of the 12/20/02 status conference. Adjourned to 1/21/03 at 10:30 a.m . ( signed by Judge Richard M. Berman ) (kkc) (Entered: 10/28/2002)
11/01/2002	84	Transcript of record of proceedings before Judge Richard M. Berman for the date(s) of 9/3/02. (pl) (Entered: 11/01/2002)
11/06/2002	85	MEMORANDUM OF LAW by Allison Schieffelin in opposition to [80-1] motion for leave to amend their answer to Plaintiff-Intervenor's Complaint. (rec'd in the night deposit box on 11/6/02 at 7:39 p.m.) (sac) (Entered: 11/12/2002)
11/08/2002	86	OPINION and ORDER #87688; EEOC's req. to strike portions of defendants' answer to the amended complaint is granted ; any request by Morgan Stanley to amend its answer nunc tunc is denied ; ( signed by Magistrate Judge Ronald L. Ellis); (dj) Modified on 11/13/2002 (Entered: 11/13/2002)
11/13/2002	87	Transcript of record of proceedings before Magistrate Judge Ronald L. Ellis for the date(s) of 9/6/02. (dt) (Entered: 11/13/2002)
11/13/2002	90	SEALED DOCUMENT placed in vault. (js) (Entered: 11/15/2002)
11/25/2002	91	SEALED DOCUMENT placed in vault. (js) (Entered: 11/26/2002)
11/25/2002	92	SEALED DOCUMENT placed in vault. (js) (Entered: 11/26/2002)
11/25/2002	94	NOTICE OF MOTION (filed on service date) by Morgan Stanley & Co., Morgan Stanley Dean for an order pursuant to FRCP 56 granting dfts partial summary judgment . Return Date not indicated. (dle) (Entered: 12/03/2002)
11/25/2002	95	MEMORANDUM OF LAW by Morgan Stanley & Co., Morgan Stanley Dean in support of [94-1] motion for an order pursuant to FRCP 56 granting dfts partial summary judgment . (dle) (Entered: 12/03/2002)
11/27/2002	93	Memo-Endorsement on letter addressed to Judge Berman from Emily Nicklin, dated 11/25/02. Morgan Stanley requests a conference to consider its objections, purs. to FRCP 72, to a ruling by Chief Magistrate Judge Ellis dated 11/8/02. Morgan Stanley requests that this Court reverse Magistrate Judge Ellis' Order and reinstate the stricken defenses. Plaintiffs, if they are planning to respond are requested to do so by 12/12/02. ( signed by Judge Richard M. Berman ) (sb) (Entered: 12/02/2002)

12/02/2002	96	Memo-Endorsement on letter addressed to Judge Berman from Helen E. Witt, dated 11/26/02. The parties request Judge Berman's approval of certain modifications to the current discovery schedule to which they have agreed; as further set forth on this endorsed letter. Extensions granted. Counsel to set schedule/settlement (real) conference with principals for some time in January 2003, i.e. contact Court Deputy. ( signed by Judge Richard M. Berman ) (sb) (Entered: 12/03/2002)
12/02/2002	97	Memo endorsed on motion; denying [94-1] motion for an order pursuant to FRCP 56 granting dfts partial summary judgment. I don't recall a briefing schedule being set or requested re: summary judgment. Instant motion is denied without prejudice. ( signed by Judge Richard M. Berman ); (jco) (Entered: 12/06/2002)
12/03/2002	99	NOTICE of ADDRESS CHANGE by EEOC. (ae) (Entered: 12/11/2002)
12/06/2002	98	Memo-Endorsement on letter addressed to Judge Berman from Emily Nicklin, dated 12/05/02. Re:, It's not clear to me that we're at the summary judgment stage but let's hear from Pls in a short (2-3pp) letter and counsel thereafter to set conference if they wish ; ( signed by Judge Richard M. Berman ); (dj) (Entered: 12/11/2002)
12/11/2002	100	MEMORANDUM OPINION AND ORDER #87804, denying [80-1] motion for leave to amend their answer to Plaintiff-Intervenor's Complaint. ( signed by Magistrate Judge Ronald L. Ellis ); (kw) (Entered: 12/12/2002)
12/11/2002	101	Memo-Endorsement on letter addressed to Judge Berman from Michelle A. Caiola, dated 12/9/02; EEOC writes to request until 12/18/02 to submit its response to defendants' 12/5/02 letter. Application granted . ( signed by Judge Richard M. Berman ); (jco) (Entered: 12/13/2002)
12/16/2002	102	ORDER, Mag. Ellis' 11/8/02 Order is affirmed in all material respects ; the Court will allow defts to amend their answer to include the defenses of accord and satisfaction, failure to mitigate and voluntary resignation, without prejudice to plntf's legal responses to these issues ; the Court will extend the discovery deadline and allow deft to serve and file their amended answer to include the defenses of accord and satisfaction, failure to mitigate, and voluntary resignation, without prejudice to plntf's legal responses to these defenses ; the parties are directed forthwith to contact the Court Deputy at (212) 805-6715 to schedule a status/scheduling conference ( signed by Judge Richard M. Berman ); (cd) (Entered: 12/19/2002)
12/31/2002	103	Transcript of record of proceedings before Magistrate Judge Ronald L. Ellis for the date(s) of 11/1/02. (dt) (Entered: 01/02/2003)
01/10/2003	104	ORDER, that for the reasons set forth herein, these attorneys may not correspond by letter to the Court without prior permission . ( signed by Magistrate Judge Ronald L. Ellis ). (tp) (Entered: 01/14/2003)
02/27/2003	105	Memorandum to Docket Clerk: that a settlement conference was held on 2/27/03 before Judge Berman; reset settlement conference for 3/13/03 . (pl) (Entered: 03/03/2003)
02/27/2003		Settlement Conference held on 2/27/03 before Judge Richard M. Berman (pl) (Entered: 03/03/2003)

02/27/2003		Settlement Conference set on 3/13/03 before Judge Richard M. Berman . (pl) (Entered: 03/03/2003)
03/13/2003	106	Memorandum to Docket Clerk: that a settlement conference was held on 3/13/03 before Judge Berman . (pl) (Entered: 03/18/2003)
03/13/2003		Settlement Conference held on 3/13/03 before Judge Richard M. Berman (pl) (Entered: 03/18/2003)
03/13/2003		Settlement Conference set on 10:00 3/31/03 before Judge Richard M. Berman . (pl) (Entered: 03/18/2003)
03/31/2003		Terminated document 39-1 pursuant to instructions of Judge Berman as indicated on the CJRA report. (rag) (Entered: 03/31/2003)
04/15/2003	107	Memorandum to Docket Clerk: (jw) (Entered: 04/21/2003)
04/15/2003		Settlement Conference held before Judge Richard M. Berman . (jw) (Entered: 04/21/2003)
05/05/2003	108	Memo-Endorsement on letter addressed to Judge Berman from Elizabeth Grossman, dated 5/2/03. Re: EEOC requests a pre-motion conference or leave to file a motion for sanctions. No response is needed at this time. Please contact Christine Murray to schedule a conference . ( signed by Judge Richard M. Berman ); (kw) (Entered: 05/07/2003)
05/29/2003	109	Memorandum to Docket Clerk: Status conference held. (ja) (Entered: 06/09/2003)
05/29/2003		Status Conference held before Judge Richard M. Berman. (ja) (Entered: 06/09/2003)
12/12/2003	110	ENDORSED LETTER addressed to Judge Richard M. Berman from Eric S. Dreiband, General Counsel dated 12/11/03 re: EEOC requests that Elizabeth Grossman and Michelle Caiola from EEOC, as well as Mr. Schecktmann, be included in the settlement conference; EEOC request is respectfully denied. Frankly, the Court is stunned that the senior most officials from the EEOC and Morgan Stanley and Mr. Schieffelin have not already resolved all issues. They are directed to attempt to do so even before the 12/16/03 conference. (Signed by Judge Richard M. Berman on 12/12/03) (ae, ) (Entered: 01/05/2004)
12/19/2003	111	ENDORSED LETTER addressed to Judge Berman from Michdlle A. Caiola dated 12/18/03 re: counsel requests a conference reestablishing a litigation schedule, as settlement talks have concluded in the referenced case. The first order of business is to get Mr. Schectman paid. Once that's done, counsel should contact Christine Murraray re: date of conference. (Mrs. Schechtman is hereby requested to submit a bill to the parties with a copy to the Court (ASAP). To the extent there is any court imposed stay, it is Vacated. Fact and expert discovery to be concluded by 2/18/04 (and no later) and no applications will be entertained without pre-motion letter(s) and conference. (Signed by Judge Richard M. Berman on 12/19/03) (djc, ) (Entered: 01/07/2004)
01/23/2004	112	ENDORSED LETTER addressed to Judge Berman from Michelle Caiola dated 1/20/04, re impending close of discovery: See prior order, including order dated 12/19/03. As trial approaches, counsel should focus on utmost professionalism

		and compliance with rulings rather than continued bickering and requests for even further extensions and delays, etc. Mr. Schechtman's bill is a function of counsels' unusual delays in decision-making (Signed by Judge Richard M. Berman on 1/22/04) (cd, ) (Entered: 02/02/2004)
02/05/2004	113	ENDORSED LETTER addressed to Judge Richard M. Berman from Michael Ranis dated 2/4/04 re: counsel for EEOC requests a conference with the court to discuss an additional three weeks for completion of discovery. Further delay is unwarranted. The court's orders of 12/19/03 and 1/22/04 remain as they along with previous extensions in this case, are more than sufficient to allow for full and complete discovery. Mr. Shortman's bill is a separate issue and as stated in the 12/19/03 order, the parties should schedule a conference with Christian Murray once its been paid, clearly the parties responsibility. (Signed by Judge Richard M. Berman on 2/5/04) (dle, ) (Entered: 02/10/2004)
02/06/2004	114	ORDER; that Judge Ellis's Rulings are affirmed. The partes are directed to confer with Ms.Murray and schedule a conference once they have met their responsibilities and paid Mr. Shechtman's outstanding invoice. (Signed by Judge Richard M. Berman on 2/5/04) (pl, ) (Entered: 02/13/2004)
02/25/2004	115	ENDORSED LETTER addressed to Judge Richard M. Berman from Elizabeth Grossman dated 2/17/04 re: EEOC requests that the court overturn Magistrate Judge Ellis' 2/13 ruling and allow the Commission to amend the claimant list so that all the women covered by its lawsuit are eligible to testify at Phase 1 of the upcoming trial. Def. to submit brief response, if any, by 2/26/04 & please reference how this application relates to court's prior orders & Mag. rulings & status of payment of Mr. Shortman's fees, etc.. (Signed by Judge Richard M. Berman on 2/23/04) (dle, ) (Entered: 02/25/2004)
02/26/2004	116	ORDER; dft/s 12/13/02 list of decision makers who will testify against plaintiff's shall preclude defendants from calling different witnesses during Phase 1 of the trial of this case, unless the Court finds that the witness is unable to attend or testify, or other exceptional circumstances exist warranting relief. (Signed by Judge Ronald L. Ellis on 2/24/04) (pl, ) (Entered: 02/27/2004)
03/05/2004	117	ORDER Judge Ellis's Order is affirmed. (Signed by Judge Richard M. Berman on 03/04/04) (jco, ) (Entered: 03/10/2004)
03/08/2004	119	MEMORANDUM OF LAW in Support, Defendants Morgan Stanley & Co. Document filed by Morgan Stanley & Co., Inc., Morgan Stanley Dean Witter. (jmi, ) (Entered: 03/11/2004)
03/08/2004	120	DEFENDANTS' MEMORANDUM OF LAW in Support Of Motion For Reconsideration Or Clarification. Document filed by Morgan Stanley & Co., Inc., Morgan Stanley Dean Witter. (jmi, ) (Entered: 03/11/2004)
03/10/2004	121	PLAINTIFF EEOC'S RESPONSE AND MEMORANDUM IN OPPOSITION TO DEFENDANTS' MOTION FOR RECONSIDERATION OR CLARIFICATION. Document filed by Equal Employment Opportunity Commission. (jco, ) (Entered: 03/16/2004)
03/12/2004	122	MEMORANDUM TO THE DOCKET CLERK: that Joint jury instructions/joint pretrial order and motion in limine by 4/23/04; response by 5/14/04 and reply due by 5/21/04; trial date by 7/12/04 @9:00 a.m.; Daubert Motion to be filed by dft.



		3/26; response by 4/8 and reply by 4/15; Bifurcation letter by plaintiff due by 3/26/04; response by 4/8 and reply by 4/15. (pl, ) (Entered: 03/18/2004)
03/12/2004		Set/Reset Scheduling Order Deadlines: Motions in limine due by 4/23/2004. Responses due by 5/14/2004 Replies due by 5/21/2004. Pretrial Order due by 4/23/2004. (pl, ) (Entered: 03/18/2004)
03/19/2004	123	ENDORSED LETTER addressed to Donald G. Kempf from Eric S. Dreiband: dated 3/12/2004 cc: Judge Berman: The Court states that I request that the parties not speculate about what was known or not known by the Court. For a period of several months, I had no idea what the parties and Mr. Schechtman were doing on agreement. (Signed by Judge Richard M. Berman on 3/18/2004) (jsa, ) (Entered: 03/23/2004)
03/19/2004	124	ORDER Defendants Morgan Stanley and Co, Inc and Morgan Stanley Dean Witter and Co. have submitted a motion for reconsideration of the Court's 2/24/2004 Order.The motion for reconsideration is denied. (Signed by Judge Ronald L. Ellis on 3/17/2004) (jsa, ) (Entered: 03/23/2004)
03/26/2004	125	NOTICE of Morgan Stanley's Motion To Exclude Testimony Of EEOC'Expert Farrell Bloch. Document filed by Morgan Stanley & Co., Inc., Morgan Stanley Dean Witter. (jmi, ) (Entered: 03/29/2004)
03/26/2004	126	NOTICE of Morgan Stanley's Motion To Exclude Testimony Of Plaintiffs' Expert William Bielby. Document filed by Morgan Stanley & Co., Inc., Morgan Stanley Dean Witter. (jmi, ) (Entered: 03/29/2004)
03/26/2004	127	NOTICE of Morgan Stanley's Motion To Exclude Testimony Of Sheldon Wishnick. Document filed by Morgan Stanley & Co., Inc., Morgan Stanley Dean Witter. (jmi, ) (Entered: 03/29/2004)
03/26/2004	128	ORDER, that defts submit their opposition to plntfs' Daubert motions, if any, by 4/22/04; plntfs to submit thier reply briefs in support of their motions, if any, by 4/29/04; the parties shall reserve 5/17/04, for a hearing in this matter, if the Court determines that a hearing is necessary. (Signed by Judge Ronald L. Ellis on 3/24/04) (cd, ) (Entered: 03/29/2004)
04/09/2004	144	ENDORSED LETTER addressed to Judge Richard M. Berman from Michelle A. Caiola dated 4/9/04 re: It is a matter for the Magistrate except for this: at some point SOON counsel (for both sides) will need to be ready, willing and able to show they are adept at trying the case on the merits in court. We all know you're Masters at pre-trial wranglings & requests for more time, but its doubtful those skills will impress a jury. (Signed by Judge Richard M. Berman on 4/8/04) (db, ) (Entered: 04/13/2004)
04/12/2004	145	ENDORSED LETTER addressed to Judge Richard M. Berman from Emily Nicklin dated 4/8/04 re: counsel for Morgan Stanley requests permission to submit one 60 page brief and a separate brief of up to 25 pages. Respectfully, NO -- 25 pp is more than enough. Less is always more in these situations. That applies to both sides & all parties: plttfs should submit 1 joint (25pp) response (normal margins & 12' font). (Signed by Judge Richard M. Berman on 4/12/04) (dle, ) (Entered: 04/13/2004)

04/13/2004	146	Notice of Objections of plaintiff-intervenor Allison Schieffelin to Judge Ellis's ruling allowing defendants' to assert an after-acquired evidence defense. Document filed by Allison Schieffelin. (jco, ) (Entered: 04/15/2004)
04/13/2004	147	MEMORANDUM OF LAW in Support of the objections of plaintiff-intervenor Allison Schieffelin Document filed by Allison Schieffelin. (jco, ) (Entered: 04/15/2004)
04/15/2004	150	ENDORSED LETTER addressed to Judge Berman from Emily Nicklin dated 04/13/04 re: Morgan Stanley requests that the court at a minimum, compel EEOC to identify which claimants and other witnesses will be used to support the allegation of each pattern and practice claim and to identify any non-record evidence or testimony that it intends to rely on to support its claims; no conference needed to consider to rule on these objections. (Signed by Judge Richard M. Berman on 4/15/04) (djc, ) (Entered: 04/19/2004)
04/23/2004	160	NOTICE OF MOTION (FILED ON SERVICE DATE) for Partial Summary Judgment with attached rule 56.1 statement in support. (nite dep. box). Document filed by Allison Schieffelin. (pa, ) (Entered: 04/26/2004)
04/23/2004	161	DECLARATION of Wayne N. Outten in Support re: [160] MOTION (FILED ON SERVICE DATE) for Partial Summary Judgment.(nite dep. box). Document filed by Allison Schieffelin. (pa, ) (Entered: 04/26/2004)
04/23/2004	162	MEMORANDUM OF LAW in Support re: [160] MOTION (FILED ON SERVICE DATE) for Partial Summary Judgment. (nite dep. box). Document filed by Allison Schieffelin. (pa, ) (Entered: 04/26/2004)
04/23/2004	166	MOTION (FILED ON SERVICE DATE) for an Order pursuant to FRCP 56 granting defendant Summary Judgment against EEOC and partial summary judgment against plaintiff-intervenor. Document filed by Morgan Stanley & Co., Inc., Morgan Stanley Dean Witter. Received in night deposit box on 4/23/04 at 6:48 p.m. (yv, ) (Entered: 04/27/2004)
04/30/2004	173	NOTICE OF MOTION (FILED ON SERVICE DATE) in Limine to exclude all evidence about EEOC'S administrative investigation.(nite dep. box) Document filed by Equal Employment Opportunity Commission. (pa, ) (Entered: 05/03/2004)
04/30/2004	174	DECLARATION of Elizabeth Grossman in Support re: [173] MOTION (FILED ON SERVICE DATE) in Limine.(nite dep. box). Document filed by Equal Employment Opportunity Commission. (pa, ) (Entered: 05/03/2004)
04/30/2004	175	MOTION (FILED ON SERVICE DATE) for Wendy L. Bloom to Appear Pro Hac Vice. Document filed by Morgan Stanley & Co., Inc., Morgan Stanley Dean Witter. (sac, ) (Entered: 05/03/2004)
04/30/2004	176	MEMORANDUM OF LAW in Support re: [173] MOTION (FILED ON SERVICE DATE) in Limine. (nite dep. box). Document filed by Equal Employment Opportunity Commission. (pa, ) (Entered: 05/03/2004)
04/30/2004	177	NOTICE OF MOTION (FILED ON SERVICE DATE) in Limine to exclude introduction into evidence of documents entitled Working Women:100 Best Companies for working mothers. (nite dep. box). Document filed by Equal Employment Opportunity Commission. (pa, ) (Entered: 05/03/2004)

04/30/2004	178	DECLARATION of Elizabeth Grossman in Support re: [177] MOTION (FILED ON SERVICE DATE) in Limine.(nite dep. box). Document filed by Equal Employment Opportunity Commission. (pa, ) (Entered: 05/03/2004)
04/30/2004	179	MEMORANDUM OF LAW in Support re: [177] MOTION (FILED ON SERVICE DATE) in Limine.(nite dep. box). Document filed by Equal Employment Opportunity Commission. (pa, ) (Entered: 05/03/2004)
04/30/2004	180	NOTICE OF MOTION (FILED ON SERVICE DATE) in Limine to exclude certain testimony about EEOC claimants. (nite dep. box). Document filed by Equal Employment Opportunity Commission. (pa, ) (Entered: 05/03/2004)
04/30/2004	181	DECLARATION of Kam S. Wong in Support re: [180] MOTION (FILED ON SERVICE DATE) in Limine.(nite dep. box). Document filed by Equal Employment Opportunity Commission. (pa, ) (Entered: 05/03/2004)
04/30/2004	182	MEMORANDUM OF LAW in Support re: [180] MOTION (FILED ON SERVICE DATE) in Limine. (nite dep. box). Document filed by Equal Employment Opportunity Commission. (pa, ) (Entered: 05/03/2004)
04/30/2004	183	NOTICE OF MOTION (FILED ON SERVICE DATE) in Limine to exclude evidence related to individual claimants damages. (nite dep. box). Document filed by Equal Employment Opportunity Commission. (pa, ) (Entered: 05/03/2004)
04/30/2004	184	MEMORANDUM OF LAW in Support re: [183] MOTION (FILED ON SERVICE DATE) in Limine. (nite dep. box). Document filed by Equal Employment Opportunity Commission. (pa, ) (Entered: 05/03/2004)
04/30/2004	185	Joint Proposed Jury Instructions. Document filed by Equal Employment Opportunity Commission, Morgan Stanley & Co., Inc., Morgan Stanley Dean Witter, Allison Schieffelin.(dle, ) (Entered: 05/04/2004)
04/30/2004	186	Joint Pre-Trial Order. Document filed by Equal Employment Opportunity Commission, Morgan Stanley & Co., Inc., Morgan Stanley Dean Witter, Allison Schieffelin. (dle, ) (Entered: 05/04/2004)
05/04/2004	187	ENDORSED LETTER addressed to Judge Berman from Katherine Bissell dated 4/30/04: It is not the court's intention to use a jury questionnaire. Counsel should be focusing their attention on stipulations, paring exhibits, as further set forth in this document. (Signed by Judge Richard M. Berman on 5/3/04) (cd, ) (Entered: 05/05/2004)
05/05/2004	188	ENDORSED LETTER addressed to Judge Richard M. Berman from Michelle A. Caiola, Piper Hoffman and Emily Nicklin dated 4/30/04 re: Proposed joint instructions are rejected as not in compliance with Court's directions and not reflecting a good faith effort to develop joint instructions in conformity with case law and Court's precedent. Revised joint instructions due 5/13/04. (Signed by Judge Richard M. Berman on 5/4/04) (sac, ) (Entered: 05/06/2004)
05/05/2004	189	MEMO ENDORSEMENT ON proposed joint pretrial order. Joint pretrial order is rejected as each side overstates the # of witnesses & docs required for an effective and efficient presentation to a jury. The parties make poor use of stipulations & as in the case of the numerous submissions pretrial, are litigating by tonnage rather than probative value. See FRCP Rules 1 & 11. A revised joint

		PTO is due by 5/11/04. (Signed by Judge Richard M. Berman on 5/4/04) (dle, ) (Entered: 05/06/2004)
05/05/2004	190	ORDER; granting [175] Motion for Wendy L. Bloom to Appear Pro Hac Vice . Subject to payment of fee to Clerk. (Signed by Judge Richard M. Berman on 5/4/04) (pl, ) (Entered: 05/06/2004)
05/05/2004		Transmission to Attorney Admissions Clerk. Transmitted re: [190] Order on Motion to Appear Pro Hac Vice, to the Attorney Admissions Clerk for updating of Attorney Information. (pl, ) (Entered: 05/06/2004)
05/05/2004	193	NOTICE of Appearance by Wendy L. Bloom on behalf of Morgan Stanley & Co., Inc., Morgan Stanley Dean Witter (kw, ) (Entered: 05/06/2004)
05/05/2004		CASHIERS OFFICE REMARK on copy of [190] Order on Motion to Appear Pro Hac Vice in the amount of \$25.00, paid on 5/5/04, Receipt Number 507437. (kw, ) (Entered: 05/07/2004)
05/10/2004	194	ORDER: for reasons further set forth in said order, Magistrate Judge Ronald L. Ellis' 3/30/04 Order is AFFIRMED. (Signed by Judge Richard M. Berman on 5/7/04) (db, ) (Entered: 05/11/2004)
05/13/2004	202	Revised Joint proposed jury instructions.(kw, ) (Entered: 05/17/2004)
05/14/2004	196	ENDORSED LETTER addressed to Judge Richard M. Berman from Elizabeth Grossman for EEOC and Wayne N. Outten for Plaintiff-Intervenor dated 5/11/04 re: Defense to respond by 5/15/04 at noon. Counsel thereafter to set conference with Deputy. The Court will, as usual in this case, be available to hear the parties' positions. But the record established so far reflects vast inefficiency and over litigating by both sides both before the Court and the Magistrate and it would be inappropriate to inflict such an approach upon a jury. The Court will reconsider reasonable recommendations from the parties but its ruling re: the jury instructions (which are potently unworkable) and the PTO (which suggests lack of trial understanding and felixibility) remains. they are rejected and revised versions are due as ordered. Agains, see FRCP 1 & 11 re: any failure(s) to comply. This letter from EEOC will not substitute for compliance, and respectfully, misconsture the public interest. (Signed by Judge Richard M. Berman on 5/12/04) (sac, ) (Entered: 05/17/2004)
05/14/2004	197	RESPONSE in Opposition re: [160] MOTION (FILED ON SERVICE DATE) for Partial Summary Judgment.. Document filed by Morgan Stanley & Co., Inc., Morgan Stanley Dean Witter. (jmi, ) (Entered: 05/17/2004)
05/14/2004	198	RESPONSE in Opposition re: [160] MOTION (FILED ON SERVICE DATE) for Partial Summary Judgment.. Document filed by Morgan Stanley & Co., Inc., Morgan Stanley Dean Witter. (jmi, ) (Entered: 05/17/2004)
05/14/2004	199	DECLARATION of Brian P. Kavanaugh in Opposition re: [160] MOTION (FILED ON SERVICE DATE) for Partial Summary Judgment.. Document filed by Morgan Stanley & Co., Inc., Morgan Stanley Dean Witter. (jmi, ) (Entered: 05/17/2004)
05/14/2004	205	MEMORANDUM OF LAW in Opposition re: [166] MOTION (FILED ON SERVICE DATE) for Summary Judgment. Document filed by Equal Employment Opportunity Commission, Allison Schieffelin. (jco, ) (Entered: 05/17/2004)

		05/18/2004)
05/21/2004	207	DECLARATION of Kam S. Wong in Support re: [180] MOTION (FILED ON SERVICE DATE) in Limine., [183] MOTION (FILED ON SERVICE DATE) in Limine., [177] MOTION (FILED ON SERVICE DATE) in Limine.. (nite dep. box) Document filed by Equal Employment Opportunity Commission. (pa, ) (Entered: 05/24/2004)
05/21/2004	208	AFFIDAVIT of Wayne N. Outten in Support of plttf-intervenor reply memo of law in support of her motion in limine to preclude defts from offering certain evidence and assertions at trial. (nite dep. box). Document filed by Allison Schieffelin. (pa, ) (Entered: 05/24/2004)
05/21/2004	209	REPLY MEMORANDUM OF LAW in Support re: [160] MOTION (FILED ON SERVICE DATE) for Partial Summary Judgment. (nite dep. box) Document filed by Allison Schieffelin. (pa, ) (Entered: 05/24/2004)
05/21/2004	210	REPLY MEMORANDUM OF LAW in Support re: [180] MOTION (FILED ON SERVICE DATE) in Limine., [183] MOTION (FILED ON SERVICE DATE) in Limine., [177] MOTION (FILED ON SERVICE DATE) in Limine. (nite dep. box). Document filed by Equal Employment Opportunity Commission, Allison Schieffelin. (pa, ) (Entered: 05/24/2004)
05/27/2004	213	ENDORSED LETTER addressed to Judge Berman from Michelle A. Caiola dated 05/26/04 re: Counsel requests immeidate intervention in Morgan Stanley's attempt to violate Magistrate Judge Ellis's Opinion and Order of September 20, 2002 by improperly restricting the trial testimony of at least one claimant. This issue is referred to Magistrate Judge Ellis for evaluation since it involves the interpretation of a prior order of his. (Signed by Judge Richard M. Berman on 5/26/04) (djc, ) (Entered: 05/27/2004)
05/27/2004	214	ORDER, plntf EEOC letter application of 5/4/04 for the court to lift the confidentiality provisions is denied. (Signed by Judge Richard M. Berman on 5/26/04) (cd, ) (Entered: 05/27/2004)
06/01/2004	215	TRANSCRIPT of proceedings held on May 19, 2004, 3:30 p.m. before Judge Richard M. Berman.(dt, ) (Entered: 06/01/2004)
06/01/2004	216	TRANSCRIPT of proceedings held on May 19, 2004, 3:30 p.m. before Judge Richard M. Berman.(dt, ) (Entered: 06/01/2004)
06/04/2004	217	ORDER; that upon the record and prior proceddings presented herein, including letters file by the parties, the Court makes the determination that is set forth in this Order. (Signed by Judge Richard M. Berman on 6/3/04) (pl, ) (Entered: 06/07/2004)
06/10/2004	218	ENDORSED LETTER addressed to Judge Richard M. Berman from Emily Nicklin dated 6/9/04 re: counsel for Morgan Stanley requests the court's approval of parties' two written plans pursuant to 6/3/04 order. The parties will need a specific written plan in accordance with the court's directive dated 6/3/04 in order to avoid making fools of themselves & facing sanctions. Se FRCP. The court will not inflict the parties' chronic inflexibility & antagonisms & particularly their intransigence (for more than the allotted). (Signed by Judge Richard M. Berman on 6/9/04) (dle, ) (Entered: 06/10/2004)

06/10/2004	219	ENDORSED LETTER addressed to Judge Berman from Elizabeth Grossman dated 6/9/04: application for reconsideration is respectfully denied as it presents no new law or facts not already considered. See Virgin Atlantic, 956F.2d 1245 (2dCir.1992). Indeed, the application and the unnecessarily time consuming and wasteful mountain of papers, applications, conferences and motions in the record)reflect an unusual absence of litigation technique and flexibility. Savvy counsel would recognize there is more than adequate time to present their cases and the allotted times are firm. Plan accordingly. (Signed by Judge Richard M. Berman on 6/9/04) (cd, ) (Entered: 06/14/2004)
06/14/2004	220	TRANSCRIPT of proceedings held on 6/2/04 before Judge Richard M. Berman.(pa, ) (Entered: 06/14/2004)
06/15/2004	221	TRANSCRIPT of proceedings held on 6/2/04 before Judge Richard M. Berman.(pa, ) (Entered: 06/15/2004)
06/17/2004	222	ENDORSED LETTER addressed to Judge Berman from Elizabeth Grossman dated 6/15/04 re: granting the parties joint requests to have until noon on Friday to work on a proposed stipulation. (Signed by Judge Richard M. Berman on 6/16/04) (pl, ) (Entered: 06/18/2004)
06/23/2004	223	TRANSCRIPT of proceedings held on 6/10/04 before Judge Richard M. Berman.(dfe, ) (Entered: 06/23/2004)
06/23/2004	224	TRANSCRIPT of proceedings held on 6/14/04 before Judge Richard M. Berman.(dfe, ) (Entered: 06/23/2004)
06/24/2004	225	TRANSCRIPT of proceedings held on 5/19/04 before Judge Richard M. Berman.(dfe, ) (Entered: 06/24/2004)
06/28/2004	226	ENDORSED LETTER addressed to Judge Berman from Emily Nicklin dated 6/25/04: parties may use laptops in court room; but not cell phones, silent e mail, instant or text messaging, courtroom connect (or any other internet access) or cameras of any sort. Any in court bickering is part of (deducted from) allotted times. (Signed by Judge Richard M. Berman on 6/28/04) (cd, ) (Entered: 06/29/2004)
06/28/2004	227	ORDER that the court has reconsidered its ruling with respect to Morgan Stanley's motion dated 5/5/04 to strike Dr. Farrell Bloch's statistical analyses as untimely and hereby denied said; provided however and on condition that EEOC produces Dr. Bloch for a brief deposition by 7/2/04 limited in substance to the contents of his April and May 2004 submissions. (Signed by Judge Richard M. Berman on 6/24/04) (dle, ) (Entered: 07/01/2004)
07/01/2004	228	ORDER, the Court orders, with respect to Morgan Stanley's motion in limine #15 to bar reference to undisclosed comparators, that the parties exchange their respective lists of planned comparators for each claimant identified to testify at trial by 7/1/04 at 2:00 pm. Evidence related to any comparator not disclosed will not be adduced at trial. No further applications will be accepted in this case without a prior conference with the court. (Signed by Judge Richard M. Berman on 6/30/04) (cd, ) (Entered: 07/06/2004)
07/08/2004	229	OPINION AND ORDER # 90313. For the reasons disussed in the opinion, the motions to exclude Dr. Farrell Bloch, Dr. Barbara Gutek, Dr. William Wecker,

		Dr. Louis L. Wilde and Sheldon Wishnick are denied; the motions to exclude Dr. William Bielby, Dr. June O'Neill and Dr. Christopher Winship are denied in part and granted in part; and the motions to exclude Roger Blanc and Dr. Ira T. Kay are granted. (Signed by Judge Ronald L. Ellis on 7/2/2004) (jsa, ) (Entered: 07/09/2004)
07/08/2004	231	DECISION AND ORDER the Magistrate's Order is affirmed in part and denied in part. (Signed by Judge Richard M. Berman on 7/8/04) (jco, ) (Entered: 07/13/2004)
07/12/2004	230	TRANSCRIPT of proceedings held on 7/1/04 before Judge Richard M. Berman.(dg, ) (Entered: 07/12/2004)
07/13/2004	236	CONSENT JUDGMENT settling the action. (Signed by Judge Richard M. Berman on 7/12/04) (ml, ) (Entered: 07/15/2004)
07/13/2004	242	LETTER addressed to Judge Richard M. Berman from Elizabeth Grossman dated 3/26/04 re: EEOC's proposal pursuant to Court Order dated 3/12/04. Document filed by Equal Employment Opportunity Commission.(db, ) (Entered: 07/19/2004)
07/13/2004	261	LETTER addressed to Judge Richard M. Berman from Michelle A. Caiola dated 7/8/04 re: enclosed EEOC's opposition papers regarding Morgan Stanley's bench memoranda. Document filed by Equal Employment Opportunity Commission.(sac, ) (Entered: 07/23/2004)
07/14/2004	232	TRANSCRIPT of proceedings held on 7/8/04 before Judge Richard M. Berman.(pa, ) (Entered: 07/14/2004)
07/15/2004	233	TRANSCRIPT of proceedings held on 7/6/04 before Judge Richard M. Berman.(dfe, ) (Entered: 07/15/2004)
07/15/2004	234	TRANSCRIPT of proceedings held on 7/7/04 before Judge Richard M. Berman.(dfe, ) (Entered: 07/15/2004)
07/15/2004	235	TRANSCRIPT of proceedings held on 7/9/04 before Judge Richard M. Berman.(dfe, ) (Entered: 07/15/2004)
07/15/2004	237	TRANSCRIPT of proceedings held on 7/12/2004 before Judge Richard M. Berman.(jmi, ) (Entered: 07/15/2004)
07/16/2004	238	LETTER addressed to Judge Richard M. Berman from Emily Nicklin dated 5/25/04 re: counsel for defendant Morgan Stanley as per request from the Court enclosed copies of materials that EEOC sent regarding Dr. Bloch. Document filed by Morgan Stanley & Co., Inc., Morgan Stanley Dean Witter.(db, ) (Entered: 07/19/2004)
07/16/2004	239	LETTER addressed to Judge Richard M. Berman from Michelle A. Caiola dated 6/24/04 re: 6/21/04 Order, C01-2252. Document filed by Equal Employment Opportunity Commission.(db, ) (Entered: 07/19/2004)
07/16/2004	240	Second Set of Revised Proposed Jury Instructions and Objections to Non-Agreed Instructions. Document filed by Equal Employment Opportunity Commission, Morgan Stanley & Co., Inc., Morgan Stanley Dean Witter.(db, ) (Entered: 07/19/2004)

07/16/2004	241	LETTER addressed to Judge Richard M. Berman from Emily Nicklin dated 6/21/04 re: EEOC's most recent exhibit list. Document filed by Morgan Stanley & Co., Inc., Morgan Stanley Dean Witter.(db, ) (Entered: 07/19/2004)
07/16/2004	243	(UNSIGNED) Joint Pre-Trial Order filed. (sac, ) (Entered: 07/22/2004)
07/16/2004	244	LETTER addressed to Judge Richard M. Berman from Michelle A. Caiola dated 6/30/04 re: as per the Court's direction, a copy of the defendants Trial Exhibit List is enclosed. Document filed by EEOC.(db, ) Modified on 7/22/2004 (db, ). (Entered: 07/22/2004)
07/16/2004	247	LETTER addressed to Judge Richard M. Berman from Helen E. Witt dated 7/1/04 re: response to Judge Berman's 6/30/04 Order. Document filed by Morgan Stanley & Co., Inc., Morgan Stanley Dean Witter.(db, ) (Entered: 07/22/2004)
07/16/2004	249	LETTER addressed to Judge Richard M. Berman from Michelle A. Caiola dated 7/1/04 re: opposition to defendants 6/30/04 request. Document filed by Equal Employment Opportunity Commission.(db, ) (Entered: 07/22/2004)
07/16/2004	250	LETTER addressed to Judge Richard M. Berman from Emily Nicklin dated 7/3/04 re: revised list of defendants objections to EEOC's trial exhibit. Document filed by Morgan Stanley & Co., Inc., Morgan Stanley Dean Witter.(db, ) (Entered: 07/22/2004)
07/16/2004	251	LETTER addressed to Judge Richard M. Berman from Emily Nicklin dated 7/9/04 re: response to EEOC's letter dated 7/8/04. Document filed by Morgan Stanley & Co., Inc., Morgan Stanley Dean Witter.(db, ) (Entered: 07/22/2004)
07/16/2004	262	LETTER addressed to Judge Richard M. Berman from Elizabeth Grossman dated 7/6/04 re: EEOC provides its list of comparators to be used at trial in conjunction with its claimants at Phase I identifying the claim to which each comparator pertains. Document filed by Equal Employment Opportunity Commission.(sac, ) (Entered: 07/23/2004)
07/16/2004	263	LETTER addressed to Judge Berman from Emily Nicklin dated 7/6/04: bench memoranda re evidentiary issues. Document filed by Morgan Stanley & Co., Inc. (cd, ) (Entered: 07/29/2004)
07/16/2004	264	LETTER addressed to Judge Berman from Emily Nicklin dated 7/6/04: objections to MJ Ellis' 7/2 rulings. Document filed by Morgan Stanley & Co., Inc. (cd, ) (Entered: 07/29/2004)
07/16/2004	265	LETTER addressed to Judge Berman from Michelle Caiola dated 7/6/04: re Morgan Stanley's 7/6 letter requesting a conference. Document filed by Equal Employment Opportunity Commission.(cd, ) (Entered: 07/29/2004)
07/16/2004	267	LETTER addressed to Judge Berman from Michelle Caiola dated 7/7/04: re compensation of trial witness. Document filed by Equal Employment Opportunity Commission.(cd, ) (Entered: 07/29/2004)
07/16/2004	268	LETTER addressed to Judge Berman from Katherine Bissell dated 7/7/04: compensation of Ira Kay. Document filed by Equal Employment Opportunity Commission.(cd, ) (Entered: 07/29/2004)



07/16/2004	269	LETTER addressed to Judge Berman from Katherine Bissell dated 7/7/04: re expert testimony in this case. Document filed by Equal Employment Opportunity Commission.(cd, ) (Entered: 07/29/2004)
07/16/2004	270	LETTER addressed to Judge Berman from Emily Nicklin dated 7/8/04: re protective order. Document filed by Morgan Stanley & Co., Inc. (cd, ) (Entered: 07/29/2004)
07/16/2004	271	LETTER addressed to Judge Berman from Emily Nicklin dated 7/11/04: re testimony of Alli Kim-Yamane. Document filed by Morgan Stanley & Co., Inc..(cd, ) (Entered: 07/29/2004)
07/16/2004	272	LETTER addressed to Judge Berman from Emily Nicklin dated 7/11/04: EEOC's fifth round of analyses. Document filed by Morgan Stanley & Co., Inc. (cd, ) (Entered: 07/29/2004)
07/20/2004	245	LETTER addressed to Judge Berman from Emily Nicklin dated 6/14/04: proposed trial plan. Document filed by Morgan Stanley & Co., Inc. (cd, ) (Entered: 07/22/2004)
07/20/2004	246	LETTER addressed to Judge Berman from Michelle Caiola dated 6/14/04: request by the court to outline case in chief. Document filed by Equal Employment Opportunity Commission.(cd, ) (Entered: 07/22/2004)
07/20/2004	248	LETTER addressed to Judge Berman from Emily Nicklin dated 6/16/04; re court's request for additional briefing. Document filed by Morgan Stanley & Co., Inc. (cd, ) (Entered: 07/22/2004)
07/20/2004	252	LETTER addressed to Judge Berman from Elizabeth Grossman dated 6/16/04: motion' s in limine. Document filed by Equal Employment Opportunity Commission.(cd, ) (Entered: 07/22/2004)
07/20/2004	253	LETTER addressed to Judge Berman from Elizabeth Grossman dated 6/18/04; the Court's 6/10 request for "a little more argument" Document filed by Equal Employment Opportunity Commission.(cd, ) (Entered: 07/22/2004)
07/20/2004	254	LETTER addressed to Judge Berman from Emily Nicklin dated 6/18/04: re joint trial plan. Document filed by Morgan Stanley & Co., Inc. (cd, ) (Entered: 07/22/2004)
07/20/2004	255	LETTER addressed to Judge Berman from Emily Nicklin dated 6/18/04; motion in limine. Document filed by Morgan Stanley & Co., Inc. (cd, ) (Entered: 07/22/2004)
07/20/2004	256	LETTER addressed to Judge Berman from Elizabeth Grossman dated 6/18/04: motion in limine #3. Document filed by Equal Employment Opportunity Commission.(cd, ) (Entered: 07/22/2004)
07/20/2004	257	LETTER addressed to Judge Berman from Rachel Adams dated 6/28/04: EEOC's witness list. Document filed by Equal Employment Opportunity Commission.(cd, ) (Entered: 07/22/2004)
07/20/2004	258	LETTER addressed to Judge Berman from Emily Nicklin dated 6/28/04; Morgan Stanley witnesses. Document filed by Morgan Stanley & Co., Inc..(cd, ) (Entered: 07/22/2004)

07/20/2004	259	LETTER addressed to Judge Berman from Emily Nicklin dated 6/30/04; for a pre promotion conference Document filed by Morgan Stanley & Co., Inc. (cd, ) (Entered: 07/22/2004)
07/20/2004	260	LETTER addressed to Judge Berman from Elizabeth Grossman dated 7/8/04: defts should not be allowed to introduce evidence in any form related to Ms. Schieffelin from the period after 7/98. Document filed by Equal Employment Opportunity Commission.(cd, ) (Entered: 07/22/2004)
07/26/2004		Mailed notice of Right to Appeal to Attorney(s) of Record: Gabor Balassa, Katherine Elizabeth Bissell, Wendy L. Bloom, Thomas E. Dutton, Elizabeth Anne Grossman, Piper Hoffman, Andrew Johnstone, Andrew Johnstone, Brian Kavanaugh, Scott A. Moss, Emily Nicklin, Wayne Outten, Joseph Serino Jr., Helen E. Witt. (snu, ) (Entered: 07/29/2004)
07/29/2004	266	LETTER addressed to Judge Berman from Emily Nicklin dated 7/6/04: that the testimony of Dr. Kay be admitted. Document filed by Morgan Stanley & Co., Inc. (cd, ) (Entered: 07/29/2004)
08/18/2004	273	STIPULATION AND ORDERthe National Assoc. of Securities Dealers-Regulation shall cause the CRD to: expunge the Explanation section of the Form U-5 filed by Morgan Stanley for Schieffelin, which states: "On October 20, 2000 Ms. Schieffelin's employment was terminated due to her insubordinate and inappropriate behavior. No sales or trading activity was involved."; and (ii) file a Form U-5 for Schieffelin in which the "Explanation" section states: "Terminated as a result of irreconcilable differences with management. No sales or trading activity was involved." (Signed by Judge Richard M. Berman on 08/16/04) (djc, ) (Entered: 08/20/2004)
09/07/2004	274	ENDORSED LETTER addressed to Judge Richard M. Berman from Elizabeth Grossman dated 8/18/04 re: requesting the Court's ruling regarding a jurisdiction question concerning the scope of the litigation and of the Consent Decree. You're welcome to set a conference with Court Deputy (but there's a pressing criminal trial scheduled). I'm perplexed as to why the parties do not work this out as another aspect of their settlement. The stipulation has not been so ordered. (Signed by Judge Richard M. Berman on 9/1/04) (kw, ) (Entered: 09/14/2004)
10/05/2004	275	STIPULATION AND ORDER: the Consent Decree does not apply to Morgan Stanley's PCS/PWM. The Consent Decree does not apply to Morgan Stanley's MSCI for the period after 7/2/98. In order to resolve the contested issue of whwther the Consent Decree applies to MSCI for any period between 1/1/95 and 7/2/98, EEOC and Morgan Statnley shall each follow the procedures further set forth in items listed under #'s 3-12 on pages 2-5 in said Order. (Signed by Judge Harold Baer on 10/4/04) (db, ) (Entered: 10/12/2004)
06/27/2005	276	ENDORSED LETTER addressed to Judge Richard M. Berman from Abner J. Mikva dated 6/20/05 re: Counsel will have report on or before 7/31/05. Thanks -- I'm looking forward to it. (Signed by Judge Richard M. Berman on 6/27/05) (sac, ) (Entered: 06/28/2005)
08/10/2005	278	ENDORSED LETTER addressed to Judge Richard M. from Abner J. Mikva dated 8/10/05 re: In response to counsel recent submissions, the Court has determined that the 2pp Special Market Award be included in the (public) file of

		this case in the interest of full idsclosure. (Signed by Judge Richard M. Berman on 8/10/05) (pl, ) (Entered: 08/16/2005)
09/30/2005	279	SEALED MATERIALS RETRIEVED: [172] Sealed Document. Law Firm Retrieving Records: Kirkland & Ellis, LLP. Person Retrieving Records: Benjamin Irizarry. On: 09/30/2005.(kj, ) (Entered: 10/03/2005)
09/30/2005	280	SEALED MATERIALS RETRIEVED: [90] Sealed Document. Law Firm Retrieving Records: Kirkland & Ellis, LLP. Person Retrieving Records: Benjamin Irizarry. On: 9/30/2005.(kj, ) (Entered: 10/03/2005)
09/30/2005	281	SEALED MATERIALS RETRIEVED: [35] Sealed Document, [91] Sealed Document, [92] Sealed Document, [129] Sealed Document, [130] Sealed Document, [131] Sealed Document, [132] Sealed Document, [133] Sealed Document, [134] Sealed Document, [135] Sealed Document, [148] Sealed Document, [149] Sealed Document, [151] Sealed Document, [152] Sealed Document, [155] Sealed Document, [156] Sealed Document, [157] Sealed Document, [158] Sealed Document, [159] Sealed Document, [163] Sealed Document, [164] Sealed Document, [165] Sealed Document, [191] Sealed Document, [192] Sealed Document, [201] Sealed Document, [211] Sealed Document, [212] Sealed Document. Law Firm Retrieving Records: Kirkland & Ellis, LLP. Person Retrieving Records: Benjamin Irizarry. On: 9/30/2005.(kj, ) (Entered: 10/03/2005)
09/30/2005	282	ORDER- This case coming to be heard before this Court in the above-titled matter,and the parties having informed the Court that Special Master has made determinations as to the awards to issued to individual claimants, that no claimant has contested her award, and that the claimants' 20-day period for contesting their awards has expired, pursuant to the Consent Decree in this matter, the awards issued by the Special Master are hereby Confirmed; it is also herby ordered that Morgan Stanley shall distribute the monies from the Claim Fund in accordance with the terms of the Consent Decree and the Special Master's determinations. Within 20 business days of the date of this Order, Morgan Stanley shall send award checks to claimants who are to receive awards and who have submitted executed releases to Mogan Stanley. ENDORSEMENT: Good Work and Good Result. It is So Ordered. (Signed by Judge Richard M. Berman on 9/30/2005) (jmi, ) (Entered: 10/03/2005)
10/04/2005	283	SEALED MATERIALS RETRIEVED: [34] Sealed Document, [118] Sealed Document. Law Firm Retrieving Records: E.E.O.C.. Person Retrieving Records: Sharon Ladson. On: 10/04/2005.(kj, ) Modified on 10/4/2005 (kj, ). (Entered: 10/04/2005)
10/04/2005	284	SEALED MATERIALS RETRIEVED: [203] Sealed Document,[204] Sealed Document. Law Firm Retrieving Records: EEOC. Person Retrieving Records: Sharon Ladson. On: 10/04/2005.(sov, ) (Entered: 10/04/2005)
10/04/2005	285	SEALED MATERIALS RETRIEVED:[167]Sealed Document,[168]Sealed Document, [169] Sealed Document, [195]Sealed Document, [206]Sealed Document. Law Firm Retrieving Records: EEOC. Person Retrieving Records: Sharon Ladson. On: 10/04/05.(sov, ) (Entered: 10/04/2005)

10/04/2005	286	SEALED MATERIALS RETRIEVED:[138]Sealed Document, [139] Sealed Document, [140] Sealed Document, [141] Sealed Document, [142]Sealed Document, [143] Sealed Document, [153] Sealed Document, [154] Sealed Document. Law Firm Retrieving Records: EEOC. Person Retrieving Records: Sharon Ladson. On: 10/04/05.(sov, ) (Entered: 10/04/2005)
10/05/2005	287	SEALED MATERIALS RETRIEVED: [56] Sealed Document. Law Firm Retrieving Records: Outten & Golden LLP. Person Retrieving Records: Rachel S. Kitson. On: 10/5/2005.(kj, ) (Entered: 10/05/2005)
10/05/2005	288	SEALED MATERIALS RETRIEVED: [46], [136], [137], [170], [171], [200] Sealed Documents. Law Firm Retrieving Records: Outten & Golden LLP. Person Retrieving Records: Rachel S. Kitson. On: 10/5/2005.(kj, ) (Entered: 10/05/2005)

### PACER Service Center

#### Transaction Receipt

05/30/2007 16:40:40

<b>PACER Login:</b>	hs0328	<b>Client Code:</b>	eeoc+++++
<b>Description:</b>	Docket Report	<b>Search Criteria:</b>	1:01-cv-08421-RMB-RLE
<b>Billable Pages:</b>	19	<b>Cost:</b>	1.52