

BROWN, PROTO, TERMED

United States District Court
Northern District of Illinois - CM/ECF LIVE, Ver 3.0 (Chicago)
CIVIL DOCKET FOR CASE #: 1:96-cv-03773

Cremin, et al v. Merrill Lynch Pierce, et al

Assigned to: Honorable Ruben Castillo

Demand: \$0

Case in other court: 03-03088

99-02864

Cause: 42:2000e Job Discrimination (Employment)

Date Filed: 06/21/1996

Date Terminated: 06/18/1998

Jury Demand: Both

Nature of Suit: 442 Civil Rights:

Jobs

Jurisdiction: Federal Question

Plaintiff

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Plaintiff

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Plaintiff

Anne Marie Kearney
on behalf of themselves and all
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Plaintiff

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V.

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Date Filed	#	Docket Text
06/21/1996	1	COMPLAINT; jury demand - Civil cover sheet - Appearance(s) of Mary Stowell, Linda Debra Friedman as attorney(s) for plaintiff with Rule 39 affidavits (no summons(es) issued.) (Documents: 1-1 through 1-5). (fce) Modified on 07/12/1996 (Entered: 06/24/1996)
06/21/1996		RECEIPT regarding payment of filing fee paid; on 6/21/96 in the amount of \$120.00, receipt #475884. (fce) (Entered: 06/21/1996)

		06/24/1996)
06/28/1996	2	MINUTE ORDER of 6/28/96 by Hon. Ruben Castillo : Status hearing set for 9:30 am on 8/28/96. Parties to file a joint status report by 8/23/96. Counsel for plaintiff to notify all other parties of the Court's order. (See reverse of minute order.) Mailed notice (ar) Modified on 07/12/1996 (Entered: 07/01/1996)
08/06/1996	3	ATTORNEY APPEARANCE for Natl Assn of Sec by Terri L. Reicher, James Stephen Poor, Robert L. Jackson III with Rule 39 affidavits (ar) (Entered: 08/07/1996)
08/20/1996	4	ATTORNEY APPEARANCES for NY Stock Ex by David L. Lee, Jonathan P. Tomes with Rule 39 affidavits (ar) (Entered: 08/21/1996)
08/20/1996	5	ATTORNEY APPEARANCE for Merrill Lynch Pierce, Joseph Gannotti by James P. DeNardo, Kristin L. Dvorsky with Rule 39 affidavits (ar) (Entered: 08/21/1996)
08/20/1996	9	MOTION by NY Stock Ex to dismiss pursuant to Rule 12(b)(6) . (Temporarily unavailable for docketing.) (ar) Modified on 08/30/1996 (Entered: 08/30/1996)
08/20/1996	10	MEMORANDUM by NY Stock Ex in support of its motion to dismiss pursuant to Rule 12(b)(6) [9-1]. (Temporarily unavailable for docketing.) (ar) Modified on 08/30/1996 (Entered: 08/30/1996)
08/20/1996	11	MOTION by Merrill Lynch Pierce and Joseph Gannotti to dismiss cause of action and for an order compelling arbitration (Exhibits); Notice of motion. (Temporarily unavailable for docketing.) (ar) Modified on 08/30/1996 (Entered: 08/30/1996)
08/23/1996	6	JOINT INITIAL STATUS REPORT; Notice of filing (ar) (Entered: 08/26/1996)
08/27/1996	12	MOTION by Natl Assn of Sec to dismiss Count III of the complaint ; Notice of motion (ar) (Entered: 08/30/1996)
08/27/1996	13	MEMORANDUM of law by Natl Assn of Sec in support of its motion to dismiss Count III of the complaint [12-1] (Attachments) (ar) (Entered: 08/30/1996)
08/28/1996	7	APPLICATION for leave to appear pro hac vice by Russell E. Brooks for NY Stock Ex ; Order entered granting leave by Hon. Ruben Castillo (ar) (Entered: 08/29/1996)
08/28/1996	8	APPLICATION for leave to appear pro hac vice by B. Kelly Kiser for NY Stock Ex ; Order entered granting leave by Hon.

		Ruben Castillo (ar) (Entered: 08/29/1996)
08/28/1996	14	MINUTE ORDER of 8/28/96 by Hon. Ruben Castillo : Status hearing held. Plaintiff's consolidated response to defendant New York Stock Exchange's motion to dismiss [9-1], defendants Merrill Lynch and Joseph Gannotti's motion to dismiss [11-1] and for an order compelling arbitration [11-2], and defendant National Association of Securities Dealers, Inc.'s motion to dismiss Count III [12-1] is due 09/27/96. Defendants' replies are due 10/18/96. Motions [9-1] [11-1] [11-2] [12-1] will be taken under advisement and the Court will rule by mail. Discovery is hereby stayed until the Court rules on the pending motions to dismiss. Mailed notice (ar) (Entered: 08/30/1996)
09/30/1996	15	MOTION by plaintiff to strike motions to dismiss of defendants Merrill Lynch, Gannotti, NYSE and NASD ; Notice of motion. (Temporarily unavailable for docketing.) (ar) (Entered: 10/15/1996)
10/11/1996	16	OBJECTION by NY Stock Exchange to plaintiff's motion to strike its motion to dismiss [15-1] (Attachment) (ar) (Entered: 10/15/1996)
10/11/1996	17	RESPONSE by Merrill Lynch Pierce Fenner & Smith, Inc. and Joseph Gannotti to plaintiff's motion to strike defendants' motions to dismiss [15-1] (Attachment); Notice of filing and proof of service (ar) (Entered: 10/15/1996)
10/11/1996	18	MINUTE ORDER of 10/11/96 by Hon. Ruben Castillo : Plaintiff's motion to strike [15-1] motions to dismiss of defendants Merrill Lynch, Gannotti, NYSE and NASD is denied. Defendants Merrill Lynch and Gannotti's motion to dismiss [11-1] and to compel arbitration [11-2] is denied without prejudice. Plaintiff is ordered to file a response on or before 11/01/96. Defendants' replies are due 11/15/96. Court will rule by mail. (See reverse of minute order.) Mailed notice (ar) (Entered: 10/15/1996)
10/17/1996	19	MINUTE ORDER of 10/17/96 by Hon. Ruben Castillo : The Court issues this order nunc pro tunc to clarify its initial minute order dated 10/11/96 [18-1]. Merrill Lynch and Gannotti's motion to dismiss [11-1] and to compel arbitration [11-2] is dismissed only in part, as to the jurisdictional challenge to Counts V-VII based on the Illinois Human Rights Act, and without prejudice. Therefore, the second sentence in the fourth paragraph should read, "Merrill Lynch and Gannotti's motion to dismiss and to compel arbitration is denied in part without prejudice." Mailed notice (ar) (Entered: 10/18/1996)

11/04/1996	20	MOTION by plaintiff for leave to file memorandum in excess of 15 pages ; Notice of motion. (dmkf) (Entered: 11/12/1996)
11/08/1996	21	MEMORANDUM in response to the court's order of 10/11/96 by plaintiff (Attachments). (dmkf) (Entered: 11/12/1996)
11/08/1996	22	MINUTE ORDER of 11/8/96 by Hon. Ruben Castillo : Plaintiff's motion for leave to file memorandum in excess of 15 pages [20-1] is granted. Telephoned notice (dmkf) (Entered: 11/12/1996)
11/14/1996	23	REPLY memorandum of law by Natl Assn of Sec in support of its motion to dismiss Count III of the complaint [12-1] (ar) (Entered: 11/15/1996)
11/15/1996	24	REPLY by Merrill Lynch Pierce and Joseph Gannotti to the plaintiff's memoandum in response [18-1] to the court's order of 10/11/96; Notice of filing and proof of service (ar) (Entered: 11/18/1996)
11/18/1996	25	REPLY by NY Stock Ex on its motion to dismiss [9-1] (Exhibit) (ar) (Entered: 11/19/1996)
12/29/1996	26	MINUTE ORDER of 12/29/96 by Hon. Ruben Castillo : Michael Rubin is granted leave to file an additional appearance on behalf of Marybeth Cremin. No notice (ar) (Entered: 01/03/1997)
12/29/1996	27	ATTORNEY APPEARANCE for Marybeth Cremin by Michael Rubin (ar) (Entered: 01/03/1997)
01/03/1997		RULE 39 letter mailed to Michael Rubin (ar) (Entered: 01/03/1997)
01/07/1997	28	MOTION by NY Stock Ex for leave to file supplemental authority (Exhibit); Notice of motion (ar) (Entered: 01/14/1997)
01/13/1997	29	MINUTE ORDER of 1/13/97 by Hon. Ruben Castillo : Defendant New York Stock Exchange's motion for leave to file supplemental authority [28-1] is granted. Telephoned notice (ar) (Entered: 01/14/1997)
01/29/1997	30	AMENDED COMPLAINT [1-1] by plaintiff; jury demand; adding Nancy Thomas, Anne Kaspar, Alice Moss, Linda Conti, Anne Marie Kearney; Notice of filing (fce) Modified on 02/03/1997 (Entered: 01/30/1997)
01/31/1997	31	MINUTE ORDER of 1/31/97 by Hon. Ruben Castillo : Plaintiff's amended complaint [30-1] is hereby stricken, with leave to refile any appropriate amended complaint after our

		forthcoming opinion. Terminating plaintiffs Nancy Thomas, Anne Kaspar, Alice Moss, Linda Conti and Anne Marie Kearney. (See reverse of minute order.) Mailed notice (ar) (Entered: 02/03/1997)
02/06/1997	32	EMERGENCY MOTION by Marybeth Cremin to reconsider decision to strike her amended complaint ; Notice of motion (ar) (Entered: 02/07/1997)
02/07/1997	33	MINUTE ORDER of 2/7/97 by Hon. Ruben Castillo : Plaintiff's memorandum in support of her emergency motion to reconsider [32-1] is due 02/10/97. Defendants' response is due 2/14/97. Motion [32-1] will be taken under advisement and the court will rule by mail. The following language of this Court's 01/31/97 docket entry is vacated: "Terminating plaintiffs Nancy Thomas, Anne Kaspar, Alice Moss, Linda Conti and Anne Marie Kearney." Mailed notice (ar) Modified on 02/12/1997 (Entered: 02/10/1997)
02/07/1997		PLAINTIFFS Nancy Thomas, Anne Kaspar, Alice Moss, Linda Conti and Anne M. Kearney are reinstated pursuant to minute order dated 02/07/97 [33-1]. These plaintiffs were erroneously terminated on 01/31/97. (ar) (Entered: 02/11/1997)
02/10/1997	34	MEMORANDUM by Marybeth Cremin in support of motion to reconsider decision to strike her amended complaint [32-1] (Attachments); Notice of filing (ar) (Entered: 02/11/1997)
02/14/1997	35	RESPONSE by Merrill Lynch Pierce and Joseph Gannotti to plaintiff's memorandum in support of motion to reconsider [32-1] (Exhibit); Notice of filing (ar) (Entered: 02/24/1997)
02/14/1997	36	RESPONSE by NY Stock Ex to plaintiff's emergency motion to reconsider [32-1] (Exhibits) (ar) (Entered: 02/24/1997)
02/14/1997	37	MEMORANDUM of law by Natl Assn of Sec in opposition to plaintiff's motion for reconsideration [32-1]; Notice of filing (ar) (Entered: 02/24/1997)
02/14/1997	38	MOTION by plaintiffs for leave to file supplemental authority (Attachment); Motion for leave to file supplemental authority. (ar) (Entered: 02/24/1997)
02/19/1997	39	RESPONSE by NY Stock Ex to motion for leave to file supplemental authority [38-1] (ar) (Entered: 02/24/1997)
02/20/1997	40	RESPONSE by Merrill Lynch Pierce and Joseph Gannotti to plaintiff's motion for leave to file supplemental authority [38-1] (Attachment); Notice of filing and proof of service (ar)

		(Entered: 02/24/1997)
02/21/1997	41	MEMORANDUM OPINION AND ORDER (ar) (Entered: 02/24/1997)
02/21/1997	42	MINUTE ORDER of 2/21/97 by Hon. Ruben Castillo : Status hearing set for 9:15 am on 3/11/97. Enter memorandum opinion and order. Defendant New York Stock Exchange's motion to dismiss pursuant to Rule 12(b)(6) [9-1] and defendant The National Association of Securities Dealers' motion dismiss Count III of the complaint [12-1] are granted. Defendants New York Stock Exchange and The National Association of Securities Dealers are hereby dismissed from this action with prejudice. Plaintiff's motion to reconsider [32-1] is denied. Plaintiff's motion for leave to file supplemental authority [38-1] is granted. Mailed notice (ar) (Entered: 02/24/1997)
02/27/1997	43	AMENDED COMPLAINT [1-1] by plaintiffs adding plaintiffs Sonia Ingram, Angelo Covo and reinstating defendants The New York Stock Exchange and The National Association of Securities Dealers; Jury demand; Notice of filing (1 vol.) (ar) Modified on 03/07/1997 (Entered: 03/07/1997)
03/05/1997	45	MOTION by Natl Assn of Sec to strike Count VI of amended complaint ; Notice of motion. (Temporarily unavailable for docketing.) (ar) (Entered: 03/14/1997)
03/06/1997	44	MEMORANDUM by defendant NY Stock Ex in support of its motion to strike count VI of the amended complaint (fce) (Entered: 03/07/1997)
03/06/1997	46	MOTION by NY Stock Ex to strike Count VI of the amended complaint ; Notice of motion. (Temporarily unavailable for docketing.) (ar) (Entered: 03/14/1997)
03/07/1997	47	MOTION by Merrill Lynch Pierce and Joseph Gannotti for leave to file appearance of Mark S. Dichter, attorney not a member of the Northern District of Illinois Bar, instanter ; Notice of motion. (Temporarily unavailable for docketing.) (ar) (Entered: 03/14/1997)
03/07/1997	48	AFFIDAVIT of Mark S. Dichter regarding defendants Merrill Lynch Pierce Fenner & Smith and Joseph Gannotti's motion for leave to file appearance of Mark S. Dichter, attorney not a member of the Northern District of Illinois Bar, instanter [47-1] (ar) (Entered: 03/14/1997)
03/11/1997	49	MINUTE ORDER of 3/11/97 by Hon. Ruben Castillo : Status hearing held. Any motion for class certification is to be filed by

		4/11/97. Defendants Merrill Lynch Pierce Fenner & Smith and Joseph Gannotti's motion for leave to file appearance of Mark S. Dichter, attorney not a member of the Northern District of Illinois Bar, instanter [47-1], is granted. Defendant New York Stock Exchange's motion to strike Count VI of the amended complaint [46-1] and defendant NASD's motion to strike Count VI of amended complaint [45-1] are granted for the reasons stated in open court. Count VI of the amended complaint is dismissed as to all defendants. Parties are to proceed with all discovery. Mailed notice (ar) Modified on 03/14/1997 (Entered: 03/14/1997)
03/11/1997	50	ATTORNEY APPEARANCE for Merrill Lynch Pierce and Joseph Gannotti by Mark S. Dichter (ar) (Entered: 03/14/1997)
03/24/1997	57	MOTION by defendants Merrill Lynch Pierce, Joseph Gannotti to deny class certification (kmt) (Entered: 04/02/1997)
03/24/1997	58	MOTION by defendants Merrill Lynch Pierce, Joseph Gannotti for leave to file instanter a memorandum in excess of fifteen pages in support of defendants' motion to deny class certification. ; Notice of motion (kmt) (Entered: 04/02/1997)
03/31/1997	52	MOTION by defendants Merrill Lynch Pierce, Joseph Gannotti to dismiss count VII of the amended complaint (kmt) (Entered: 04/02/1997)
03/31/1997	54	MOTION by defendants Merrill Lynch Pierce, Joseph Gannotti for an order compelling arbitration of , and to dismiss all non-class claims (counts I-V, VII-XV) (kmt) (Entered: 04/02/1997)
03/31/1997	55	MOTION by defendants Merrill Lynch Pierce, Joseph Gannotti to dismiss counts XIII-XV of the amended complaint for lack of jurisdiction ; Notice of motion (kmt) (Entered: 04/02/1997)
04/01/1997	51	TRANSCRIPT of proceedings for the following date(s): 03/11/97 before Honorable Ruben Castillo (fce) (Entered: 04/02/1997)
04/01/1997	53	MINUTE ORDER of 4/1/97 by Hon. Ruben Castillo : Plaintiff's response to defendants Merrill Lynch and Joseph Gannotti's motion to dismiss count VII of the amended complaint [52-1] is due 05/02/97. Defendants' reply to answer brief due 5/12/97. Motion will be taken under advisement and the court will rule by mail. Mailed notice (kmt) (Entered: 04/02/1997)
04/01/1997	56	MINUTE ORDER of 4/1/97 by Hon. Ruben Castillo : Defendants merrill Lynch and Jospeh Gannotti's motion for an order compelling arbitration of [54-1] and to dismiss all non-

		class claims (counts I-V, VII-XV) [54-2] and their motion to dismiss counts XIII-XV of the amended complaint for lack of jurisdiction [55-1] will be held in abeyance until further court order. Mailed notice (kmt) (Entered: 04/02/1997)
04/01/1997	59	MINUTE ORDER of 4/1/97 by Hon. Ruben Castillo : Granting defendants' motion for leave to file instanter a memorandum in excess of fifteen pages in support of defendants' motion to deny class certification [58-1]. The court will consider defendants Merrill Lynch and Joseph Gannotti's motion to deny class certification as a response to plaintiff's motion for class certification. Plaintiff's motion for class certification and supporting memorandum is due 4/15/97 Defendants' supplemental response, if any, due 05/12/97. Plaintiff's reply is due 05/30/97. Motion will be taken under advisement and the court will rule by mail. Status hearing set to 9:00 a.m. on 5/16/97 . Mailed notice (kmt) (Entered: 04/02/1997)
04/01/1997	60	MEMORANDUM by defendants Merrill Lynch Pierce, Joseph Gannotti in support of motion to deny class certification [57-1] (Exhibit) (kmt) (Entered: 04/02/1997)
04/15/1997	61	MOTION by plaintiffs for leave to file memorandum in excess of 15 pages ; Notice of motion. (fce) (Entered: 04/18/1997)
04/17/1997	62	MINUTE ORDER of 4/17/97 by Hon. Ruben Castillo : Plaintiffs' motion for leave to file memorandum in excess of 15 pages [61-1] is granted. Telephoned notice (fce) (Entered: 04/18/1997)
04/17/1997	63	PETITION by plaintiffs for class certification (fce) (Entered: 04/18/1997)
04/17/1997	64	MEMORANDUM by plaintiffs in support of motion for class certification [63-1](Attachments) (fce) (Entered: 04/18/1997)
05/02/1997	65	RESPONSE by plaintiffs in opposition to defendants' motion to dismiss count VII of the amended complaint [52-1]; Notice of filing (ar) (Entered: 05/06/1997)
05/08/1997	66	MOTION by plaintiff to compel Merrill Lynch to comply with their discovery requests (Exhibit); Notice of motion (fce) (Entered: 05/16/1997)
05/12/1997	67	MOTION by defendants Merrill Lynch Pierce, Joseph Gannotti for leave to file instanter appearances of substitute local counsel (Attachments); Notice of motion (fce) (Entered: 05/16/1997)
05/12/1997	68	MOTION by defendants Merrill Lynch Pierce, Joseph Gannotti

		for leave to file instanter brief in excess of 15 pages ; Notice of motion (fce) (Entered: 05/16/1997)
05/12/1997	70	RESPONSE by defendants Merrill Lynch, Pierce, Fenner & Smith Incorporated and Joseph Gannotti to plaintiff's petition for class certification [63-1] (Attachments) (Exhibits). (fce) (Entered: 05/16/1997)
05/12/1997	72	REPLY by Merrill Lynch Pierce and Joseph Gannotti in support of motion to dismiss count VII of the amended complaint [52-1]; Notice of filing (ar) (Entered: 05/19/1997)
05/14/1997	73	DECLARATION by Ralph DeSalvo (Exhibit); Notice of filing (ar) (Entered: 05/19/1997)
05/14/1997	74	DECLARATION by James Lusk (Attachments) (ar) (Entered: 05/19/1997)
05/14/1997	75	AFFIDAVIT of Thomas O. Muller (ar) (Entered: 05/19/1997)
05/14/1997	76	DECLARATION by William Murray (ar) (Entered: 05/19/1997)
05/14/1997	77	AFFIDAVIT of Jerome A. Rosenthal (ar) (Entered: 05/19/1997)
05/14/1997	78	AFFIDAVIT of Robert D. Sherman (ar) (Entered: 05/19/1997)
05/14/1997	79	AFFIDAVIT of James F. Shoaf (ar) (Entered: 05/19/1997)
05/14/1997	80	DECLARATION by Joan G Haworth Ph.D. (Attachments) (ar) (Entered: 05/19/1997)
05/14/1997	81	DECLARATION by John Rapanos (ar) (Entered: 05/19/1997)
05/15/1997	69	MINUTE ORDER of 5/15/97 by Hon. Ruben Castillo : Plaintiffs' motion to compel [66-1] is granted. Defendants' motion for leave to file instanter appearances of substitute local counsel [67-1] is granted. Jerold S. Solovy, Howard S. Suskin and Catherine P. Wassberg are granted leave to file their appearances on behalf of defendants Merrill Lynch Pierce Fenner & Smith, Inc., and Joseph Grannotti. Defendants' motion for leave to file instanter brief in excess of 15 pages [68-1] is granted. Plaintiffs' reply to their motion for class certification [63-1] is due 6/30/97. Status hearing reset for 6/27/97 at 9:15 a.m. Mailed notice (fce) (Entered: 05/16/1997)
05/15/1997	71	APPLICATION for leave to appear pro hac vice by Andrew J. Schaffran for defendants Joseph Gannotti and Merrill Lynch, Pierce, Fenner & Smith, Inc. ; Order entered granting leave by Hon. Ruben Castillo (fce) (Entered: 05/16/1997)

05/21/1997	82	RESPONSE by defendants Joseph Gannotti, Merrill Lynch Pierce to plaintiffs' petition for class certification; Notice of filing (eav) (Entered: 05/22/1997)
05/21/1997	83	ATTORNEY APPEARANCE for defendants Joseph Gannotti, Merrill Lynch Pierce by Jerold Sherwin Solovy, Howard Steven Suskin, Catherine Patricia Wassberg (eav) (Entered: 05/22/1997)
05/21/1997	84	NOTICE by defendants Joseph Gannotti, Merrill Lynch Pierce of filing attorney appearance [83-1] (eav) (Entered: 05/22/1997)
06/24/1997	85	STIPULATION for entry of protective order (eav) (Entered: 06/25/1997)
06/24/1997	86	AGREED PROTECTIVE ORDER (eav) (Entered: 06/25/1997)
06/24/1997	87	MINUTE ORDER of 6/24/97 by Hon. Ruben Castillo : Enter agreed protective order. Pursuant to Fed.R.Civ.P. 26(c) this Court finds good cause for the entry of the agreed protective order. Mailed notice (eav) (Entered: 06/25/1997)
06/25/1997		ORAL MOTION by plaintiffs for an extension of time to file a reply brief (eav) (Entered: 06/26/1997)
06/25/1997	88	MINUTE ORDER of 6/25/97 by Hon. Ruben Castillo : Status hearing set for 6/27/97 is vacated. Plaintiff's oral request for an extension of time to file a reply brief is granted without objection. [0-1] Plaintiffs' reply to their motion for class certification [63-1] is due 7/21/97. Motion will be taken under advisement and the court will rule by mail. Mailed notice (eav) (Entered: 06/26/1997)
07/15/1997	89	AGREED MOTION by plaintiffs for extension of time to file reply memorandum in support of motion for class certification ; Notice of motion (eav) (Entered: 07/17/1997)
07/16/1997	90	MINUTE ORDER of 7/16/97 by Hon. Ruben Castillo : Plaintiffs' agreed motion for extension of time to file reply memorandum in support of motion for class certification is granted. [89-1] Plaintiffs' reply to their motion for class certification [63-1] is due 8/4/97. Motion will be [63-1] taken under advisement and the court will rule by mail. Mailed notice (eav) (Entered: 07/17/1997)
08/01/1997	91	MINUTE ORDER of 8/1/97 by Hon. Ruben Castillo : Telephone status conference held and continued to 8/29/97 at 9:45 a.m. By agreement between the parties all pending motions [52-1], [54-1], [54-2], [55-1], [63-1] are withdrawn without

		prejudice with full leave to reinstate. Mailed notice (eav) (Entered: 08/04/1997)
08/29/1997		SCHEDULE set on 8/29/97 by Hon. Ruben Castillo : Telephone status hearing held and continued to 8:45 a.m. on 10/7/97. Mailed notice (rao) (Entered: 08/29/1997)
10/07/1997		SCHEDULE set on 10/7/97 by Hon. Ruben Castillo : Telephone status conference held and continued to 8:45 a.m. on 11/6/97. Mailed notice (rao) (Entered: 10/07/1997)
11/06/1997		SCHEDULE set on 11/6/97 by Hon. Ruben Castillo : Telephone status conference held in chambers and continued to 8:45 a.m. on 12/11/97. Mailed (rao) (Entered: 11/06/1997)
11/20/1997	92	NOTICE by plaintiff Anne Kaspar of change lien (Exhibits) (eav) (Entered: 11/21/1997)
12/11/1997		SCHEDULE set on 12/11/97 by Hon. Ruben Castillo : Telephone status hearing held. Status hearing set for 9:00 a.m. on 1/28/98. in open court. Parties to file a joint motion for preliminary approval before the 1/28/98 status hearing. Mailed notice (rao) (Entered: 12/11/1997)
01/02/1998	93	TRANSCRIPT of proceedings for the following date(s): 5/15/97 Before Honorable Ruben Castillo (yap) (Entered: 01/05/1998)
01/27/1998		SCHEDULE set on 1/27/98 by Hon. Ruben Castillo : Telephone status conference held. No notice (rao) (Entered: 01/27/1998)
01/28/1998		SCHEDULE set on 1/28/98 by Hon. Ruben Castillo : Status hearing held. Settlement conference set for 4:30 p.m. on 2/25/98. Parties with settlement authority are directed to appear or be available by telephone. Plaintiffs' renewed motion for class certification is to be filed on or before 3/13/98. Mailed notice (rao) (Entered: 01/28/1998)
02/06/1998		SCHEDULE set on 2/6/98 by Hon. Ruben Castillo : Both parties are hereby requested to submit a confidential summary of the current status of the ongoing settlement negotiations for an in camera review on or before 2/24/98. This statement should detail the areas of agreement and all areas of disagreement. Mailed notice (rao) (Entered: 02/06/1998)
02/10/1998		SCHEDULE set on 2/10/98 by Hon. Ruben Castillo : Settlement conference reset to 12:30 p.m. on 2/27/98. Settlement conference set for 2/25/98 is vacated. Mailed notice (rao) (Entered: 02/10/1998)
02/27/1998		SCHEDULE set on 2/27/98 by Hon. Ruben Castillo :

		Settlement conference held and continued to 12:30 p.m. on 4/3/98. Parties are requested to fully exhaust all remaining issues, in light of the Court's recommendation prior to the next settlement conference. Mailed notice (rao) (Entered: 02/27/1998)
04/03/1998		SCHEDULE set on 4/3/98 by Hon. Ruben Castillo : Settlement conference held and continued to 10:00 a.m. on 4/23/98. Parties are to note that the time of the next settlement conference is different from that stated in chambers. Plaintiffs' counsel are to comply with the Court's directions on or before 4/13/98. Defendants should respond on or before 4/20/98. Copies of all communications should be sent to the Court's chambers on a confidential basis. Mailed notice (rao) (Entered: 04/03/1998)
04/09/1998		SCHEDULE set on 4/9/98 by Hon. Ruben Castillo : Parties request to reset the settlement conference is granted. Settlement conference reset to 12:30 p.m. on 5/4/98. Mailed notice (rao) (Entered: 04/09/1998)
05/06/1998		SCHEDULE set on 5/6/98 by Hon. Ruben Castillo : Status hearing set for 9:00 a.m. on 5/8/98. Telephoned notice (rao) (Entered: 05/06/1998)
05/08/1998	94	MINUTE ORDER of 5/8/98 by Hon. Ruben Castillo : Status hearing held. Parties to file motion for preliminary approval of class action settlement on or before 06/05/98. Hearing on the motion for preliminary approval of class action settlement set for 06/10/98 at 9:00 a.m. Class action notices to be sent on 06/22/98. Objections and opt out notices to be filed by 07/30/98. Responses to the objections to be filed by 08/20/98. Fairness hearing set for 08/27/98 at 1:30 p.m. Mailed notice (lal) (Entered: 05/11/1998)
05/12/1998		SCHEDULE set on 5/12/98 by Hon. Ruben Castillo : By agreement, the Fairness hearing is reset to 1:00 p.m. on 9/2/98. Mailed notice (rao) (Entered: 05/12/1998)
06/10/1998		SCHEDULE set on 6/10/98 by Hon. Ruben Castillo : Status hearing held in chambers. Parties to submit their Preliminary Class Settlement documents to chambers by 6/12/98. No notice (rao) (Entered: 06/10/1998)
06/17/1998	95	NOTICE by plaintiffs of filing joint motion for preliminary approval of class action settlement and the stipulation of settlement and attached exhibits (eav) (Entered: 06/18/1998)
06/17/1998	96	STIPULATION of settlement (eav) (Entered: 06/18/1998)

06/18/1998	97	ORDER preliminarily approving class action settlement, conditionally certifying class, providing for Notice, and scheduling a Fairness Hearing (eav) (Entered: 06/18/1998)
06/18/1998	98	MINUTE ORDER of 6/18/98 by Hon. Ruben Castillo : Telephone conference held in chambers. The clerk is directed to file the appendices to the stipulation of settlement under seal. Enter order preliminary approving class action settlement, conditionally certifying class, providing for Notice, and scheduling a Fairness Hearing. Mailed notice (eav) (Entered: 06/18/1998)
06/18/1998	99	APPENDICES filed to stipulation of settlement [97-1] (RESTRICTED) (eav) (Entered: 06/18/1998)
06/18/1998	100	MINUTE ORDER of 6/18/98 by Hon. Ruben Castillo : Based on this Court's preliminary approval of the settlement in this case, this lawsuit is hereby administratively dismissed without prejudice, with full leave to reinstate if the court does not grant final approval of the proposed settlement. terminating case Mailed notice (eav) (Entered: 06/18/1998)
07/23/1998	101	LETTER from Marc Redlich to sir dated 7/16/98 (eav) (Entered: 07/24/1998)
07/23/1998	102	OPT-OUT LETTER of class member Susan M. Rosenberg to Hon. Ruben Castillo (eav) (Entered: 07/24/1998)
07/23/1998	103	REQUEST for Exclusion by class member Susan M. Rosenberg (eav) (Entered: 07/24/1998)
07/24/1998	104	OBJECTION by class member Susan M. Rosenberg to proposed settlement of gender discrimination action (eav) (Entered: 07/24/1998)
07/27/1998	105	MINUTE ORDER of 7/27/98 by Hon. Ruben Castillo : By agreement between the parties the date for filing the proof of mailing of Class Notice is hereby extended to 8/20/98. Mailed notice (eav) (Entered: 07/28/1998)
08/05/1998	106	NOTICE by defendant NY Stock Ex of attorney David L. Lee change of address (eav) (Entered: 08/07/1998)
08/20/1998	107	MEMORANDUM by plaintiff in support of class settlement and response to objections (Attachments); Notice of filing. (dmkf) (Entered: 08/24/1998)
08/20/1998	108	MEMORANDUM of law by plaintiff in support of class counsel's request for fees and expenses. (dmkf) (Entered: 08/24/1998)

08/20/1998	109	DECLARATION of Mary Stowell (dmkf) (Entered: 08/24/1998)
08/25/1998	110	AFFIDAVIT of mailing of Arlene Caraballo (Attachment/Exhibits); Notice of filing. (mak) Modified on 08/28/1998 (Entered: 08/28/1998)
08/31/1998	111	DECLARATION by Annie Marie Kearney; Notice of filing (eav) (Entered: 09/01/1998)
08/31/1998	112	FIRST AMENDMENT by defendants, plaintiffs to stipulation of settlement [96-1]; Notice of filing (eav) (Entered: 09/01/1998)
09/02/1998	113	ORDER (eav) (Entered: 09/03/1998)
09/02/1998	114	MINUTE ORDER of 9/2/98 by Hon. Ruben Castillo : Fairness hearing held. Enter final order and judgment approving class action settlement and dismissing claims. This case is hereby dismissed with prejudice. Mailed notice (eav) (Entered: 09/03/1998)
09/02/1998	115	ENTERED JUDGMENT (eav) (Entered: 09/03/1998)
09/08/1998	116	TRANSCRIPT of proceedings for the following date(s): 6/18/98 Before Honorable Ruben Castillo (eav) (Entered: 09/08/1998)
09/10/1998	117	TRANSCRIPT of proceedings for the following date(s): 9/2/98 Before Honorable Ruben Castillo (eav) (Entered: 09/11/1998)
10/30/1998	118	MEMORANDUM by defendant Merrill Lynch Pierce in support of motion to require corrective notice, and to require that all future class-wide communications concerning the litigation receive prior court approval (Attachments); Notice of Filing (eav) (Entered: 11/02/1998)
10/30/1998	120	MOTION by defendant Merrill Lynch Pierce for an order under Fed. R. Civ. P. 23(d) to require corrective notice, and to require that all future class-wide communications concerning the litigation receive prior court approval (Attachment); Notice of motion (eav) (Entered: 11/05/1998)
11/03/1998	119	CLASS RESPONSE to defendant's motion to restrain class counsel from communicating with the class (Exhibits); Notice of filing (eav) (Entered: 11/04/1998)
11/04/1998	121	MINUTE ORDER of 11/4/98 by Hon. Ruben Castillo : Status hearing held. Motion of defendant Merrill Lynch for an order under Fed.R.Civ.P.23(d) to require corrective notice, and to require that all future class-wide communications concerning

		the litigation receive prior court approval is granted in part and denied in part. Parties should submit their versions of an appropriate letter by 11/9/98. Court will rule by mail. [120-1] Mailed notice (eav) (Entered: 11/05/1998)
11/10/1998	122	NOTICE by defendant Merrill Lynch Pierce of filing (1) Proposed Notice from the District Court; (2) Proposed Notice of Class Action Settlement Approval and Claims Process; and (3) Proposed Summary Publication Notice (eav) (Entered: 11/12/1998)
11/10/1998	123	NOTICE OF CLASS ACTION SETTLEMENT APPROVAL AND CLAIMS PROCESS filed by plaintiffs; Notice of filing (eav) (Entered: 11/13/1998)
11/10/1998	124	PROPOSED ADDITION TO THE FINAL NOTICE by plaintiffs and objection to defendant's proposed "Notice from the District Court"; Notice of filing (eav) (Entered: 11/13/1998)
11/12/1998	125	MINUTE ORDER of 11/12/98 by Hon. Ruben Castillo : After a careful review of all the relevant pleadings concerning Merrill Lynch's motion for a protective order and the forms of proposed notices from both parties, the Court hereby officially approves and accepts the use of the modified notice of class action settlement proposal filed by defendant's counsel by fax on 11/10/98. However, the Court will only use the modified notice and will not use defendant's proposed separate notice relating to the recent letter from plaintiffs' class counsel. Mailed notice (eav) (Entered: 11/13/1998)
11/13/1998	126	RESPONSE by defendant Merrill Lynch Pierce to plaintiffs' objections to the proposed Notice from the District Court and to plaintiffs' proposed additions to the Notice of Class Action Settlement and Claims Process (Attachments); Notice of filing (eav) (Entered: 11/17/1998)
12/09/1998	127	EMERGENCY MOTION by plaintiffs for temporary restraining order ; Notice of motion (eav) (Entered: 12/10/1998)
12/09/1998	128	MINUTE ORDER of 12/9/98 by Hon. Ruben Castillo : Telephone conference held on plaintiff's emergency motion for TRO. Plaintiff's emergency motion for temporary restraining order is denied. [127-1] Mailed notice (eav) (Entered: 12/10/1998)
12/23/1998	129	MOTION by plaintiffs for leave of Court to file appearances of additional counsel (Attachment); Notice of motion (eav) (Entered: 01/06/1999)

01/05/1999	130	ATTORNEY APPEARANCE for plaintiffs by Thomas R. Meites, Michael M. Mulder, Shona B. Glink (eav) Modified on 01/28/1999 (Entered: 01/06/1999)
01/05/1999	131	MINUTE ORDER of 1/5/99 by Hon. Ruben Castillo : Plaintiffs' motion for leave of Court to file appearances of additional counsel is granted. Thomas R. Meites, Michael M. Mulder and Shona B. Glink are granted leave to file their appearances on behalf of plaintiffs. [129-1] Mailed notice (eav) (Entered: 01/06/1999)
01/07/1999	132	MEMORANDUM by defendant Merrill Lynch Pierce in support of motion for an order under Fed. R. Civ. P.23(d) requiring that a corrective notice be sent to class members (Exhibits); Notice of filing (eav) (Entered: 01/08/1999)
01/07/1999	135	MOTION by defendant Merrill Lynch Pierce for an order under Fed.R.Civ.P.23(d) requiring that a corrective notice be sent to class members ; Notice of motion (eav) (Entered: 01/13/1999)
01/11/1999	133	ATTORNEY APPEARANCE for plaintiffs by Thomas R. Meites, Michael M. Mulder and Shona B. Glink (eav) (Entered: 01/11/1999)
01/11/1999	134	NOTICE by plaintiffs of filing attorney appearance [133-1] (eav) (Entered: 01/11/1999)
01/12/1999	136	MINUTE ORDER of 1/12/99 by Hon. Ruben Castillo : Motion of defendant Merrill Lynch for an order under Fed.R.Civ.P.23(d) requiring that a corrective notice be sent to class members is voluntarily withdrawn. [135-1] No notice (eav) (Entered: 01/13/1999)
04/06/1999	137	MEMORANDUM by defendant Merrill Lynch Pierce of law in support to enforce final order and judgment approving class action settlement and dismissing claims; Notice of filing (eav) (Entered: 04/07/1999)
04/06/1999	139	MOTION by defendant Merrill Lynch Pierce to enforce final order and judgment approving class action settlement and dismissing claims against class member Margaret Kidd Duncan with Declaration of Mark S. Dichter (Exhibits); Notice of motion (eav) (Entered: 04/14/1999)
04/12/1999	138	RESPONSE by defendant Merrill Lynch Pierce to Margaret Kidd Duncan's motion to transfer venue (Attachment); Notice of filing (eav) (Entered: 04/13/1999)
04/12/1999	140	RESPONSE by defendant Merrill Lynch Pierce to Margaret

		Kidd Duncan's motion to dismiss (Attachment); Notice of filing (eav) (Entered: 04/14/1999)
04/12/1999	141	MOTION by Margaret Kidd Duncan to transfer venue of Merrill Lynch's claim for permanent injunction against her (Exhibits) (eav) (Entered: 04/14/1999)
04/12/1999	142	MOTION by Margaret Kidd Duncan to cancel and reschedule hearing improperly scheduled on defendant's Merrill Lynch's claim for a permanent injunction on the merits and Merrill Lynch's motion to preclude discovery and motion for sanctions and Margaret Kidd Duncan's motion for expedited submission of this motion for ruling in order to avoid travel from Corpus Christi, Texas to Chicago (Exhibits) (Exhibits); (eav) (Entered: 04/14/1999)
04/12/1999	143	RESPONSE by defendant Merrill Lynch Pierce to Margaret Kidd Duncan's motion to cancel and reschedule hearing [142-1] (Attachment); Notice of filing (eav) (Entered: 04/14/1999)
04/13/1999	144	REPLY by Margaret Kidd Duncan to Merrill Lynch's motion for protective order to preclude all discovery in claims resolution process (Exhibits) (eav) (Entered: 04/14/1999)
04/13/1999	145	MOTION by Margaret Kidd Duncan to dismiss Merrill Lynch's claim for a permanent injunction against her based on its failure to state a claim sufficient to grant a permanent injunction (Attachments) (eav) (Entered: 04/14/1999)
04/13/1999	146	MINUTE ORDER of 4/13/99 by Hon. Ruben Castillo : Defendants' motion to enforce final order and judgment approving class action settlement and [139-1] dismissing claims against class member Margaret Kidd Duncan is granted. [139-2] Mailed notice (eav) (Entered: 04/14/1999)
04/13/1999	147	MINUTE ORDER of 4/13/99 by Hon. Ruben Castillo : Margaret Kidd Duncan's motion to cancel and reschedule hearing improperly scheduled on defendant's Merrill Lynch's claim for a permanent injunction on the merits and Merrill Lynch's motion to preclude discovery and motion for sanctions and Margaret Kidd Duncan's motion for expedited submission of this motion for ruling in order to avoid travel from Corpus Christi, Texas to Chicago are denied. [142-1] Mailed notice (eav) (Entered: 04/14/1999)
04/13/1999	148	MINUTE ORDER of 4/13/99 by Hon. Ruben Castillo : Class member Margaret Duncan's motion to transfer venue of Merrill Lynch's claim for permanent injunction against her is denied.

		[141-1] Mailed notice (eav) (Entered: 04/14/1999)
04/13/1999	149	MINUTE ORDER of 4/13/99 by Hon. Ruben Castillo : Class member Margaret Kidd Duncan's motion to dismiss Merrill Lynch's claim for a permanent injunction against her based on its failure to state a claim sufficient to grant a permanent injunction is denied. [145-1] Mailed notice (eav) (Entered: 04/14/1999)
04/13/1999	150	MINUTE ORDER of 4/13/99 by Hon. Ruben Castillo : Gary Norton is granted leave to appear pro hac vice on behalf of class member Margaret Kidd Duncan. Mailed notice (eav) (Entered: 04/14/1999)
04/29/1999	151	TRANSCRIPT of proceedings for the following date(s): 11/4/98 and 4/13/99 Before Honorable Ruben Castillo (2 vols.: 151-1 and 151-2) (eav) (Entered: 04/30/1999)
05/10/1999	152	ATTORNEY APPEARANCE for Margaret Kidd Duncan by Gary Norton (eav) (Entered: 05/11/1999)
05/10/1999	153	LETTER from Gary Norton to Mr. Dobbins dated 5/7/99 (eav) (Entered: 05/12/1999)
05/10/1999	154	MOTION by Margaret Kidd Duncan for reconsideration of order that her State Court action against individuals be dismissed based on the continuing failures of Merrill Lynch and the individuals to comply with conditions of stipulation of settlement (Attachments); Notice of motion (Exhibits); Notice of motion (eav) (Entered: 05/12/1999)
05/11/1999	155	RESPONSE by defendant Merrill Lynch Pierce to Margaret Kidd Duncan's motion for reconsideration [154-1] (Attachments); Notice of filing (eav) (Entered: 05/12/1999)
05/12/1999	156	ORDER regarding motion for reconsideration of order that her State Court action against individuals be dismissed based on the continuing failures of Merrill Lynch and the individuals to comply with conditions of stipulation of settlement [154-1] (eav) (Entered: 05/13/1999)
05/12/1999	157	MINUTE ORDER of 5/12/99 by Hon. Ruben Castillo : Enter Order. Margaret Kidd Duncan's motion for reconsideration. [154-1] Mailed notice (eav) (Entered: 05/13/1999)
05/24/1999	158	MOTION by Margaret Kidd Duncan to vacate Court's order on 5/12/99 (eav) (Entered: 05/25/1999)
05/26/1999	159	NOTICE by Marybeth Cremin, Anne Kaspar, Nancy Thomas, Angelo Covo, Sonia Ingram, Anne Marie Kearney, Linda Conti

		and Alice Moss of change name change (jmp) (Entered: 05/27/1999)
05/26/1999	160	ALTERNATIVE MOTION by Margaret Kidd Duncan for findings of fact and conclusions of law necessary to support the court's order signed on May 12 and entered on May 13, 1999 (ar) (Entered: 05/28/1999)
05/26/1999	161	MOTION by Margaret Kidd Duncan for new trial (Attachment) (ar) (Entered: 05/28/1999)
06/09/1999	162	RESPONSE by defendant Merrill Lynch Pierce to Margaret Kidd Duncan's motion to vacate [158-1] motion for new trial, and alternative motion [161-1] for findings of fact and conclusions of law [160-1] (Attachments); Notice of filing (eav) (Entered: 06/10/1999)
06/09/1999	163	MOTION by defendant Merrill Lynch Pierce for leave to file brief in excess of 15 pages ; Notice of filing (eav) (Entered: 06/10/1999)
06/10/1999	164	MINUTE ORDER of 6/10/99 by Hon. Ruben Castillo : Defendant's motion for leave to file brief in excess of 15 pages is granted. [163-1] Mailed notice (eav) (Entered: 06/11/1999)
06/18/1999	165	ORDER (eav) Modified on 06/21/1999 (Entered: 06/21/1999)
06/18/1999	166	MINUTE ORDER of 6/18/99 by Hon. Ruben Castillo : Enter order. Margaret Duncan's motion to vacate [158-1], motion for new trial [161-1] and alternative motion for findings of fact and conclusions of law necessary to support the Court's order signed on May 12 and entered on May 13, 1999 [160-1] are all denied. Mailed notice (eav) Modified on 06/21/1999 (Entered: 06/21/1999)
06/18/1999	167	ORDER (eav) (Entered: 06/21/1999)
06/18/1999	168	MINUTE ORDER of 6/18/99 by Hon. Ruben Castillo : Enter order. Defendants' motion for leave to file brief in excess of 15 pages is granted. Mailed notice (eav) (Entered: 06/21/1999)
07/16/1999	169	NOTICE OF APPEAL by unknown Margaret Kidd Duncan motion minute order [168-1] from motion minute order [168-1], order [167-1] from order [167-1], motion minute order [166-1] from motion minute order [166-1], order [165-1] from order [165-1], motion minute order [157-1] from motion minute order [157-1], motion order [156-1] from motion order [156-1], motion minute order [149-1] from motion minute order [149-1], motion minute order [148-1] from motion minute order [148-1],

		motion minute order [147-1] from motion minute order [147-1], motion minute order [146-1] from motion minute order [146-1] (\$105.00 Paid) (las) Modified on 08/04/1999 (Entered: 07/27/1999)
07/21/1999	185	MOTION by plaintiffs for rule to show cause why Merrill Lynch should not be found in contempt of this Court's final judgment approving the stipulation of settlement (Attachments); Notice of motion (eav) (Entered: 08/04/1999)
07/26/1999	170	LETTER from Gary Norton to Mr. Dobbins dated 7/23/99 (eav) (Entered: 07/29/1999)
07/26/1999	171	DESIGNATION by Margaret Kidd Duncan of appeal record (eav) (Entered: 07/29/1999)
07/26/1999	172	NOTICE by class member Margaret Duncan of filing transcript of proceedings before the Honorable Ruben Castillo on 4/13/99 to be included in Record for Appeal (eav) (Entered: 07/29/1999)
07/26/1999	173	CLAIM FORM of Margaret Kidd Duncan; Notice of filing (eav) (Entered: 07/29/1999)
07/26/1999	174	INTERIM CLAIMS of Margaret Kidd Duncan arising from continuing discriminatory conduct and other wrongful conduct; Notice of filing (eav) (Entered: 07/29/1999)
07/26/1999	175	AMENDED CLAIM FORM of Margaret Kidd Duncan to facilitate mediation of all claims; Notice of filing (eav) (Entered: 07/29/1999)
07/26/1999	176	REQUEST by Margaret Kidd Duncan for Mediation; Notice of filing (eav) (Entered: 07/29/1999)
07/27/1999		MAILED LETTER regarding docketing statement unacknowledged by unknown Margaret Kidd Duncan . (las) (Entered: 07/27/1999)
07/27/1999		TRANSMITTED to the 7th Circuit the short record on appeal appeal [169-1] . Mailed notice to all counsel. (las) (Entered: 07/27/1999)
07/29/1999	177	ACKNOWLEDGEMENT of receipt of short record on appeal [169-1] USCA 99-2864 (eav) (Entered: 07/30/1999)
07/30/1999	178	EMERGENCY MOTION by plaintiff for temporary restraining order ; Emergency notice of motion (eav) (Entered: 08/02/1999)
07/30/1999	179	MINUTE ORDER of 7/30/99 by Hon. Rebecca R. Pallmeyer : Plaintiff's emergency motion for temporary restraining order is

		entered and continued until Tuesday 8/3/99 when the parties will appear before District Judge Robert Castillo. [178-1] Claimants not to return to their office until further ruling of the court. No notice (eav) (Entered: 08/02/1999)
08/02/1999	180	RESPONSE by defendant Merrill Lynch Pierce to emergency motion for temporary restraining order [178-1]; Notice of filing (eav) (Entered: 08/03/1999)
08/02/1999	181	RESPONSE by defendant Merrill Lynch Pierce to plaintiffs' motion for rule of show cause why Merrill Lynch should not be found in contempt of this court's final judgment approving the stipulation of settlement; Notice of filing (eav) (Entered: 08/03/1999)
08/02/1999	183	MEMORANDUM by plaintiffs in support of motion for rule to show cause (Attachments); Notice of filing (eav) (Entered: 08/03/1999)
08/02/1999	184	MEMORANDUM by plaintiffs of law in support of emergency motion for temporary restraining order [178-1] (Attachments); Notice of filing (eav) (Entered: 08/03/1999)
08/03/1999	182	NOTICE by plaintiffs attorneys of filing attached Resignation Letter of Lisa Van Couyghen and Elizabeth Repass (Attachments) (eav) (Entered: 08/03/1999)
08/03/1999	186	MINUTE ORDER of 8/3/99 by Hon. Ruben Castillo : Status hearing set for 9/14/99 at 8:30 a.m. Parties to file a status report on or before 9/7/99. Plaintiffs emergency motion for temporary restraining order [178-1] is denied. Plaintiffs' motion for rule to show cause why Merrill Lynch should not be found in contempt of this Court's final judgment approving the stipulation of settlement is denied [185-1] without prejudice for the reasons stated in open court. Plaintiffs' emergency motion for leave to file exhibits under seal is denied. Mailed notice (eav) (Entered: 08/04/1999)
08/05/1999	187	ORDER from the 7th Circuit. It is ordered that appellant, on or before 8/17/99, file a brief memorandum stating why this appeal should not be dismissed for lack of jurisdiction. A motion for voluntary dismissal pursuant to Fed. R. App. P. 42(b) will satisfy this requirement. Briefing shall be suspended pending further court order. (99-2864) (eav) (Entered: 08/06/1999)
08/06/1999	188	SUPPLEMENTAL request by Margaret Kidd Duncan for record for appeal (yap) (Entered: 08/09/1999)
08/06/1999	189	SEVENTH CIRCUIT transcript information sheet (yap)

		(Entered: 08/09/1999)
08/10/1999	190	CERTIFIED copy of Order from the 7th Circuit: The court, on its own motion, vacates the order issued on 08/04/99, directing appellant to file a jurisdictional memorandum (99-2864). (dmkf) (Entered: 08/11/1999)
08/24/1999	191	TRANSCRIPT of proceedings for the following date(s): 12/9/98 appeal [169-1] Before Honorable Ruben Castillo (eav) (Entered: 08/25/1999)
09/03/1999		TRANSMITTED to the 7th Circuit the long record on appeal no. 99-2864 consisting of six volumes of pleadings, seven volumes of transcripts, one vault item and eight volumes of loose pleadings.Mailed notice to all counsel. (las) (Entered: 09/03/1999)
09/07/1999	192	STATUS REPORT by defendant Merrill Lynch Pierce (Attachments); Notice (fce) (Entered: 09/08/1999)
09/07/1999	193	STATUS REPORT by plaintiffs; Notice of filing (eav) (Entered: 09/09/1999)
09/07/1999	194	EXHIBITS by plaintiffs to status report [193-1] (eav) (Entered: 09/09/1999)
09/08/1999		SCHEDULE set on 9/8/99 by Hon. Ruben Castillo : Status hearing reset to 10:00 a.m. on 9/14/99. Parties are to note that all that is being changed is the time of the status hearing and not the date. mailed notice (rao) (Entered: 09/08/1999)
09/08/1999	197	MOTION by plaintiffs for leave to file instant corrected status report ; Notice of motion (eav) (Entered: 09/15/1999)
09/13/1999	195	RESPONSE by defendant Merrill Lynch Pierce to plaintiffs' status report [193-1] (Attachments); Notice of filing (eav) (Entered: 09/14/1999)
09/13/1999	196	TRANSCRIPT of proceedings for the following date(s): 8/3/99 appeal [169-1] Before Honorable Ruben Castillo (eav) (Entered: 09/14/1999)
09/14/1999		TRANSMITTED on 9/14/99 to the 7th Circuit supplement to appeal no. 99-2864 consisting of one transcript, document no. 196 and certified copy of docket sheet. Mailed notice to all counsel. (eav) (Entered: 09/14/1999)
09/14/1999	198	MINUTE ORDER of 9/14/99 by Hon. Ruben Castillo : Status hearing held and continued to 10/13/99 at 9:00 a.m. Plaintiffs' motion for leave to file instant corrected status report is

		granted. [197-1] Plaintiffs to file their status report by 10/7/99. Defendants to file their next status report by 10/12/99. Mailed notice (eav) (Entered: 09/15/1999)
09/14/1999	199	CORRECTED STATUS REPORT by plaintiffs (Attachment) (eav) (Entered: 09/15/1999)
09/16/1999	200	SUPPLEMENTAL DECLARATION of Westina Matthews; Notice of filing (eav) (Entered: 09/17/1999)
09/30/1999	201	SECOND AMENDED INTERIM CLAIM-Artemis Phillip (Attachment) (eav) (Entered: 10/04/1999)
09/30/1999	202	AMENDED SUPPLEMENTAL by claimant Maureen Ayril statement of interim and retaliation claims (eav) (Entered: 10/04/1999)
10/05/1999		SCHEDULE set on 10/5/99 by Hon. Ruben Castillo : Parties oral request to reset the status hearing is granted. Status hearing reset to 10:00 a.m. on 10/27/99. Parties to file a status report on or before 10/18/99. mailed notice (rao) (Entered: 10/05/1999)
10/14/1999		SCHEDULE set on 10/14/99 by Hon. Ruben Castillo : Telephone status conference held. Status hearing reset to 12:00 p.m. on 11/18/99. Parties to file a status report on or before 11/15/99. mailed notice (rao) (Entered: 10/14/1999)
10/26/1999	203	TRANSCRIPT of proceedings for the following date(s): 9/14/99 appeal [169-1] Before Honorable Ruben Castillo (eav) (Entered: 10/27/1999)
10/27/1999		TRANSMITTED to the 7th Circuit supplement to appeal no. 99-2864 consisting of one transcript, document number 203 and certified copy of docket sheet. Mailed notice to all counsel. (eav) (Entered: 10/27/1999)
11/15/1999	204	STATUS REPORT by plaintiffs; Notice of filing (eav) (Entered: 11/17/1999)
11/15/1999	205	STATUS REPORT TO THE COURT by defendant Merrill Lynch Pierce; Notice of filing (eav) (Entered: 11/17/1999)
11/18/1999		SCHEDULE set on 11/18/99 by Hon. Ruben Castillo : Status hearing held and continued to 12:00 p.m. on 1/27/00. Parties to file their status reports on or before 1/19/00. Ms. Nancy Thomas and Ms. Andrea Wood are granted leave to file an individual status report by 12/2/99. mailed notice (rao) (Entered: 11/18/1999)
12/06/1999	206	TRANSCRIPT of proceedings for the following date(s):

		11/18/99 appeal [169-1] Before Honorable Ruben Castillo (eav) (Entered: 12/07/1999)
12/06/1999		TRANSMITTED on 12/7/99 to the 7th Circuit supplement to appeal no. 99-2864 consisting of one transcript, doc. no. 206 and certified copy of docket sheet. Mailed notice to all counsel. (eav) (Entered: 12/07/1999)
12/23/1999	207	CERTIFIED COPY of order from the 7th Circuit: It is ordered that this cause is Dismissed [169-1], pursuant to Federal Rule of Appellate Procedure 42(b) and Circuit Rule 33. (99-2864) (Attachment) (eav) (Entered: 12/27/1999)
12/23/1999	208	LETTER from the 7th Circuit: Retaining record on appeal no. 99-2864 (eav) (Entered: 12/27/1999)
12/23/1999	209	THIRD AMENDED INTERIM CLAIM by claimant Artemis Phillip (Attachment) (eav) (Entered: 12/27/1999)
01/10/2000	210	LETTER from the 7th Circuit returning the record on appeal no. 99-2864 consisting of 6 volumes of pleadings, 8 loose pleadings, 10 volumes transcripts and 1 in camera material (eav) (Entered: 01/11/2000)
01/19/2000	211	STATUS REPORT by plaintiffs; Notice of filing (eav) (Entered: 01/20/2000)
01/19/2000	212	STATUS REPORT by defendant Merrill Lynch Pierce to the court 1/19/2000; Notice of filing (eav) (Entered: 01/20/2000)
01/26/2000		SCHEDULE set on 1/26/00 by Hon. Ruben Castillo : Status hearing reset to 12:30 p.m. on 3/17/00. Plaintiffs to file a status report on or before 3/13/00. Defendants to file a status report, if any, on or before 3/15/00. mailed notice (rao) (Entered: 01/26/2000)
02/07/2000	213	NOTICE by defendant's counsel of change of address; Notice of filing (eav) (Entered: 02/08/2000)
02/11/2000	214	FOURTH AMENDED INTERIM CLAIM by Artemis Phillip (Attachment) (eav) (Entered: 02/15/2000)
03/01/2000	218	MOTION by claimants Maureen Ayril, Nicole Pisklo, Cheryl Smith for leave to be heard at status conference ; Notice of hearing (eav) (Entered: 03/20/2000)
03/01/2000	219	STATUS REPORT by CRP claimant Cheryl Smith (Attachments) (eav) (Entered: 03/20/2000)
03/01/2000	220	STATUS REPORT by CRP claimant Nicole Pisklo (eav)

		(Entered: 03/20/2000)
03/01/2000	221	STATUS REPORT by CRP claimant Maureen Ayril (Attachments) (eav) (Entered: 03/20/2000)
03/13/2000	215	STATUS REPORT by plaintiffs; Notice of filing (eav) (Entered: 03/14/2000)
03/15/2000	216	STATUS REPORT by defendant Merrill Lynch Pierce to the court 3/15/2000; Notice of filing (eav) (Entered: 03/16/2000)
03/16/2000	217	OPPOSITION by defendant Merrill Lynch Pierce and class counsel to motion for leave to be heard at status conference; Notice of filing (eav) (Entered: 03/17/2000)
03/17/2000	222	MINUTE ORDER of 3/17/00 by Hon. Ruben Castillo : Status hearing held and continued to 4/28/2000 at 12:30 p.m. Claimant's motion for leave to be heard at status conference is granted. [218-1] Plaintiffs to file any status report on or before 4/24/00. Defendants to file any status report on or before 4/26/00. Mailed notice (eav) (Entered: 03/20/2000)
03/31/2000		SCHEDULE set on 3/31/00 by Hon. Ruben Castillo : Telephone status conference held in chambers. no notice (rao) (Entered: 03/31/2000)
04/12/2000	223	FIFTH AMENDED INTERIM CLAIM by claimant Artemis Phillip [214-1]; Verification of Laura Allan (hp) (Entered: 04/13/2000)
04/24/2000	224	STATUS REPORT by plaintiffs; Notice of filing. (dmkf) (Entered: 04/25/2000)
04/26/2000		SCHEDULE set on 4/26/00 by Hon. Ruben Castillo : After a careful review of Plaintiffs' status report dated 4/24/00, the Court requests plaintiffs to file another status report on or before 6/8/00. Status hearing reset to 12:30 p.m. on 6/15/00. Status hearing set for 4/28/00 is vacated. mailed notice (rao) (Entered: 04/26/2000)
04/26/2000	225	STATUS REPORT by defendant Merrill Lynch Pierce; Notice. (mbw) (Entered: 04/27/2000)
06/09/2000	226	JOINT STATUS REPORT by parties; Notice (hp) (Entered: 06/12/2000)
06/13/2000		SCHEDULE set on 6/13/00 by Hon. Ruben Castillo : After a careful review of the parties joint status report dated 6/8/00, the Court requests the parties to file another status report on or before 8/10/00. Status hearing set for 6/15/00 is stricken. No

		further status hearings will be scheduled until requested and ordered by the Court. mailed notice (rao) (Entered: 06/13/2000)
07/19/2000	227	MINUTE ORDER of 7/19/00 by Hon. Ruben Castillo : (Entered Order Approving Additional Class Counsels' Attorneys' Fees) Mailed notice (hp) (Entered: 07/20/2000)
08/10/2000	228	JOINT STATUS REPORT by parties (hp) (Entered: 08/14/2000)
08/15/2000		SCHEDULE set on 8/15/00 by Hon. Ruben Castillo : The Court has reviewed the joint status report filed by the parties on 8/10/00. Parties to file another joint status report on or before 9/26/00. mailed notice (rao) (Entered: 08/15/2000)
09/28/2000	229	JOINT AND AGREED MOTION by parties for leave to file joint status report instanter (Attachment); Notice (hp) (Entered: 10/02/2000)
09/29/2000	230	MINUTE ORDER of 9/29/00 by Hon. Ruben Castillo : Joint and agreed motion for leave to file joint status report instanter is granted. [229-1] The Court has reviewed the joint status report and directes the parties to file another joint status reprot by 12/05/00. Mailed notice (hp) (Entered: 10/02/2000)
11/27/2000	232	JOINT MOTION by parties to amend the stipulation of settlement (Attachment); Notice (hp) (Entered: 12/06/2000)
12/05/2000	231	JOINT STATUS REPORT by parties; Notice (hp) (Entered: 12/06/2000)
12/05/2000	233	MINUTE ORDER of 12/5/00 by Hon. Ruben Castillo : JOINT motion to amend the stipulation of settlement is granted without objection. [232-1] Mailed notice (hp) (Entered: 12/06/2000)
12/07/2000		SCHEDULE set on 12/7/00 by Hon. Ruben Castillo : After a review of the parties joint status report of 12/5/00, the Court hereby requests the parties to submit another joint status report on or before 1/31/01. mailed notice (rao) (Entered: 12/07/2000)
01/31/2001	234	JOINT STATUS REPORT by defendants and plaintiffs. (fce) (Entered: 02/01/2001)
02/01/2001		SCHEDULE set on 2/1/01 by Hon. Ruben Castillo : The Court has completed its review of the parties' 1/31/01 joint status report and commends the parties for the progress on resolving a significant number of claims. The parties are requested to submit another status report on or before 3/30/01. mailed notice (rao) (Entered: 02/01/2001)

03/30/2001	235	STATUS REPORT by defendant Merrill Lynch Pierce and plaintiffs Marybeth Cremin, Anne Kaspar, Nancy Thomas, Angelo Covo, Sonia Ingram, Anne Marie Kearney, Linda Conti, Alice Moss; Notice. (fce) (Entered: 04/02/2001)
05/14/2001		SCHEDULE set on 5/14/01 by Hon. Ruben Castillo : The Court has completed its review of the 3/30/01 status report. The parties are requested to submit another status report on or before 7/2/01. mailed notice (rao) (Entered: 05/14/2001)
06/18/2001		SCHEDULE set on 6/18/01 by Hon. Ruben Castillo : Telephone status conference set for 11:00 a.m. on 6/25/01. no notice (rao) (Entered: 06/18/2001)
07/02/2001	236	JOINT STATUS REPORT by defendant Merrill Lynch Pierce, plaintiffs; Notice. (lc) (Entered: 07/05/2001)
07/03/2001		SCHEDULE set on 7/3/01 by Hon. Ruben Castillo : The Court has reviewed the parties 7/2/01 status report and hereby requests the parties to file another status report on or before 8/31/01. mailed notice (rao) (Entered: 07/03/2001)
07/05/2001	237	REQUEST by class member Laura Hagan for third-stage hearing . (fce) (Entered: 07/06/2001)
08/31/2001		SCHEDULE set on 8/31/01 by Hon. Ruben Castillo : Status hearing set for 9/13/01 at 10:00 a.m. in open court. mailed notice (rao) (Entered: 08/31/2001)
09/13/2001		SCHEDULE set on 9/13/01 by Hon. Ruben Castillo : Status hearing reset to 10/3/01 at 9:45 a.m. mailed notice (rao) (Entered: 09/13/2001)
10/03/2001	238	MINUTE ORDER of 10/3/01 by Hon. Ruben Castillo : Class members' motion for a third-stage hearing is granted [237-1]. Status hearing held and continued to 10/31/01 at 9:30a.m. Mailed notice (lc) (Entered: 10/04/2001)
10/09/2001	244	PETITION by plaintiffs' counsel Mary Stowell of Stowell & Friedman to adjudicate attorney's lien (Attachments); Notice. (lc) (Entered: 10/17/2001)
10/12/2001	239	AFFIDAVIT of William A. Jacobson in support of objection of Elizabeth C. Repass to "petition" to adjudicate attorney's lien (Attachments). (lc) (Entered: 10/15/2001)
10/12/2001	240	AFFIDAVIT of Elizabeth C. Repass in support of her objection to "petition" to adjudicate attorney's lien (Attachment). (lc) (Entered: 10/15/2001)

10/12/2001	241	OBJECTION of Elizabeth C. Repass to "petition" to adjudicate attorney's lien. (lc) (Entered: 10/15/2001)
10/12/2001	242	MEMORANDUM of Law in support objection [241-1] of Elizabeth Repass to "petition" to adjudicate attorney's lien. (lc) (Entered: 10/15/2001)
10/12/2001	246	ATTORNEY APPEARANCE for unknown party Elizabeth C. Repass by Carl E. Metz II, Steven R. Johnson. (fce) (Entered: 10/19/2001)
10/15/2001	243	RESPONSE by defendant Merrill Lynch Pierce to Stowell & Friedman's petition to adjudicate attorney's lien; Notice. (lc) (Entered: 10/16/2001)
10/16/2001	245	MINUTE ORDER of 10/16/01 by Hon. Ruben Castillo : Stowell & Friedman, Ltd.'s petition to adjudicate attorney's lien is denied [244-1] without prejudice for the reasons stated in open court. No notice (lc) (Entered: 10/17/2001)
10/31/2001		SCHEDULE set on 10/31/01 by Hon. Ruben Castillo : Status hearing held. Telephone status conference set for 11/27/01 at 11:00 a.m. mailed notice (rao) (Entered: 11/01/2001)
11/27/2001		SCHEDULE set on 11/27/01 by Hon. Ruben Castillo : Telephone status conference held. mailed notice (rao) (Entered: 11/27/2001)
12/03/2001	247	STIPULATION by parties of counsel concerning statistical evidence hearing; Notice. (lc) (Entered: 12/04/2001)
01/15/2002	248	NOTICE by claimants Maureen Ayril, Cheryl Smith counsel of change of address. (lc) (Entered: 01/17/2002)
02/22/2002	249	MOTION by claimant Helen Salazar for enlargement of time to conducting the third-stage hearing on Salazar's claim . (lc) (Entered: 02/26/2002)
02/22/2002	250	APPLICATION for leave to appear pro hac vice by Paul Haralson for claimant Helen Salazar ; Order entered granting leave by Hon. Ruben Castillo. (lc) (Entered: 02/26/2002)
02/25/2002	251	MINUTE ORDER of 2/25/02 by Hon. Ruben Castillo : Claimant, Helen Salazar's motion for enlargement of time is granted [249-1]. The third-stage hearing on claimant, Helen Salazar's claim is hereby extended to 06/30/02. (Entered Order Granting Motion for Enlargement of Time.) Mailed notice (lc) (Entered: 02/26/2002)
03/07/2002	252	NOTICE by unknown Elizabeth C. Repass' counsel of change

		of address. (lc) (Entered: 03/08/2002)
03/15/2002	253	LETTER from plaintiff Sonia Ingram dated 03/02/02 to Judge Castillo (Attachment). (lc) Modified on 03/18/2002 (Entered: 03/18/2002)
03/15/2002	254	LETTER from Stephanie B. Franco dated 02/27/02 Judge Castillo. (lc) (Entered: 03/18/2002)
03/15/2002	255	MINUTE ORDER of 3/15/02 by Hon. Ruben Castillo : After a review of the parties recent correspondence, which is hereby made part of the court record (without attachments), regarding the settlement of claims class memeber Sonia Ingram, the court will give Ms. Ingram until 05/13/02 to secure new counsel and file a court pleading which indicates her view of the alleged settlement reached on 01/10/02. Counsel are also requested to file a joint status report on or before 5/15/02 which reports on the status of the overall class settlement process and Ms. Ingram's case in particular. All pleadings are to be filed with the clerk's office and not chambers. Mailed notice (lc) (Entered: 03/18/2002)
03/26/2002	256	NOTICE by plaintiff Sonia Ingram of substitution of counsel of Ares Demetrius Axiotis. (lc) (Entered: 04/08/2002)
04/19/2002	257	LETTER dated 04/19/02 from Sarah M. Lewis to Judge Castillo. (lc) (Entered: 04/23/2002)
04/22/2002	258	MINUTE ORDER of 4/22/02 by Hon. Ruben Castillo : The court received a faxed letter from Sarah M. Lewis. The court will make the letter a part of the record. The parties should address this letter in the status report that is due on or before 05/15/02. Mailed notice notice (lc) (Entered: 04/23/2002)
05/15/2002	259	JOINT STATUS REPORT by defendant Merrill Lynch Pierce (Attachment); Notice. (lc) (Entered: 05/16/2002)
05/16/2002	260	LETTER dated 05/15/02 from Stephanie B. Franco to Judge Castillo; Notice. (lc) (Entered: 05/17/2002)
05/17/2002	261	MINUTE ORDER of 5/17/02 by Hon. Ruben Castillo : After a review of this parties 05/15/02 joint status report, the court commends the parties for the resolution of 84% of the class member claims and the overall progress in this case. Merrill Lynch is also given utinl 06/28/02 to reprot to the court on the Ingram and Lewis individual class member cases. The next written status report will be due on or before 6/28/02. Mailed notice (lc) (Entered: 05/20/2002)

06/27/2002	262	JOINT STATUS REPORT; Notice. (gcy) (Entered: 06/28/2002)
07/09/2002	263	MINUTE ORDER of 7/9/02 by Hon. Ruben Castillo : After a review of the parties 06/27/02 joint status report, the court commends the parties for having resolved 90% of class member claims. The next written status report will be due on or before 08/30/02 and should include reports on the claims of Ms. Ingram, Ms. Lewis, and Ms. Weil. Ms. Weil's request for an extension is denied without prejudice while she submits her request to the Claims Resolution Process Administrators. Mailed notice (lc) (Entered: 07/10/2002)
07/18/2002	264	Notice of Geoffrey M. Faust of claim for a contractual lien for legal services furnished to claimant Judy Weil. (fce) (Entered: 07/19/2002)
08/30/2002	265	JOINT STATUS REPORT by parties; Notice. (lc) (Entered: 09/03/2002)
09/23/2002	266	AGREED MOTION by defendant for approval of confidentiality agreement and for protective order (Attachments); Notice. (lc) (Entered: 10/02/2002)
09/30/2002	268	NOTICE by Geoffrey M Faust of contractual lien (Attachment). (lc) (Entered: 10/02/2002)
10/01/2002	267	MINUTE ORDER of 10/1/02 by Hon. Ruben Castillo : Parties' agreed motion for approval of confidentiality agreement [266-1] and protective order [266-2] is granted. Mailed notice (lc) (Entered: 10/02/2002)
10/04/2002	269	LETTER dated 09/18/02 from defendants Joseph Gannotti, Merrill Lynch Pierce's counsel to Judge Castillo; Notice. (lc) (Entered: 10/07/2002)
10/10/2002	270	NOTICE by plaintiff Sonia Ingram's former counsel of attorney fee and cost lien relating to resolution of claims of Sonia Ingram (Attachment). (lc) (Entered: 10/15/2002)
12/11/2002	271	MINUTE ORDER of 12/11/02 by Hon. Ruben Castillo: The court has reviewed all recently filed pleadings in this case and again commends the parties for their progress in the full implementation of this complex settlement. The court also reluctantly declares Ms. Ingram's settlement null and void and directs the parties to continue processing Ms. Ingram's claim in accordance with the Claim Resolution Process established under the settlement stipulation. Parties to file a joint written status report on or before 1/15/03. This status report should specifically address the request for public information by class

		member Ms. Weil, who is hereby given until 12/31/02 to secure new representation. Mailed notice (lc) (Entered: 12/12/2002)
12/18/2002	272	LETTER from Margaret Kidd Duncan, Constance Carpeno and Estella Perez's counsel dated 12/16/02 to the Clerk of Court. (lc) (Entered: 12/19/2002)
01/14/2003	273	LETTER dated 01/13/03 to Judge Castillo by class member claimants Karen A. Chakiryan, Suzanne c. Klusner, Jill B. Kremer, Barbara J. Mastellone and Jory S. Oleck-Affonso's counsel (Attachments). (lc) (Entered: 01/16/2003)
01/15/2003	274	JOINT STATUS REPORT by parties (Attachment); Notice. (lc) (Entered: 01/16/2003)
01/15/2003	275	LETTER dated 01/14/03 from defendant Merrill Lynch Pierce's counsel to Judge Castillo; Notice. (lc) (Entered: 01/16/2003)
01/16/2003	276	LETTER dated 01/16/03 from plaintiffs counsel to Judge Castillo; Notice. (lc) (Entered: 01/17/2003)
01/22/2003	277	STATEMENT by plaintiff Sonia Ingram of claim. (lc) (Entered: 01/24/2003)
01/22/2003	278	ATTORNEY AFFIRMATION by claimant (lc) (Entered: 01/24/2003)
01/22/2003	279	AFFIDAVIT of Sonia Ingram. (lc) (Entered: 01/24/2003)
01/22/2003	280	MEMORANDUM by plaintiff Sonia Ingram in opposition to declaration of impasse. (lc) (Entered: 01/24/2003)
01/31/2003	281	LETTER from defendants to the Honorable Ruben Castillo; Notice (cdy) (Entered: 02/03/2003)
02/04/2003	282	LETTER dated 02/04/03 to Judge Castillow from law firm of Stowell & Friedman, Ltd (Attachment). (lc) (Entered: 02/05/2003)
02/06/2003	283	LETTER dated 02/05/03 from defendants counsel to Judge Castillo; Notice. (lc) (Entered: 02/07/2003)
02/07/2003	284	LETTER dated 02/06/03 from defendant Merrill Lynch Pierce's counsel to Judge Castillo; Notice. (lc) (Entered: 02/10/2003)
02/12/2003	285	LETTER dated 02/11/03 to Judge Guzman by W. Dan Boone (Attachments). (lc) (Entered: 02/18/2003)
03/05/2003	286	NOTICE by Jill B. Kremer of interlocutory appeal under 7.11(2) of the stipulation of settlement; Affidavit of W. Van Boone in support (Attachments). (tln) (Entered: 03/07/2003)

03/06/2003	288	MOTION by defendant Merrill Lynch Pierce to strike or deny interlocutory appeal ; Notice. (lc) (Entered: 03/11/2003)
03/07/2003	287	MEMORANDUM by defendant Merrill Lynch Pierce in support of its motion to strike and/or deny Jill B. Kremer's interlocutory appeal (Attachment); Notice. (lc) (Entered: 03/10/2003)
03/10/2003	289	MINUTE ORDER of 3/10/03 by Hon. Ruben Castillo : Any response to defendant's motion to strike [288-1] or deny interlocutory appeal [288-2] is due on or before 03/24/03. Defendant's reply will be due on or before 03/31/03. The Court will rule on all pending issues on 04/08/03 at 10:00a.m. Status hearing set for 4/8/03 at 10:00a.m. Mailed notice (lc) (Entered: 03/11/2003)
03/24/2003	290	MEMORANDUM by Jill B Kremer clm in opposition to motion to strike [288-1] or deny appeal [288-2] (lc) (Entered: 03/25/2003)
03/24/2003	291	AFFIDAVIT of W. Dan Boone in opposition to motion to strike or deny interlocutory appeal (Attachments). (lc) (Entered: 03/25/2003)
03/31/2003	292	REPLY Memorandum by defendant Merrill Lynch Pierce in support of its motion to strike [288-1] and/or deny interlocutory appeal [288-2] (Attachments); Notice. (lc) (Entered: 04/01/2003)
04/07/2003	293	STATEMENT by class counsel Stowell & Friedman, Ltd. relating to the notice of interlocutory appeal filed by claimant Jill Kremer; Notice. (cem) (Entered: 04/08/2003)
04/08/2003	294	PETITION by plaintiffs for replacement of class counsel , disgorgement of all attorneys fees paid to class counsel and for such other relief as may be required to do justice to the class (Attachment). (lc) (Entered: 04/09/2003)
04/08/2003	295	MINUTE ORDER of 4/8/03 by Hon. Ruben Castillo : Plaintiff Sonia Ingram and class members Judy Weil, Lara Farnham, Karen Palla, Margaret Twonbly, Karen Linden, Jeanne Wagoner, Christine Negley, Frankie Paukner Andrews, Sirenetta Leoni and Celeste Basuino's petition for replacement of class counsel [294-1], disgorgement of all attorneys fees paid to class counsel for such other relief as may be required to do justice to the class [294-2] is denied. Mailed notice (lc) (Entered: 04/09/2003)
04/08/2003	296	LETTER dated 01/13/03 from claimants attorney to Judge

		Castillo (Attachments) (lc) (Entered: 04/09/2003)
04/08/2003	297	MINUTE ORDER of 4/8/03 by Hon. Ruben Castillo : Status hearing held and continued to 6/11/03 at 9:30a.m. Parties to file a joint status report on or before 6/9/03. Defendant's motion to strike [288-1] or deny interlocutory appeal [288-2] is granted. The interlocutory appeal of filed by claimant Jill Kremer is dismissed without prejudice. Claimant Rose Yuster's request for appointment of counsel is denied. Ms. Yuster is given until 06/06/03 to obtain new counsel. This court will not rule on any further letters addressed to the court. All parties are directed to file written motions with the Clerk of the Court and notice them on the appropriate motion call with a three business days notice. Mailed notice (lc) (Entered: 04/09/2003)
04/08/2003	298	MINUTE ORDER of 4/8/03 by Hon. Ruben Castillo: Anne Davis and Thomas Johnson of Johnson Jones Snelling Gilbert & Davis are granted leave to file their appearance on behalf of Mary Stowell, Linda Friedman of Stowell & Friedman Ltd. and the class plaintiffs. Mailed notice (air) (Entered: 04/11/2003)
04/25/2003	299	MOTION by petitioners for leave to file amended petition for replacement of class counsel, disgorgement of all attorneys fees paid to class counsel and for such other relief as may be required to do justice to the class ; Notice. (lc) (Entered: 04/29/2003)
04/25/2003	300	AMENDED PETITION by plaintiff for replacement of class counsel, disgorgement of all attorneys fees paid to class counsel for such other relief as may be required to do justice to the class [299-1] (Attachments). (lc) (Entered: 04/29/2003)
04/28/2003	301	MINUTE ORDER of 4/28/03 by Hon. Ruben Castillo : Any responses to petitioners' motion for leave to file amended petition for replacement of class counsel, disgorgement of all attorneys fees paid to class counsel and for such other relief as may be required to do justice to the class [299-1] is due on or before 05/23/03. Petitioners' reply will be due on or before 6/3/03. The Court will rule by mail. The parties do not need to appear for the motion call on 05/06/03. Mailed notice (lc) (Entered: 04/29/2003)
05/01/2003	302	EMERGENCY MOTION by plaintiffs relating to amended petition for replacement of class counsel, disgorgement of all attorneys fees paid to class counsel and for such other relief as may be required to do justice to the class ; Notice. (lc) (Entered: 05/06/2003)

05/02/2003	303	OBJECTIONS by plaintiffs to Mr. Plotkin's motion relating to amended petition for replacement of class counsel, disgorgement of all attorneys fees paid to class counsel and for such other relief as may be required to do justice to the class motion relating to amended petition for replacement of counsel, disgorgement of all attorneys fees paid to class counsel and for such other relief as may be required to do justice to the class [302-1]; Notice. (lc) (Entered: 05/06/2003)
05/05/2003	304	MINUTE ORDER of 5/5/03 by Hon. Ruben Castillo : Status hearing reset to 6/12/03 at 9:30a.m. Status hearing set for 06/11/03 is vacated. Any response to petitioners' motion relating to amended petition for replacement of class counsel, disgorgement of all attorneys fees paid to class counsel and for such other relief as may be required to do justice to the class is due on or before 05/23/03. Petitioners' reply will be due on or before 06/03/03. The Court will rule by mail. The parties do not need to appear for the motion call on 05/06/03. Mailed notice (lc) (Entered: 05/06/2003)
05/06/2003	305	ATTORNEY APPEARANCE for unknowns Stowell & Friedman, Mary Stowell, Linda D Friedman by Thomas E. Johnson, Anne M. Davis, Leslie Anne Jones (lc) (Entered: 05/09/2003)
05/07/2003		SCHEDULE set on 5/7/03 by Hon. Ruben Castillo : Defendants' oral request to reset the status hearing is granted. Status hearing reset to 6/13/03 at 10:00 a.m. Status hearing set for 6/12/03 is vacated. mailed notice (rao) (Entered: 05/07/2003)
05/15/2003	306	MOTION by Stowell & Friedman for a two week extension of time to file their response to the amended petition for replacement of class counsel, disgorgement of all attorneys fees paid to class counsel and for other relief and leave to exceed 15 page limit on brief ; Notice. (lc) (Entered: 05/21/2003)
05/20/2003	307	ATTORNEY APPEARANCE for Thomas R Meites, Michael M Mulder, Shona B Glink, Law Firm of Meites by Barry S. Alberts; Notice. (lc) (Entered: 05/21/2003)
05/20/2003	308	MINUTE ORDER of 5/20/03 by Hon. Ruben Castillo : Barry S. Alberts and Schiff Hardin & Waite's oral motion to file an appearance on behalf of class counsel Meites, Mulder, Burger & Mollica is granted. Mailed notice (lc) (Entered: 05/21/2003)
05/20/2003	309	MINUTE ORDER of 5/20/03 by Hon. Ruben Castillo : Status hearing re-set for 07/08/03 at 9:45a.m. Status hearing set for 06/13/03 is stricken. Petitioners' motion for leave to file an

		amended petition for replacement of class counsel, disgorgement of all attorneys fees paid to class is granted [299-1]. Class counsel's motion for (1) a two week extension of time to file their response to the amended petition for replacement of class counsel, disgorgement of all attorneys fees paid to class counsel and for other relief [306-1]; and (2) leave to exceed 15 page limit on brief is granted [306-2]. Any response to petitioners' amended petition for replacement of class counsel, disgorgement of all attorneys fees paid to class counsel, and for other relief is due on or before 06/06/03. Petitioners' reply will be due on or before 06/27/03. The court will rule on 07/08/03 at 9:45a.m. Mailed notice (lc) (Entered: 05/21/2003)
06/05/2003	310	MOTION by unknown Stowell & Friedman to modify briefing schedule on amended petition for replacement of class counsel , disgorgement of all attorneys' fees paid to class counsel and for other relief, in light of petitioners' counsel's letter advising that the petition will be amended to delete allegations ; Notice. (lc) (Entered: 06/10/2003)
06/05/2003	313	NOTICE by Geoffrey M Faust of withdrawal of of contractual lien. (lc) (Entered: 06/10/2003)
06/09/2003	311	JOINT STATUS REPORT by parties; NOtice. (lc) (Entered: 06/10/2003)
06/09/2003	312	MINUTE ORDER of 6/9/03 by Hon. Ruben Castillo : Class Counsel Stowell & Friedman's motion to modify briefing schedule on amended petition for replacement of class counsel [310-1], disgorgement of all attorneys' fees paid to class counsel [310-2] and for other relief, in light of petitioners' counsel's letter advising that the petition will be amended to delete allegations [310-3] is entered and continued to 06/12/03 at 9:45a.m. Mailed notice (lc) (Entered: 06/10/2003)
06/12/2003	314	SECOND AMENDED PETITION by plaintiff Sonia Ingram for replacement of class counsel , disgorgement of all attorneys fees paid to class counsel and for such other relief as may be required to do justice to the class (Attachments); Notice. (lc) (Entered: 06/13/2003)
06/12/2003	315	MINUTE ORDER of 6/12/03 by Hon. Ruben Castillo : Class Counsel Stowell & Friedman's motion to modify briefing schedule on amended petition for of class counsel [310-1], disgorgement of all attorneys' fees paid to class counsel [310-2] and for other relief as may be required to do justice to the class [310-3] is granted. Mailed notice (lc) (Entered: 06/13/2003)

06/12/2003	316	MINUTE ORDER of 6/12/03 by Hon. Ruben Castillo : Petitioners' amended petition for replacement of class counsel, disgorgement of all attorneys fees paid to class counsel and for such other relief as may be required to do justice to the class is denied as moot [300-1]. Petitioners are granted leave to file a second amended petition. Any responses to the petitioners' second amended petition for replacement of class counsel [314-1], disgorgement of all attorneys fees paid to class counsel [314-2], and for such other relief as may be required to do justice to the class [314-3] is due on or before 06/20/03. Petitioners' reply will be due on or before 6/27/03. The court will rule on 7/8/03 at 9:45a.m. Mailed notice (lc) (Entered: 06/13/2003)
06/20/2003	317	BRIEF by defendant Merrill Lynch Pierce in opposition to second amended petition for replacement of class counsel [314-1], disgorgement of all attorneys fees paid to class counsel and [314-2] for such other relief as may be required to do justice to the class [314-3] (Attachment); Notice of filing (eav) (Entered: 06/23/2003)
06/20/2003	318	RESPONSE by Law Firm of Meites in opposition to second amended petition for replacement of class counsel [314-1], disgorgement of all attorneys fees paid to class counsel [314-2], and for other relief [314-3] (Attachment); Notice of filing (eav) (Entered: 06/23/2003)
06/20/2003	319	RESPONSE by class counsel Stowell & Freidman, Ltd. to (1) petitioners' second amended petition for replacement of class counsel etc. [314-1], [314-2], and petitioners' emergency motion for relief [314-3] with appendix (Attachments; Notice). (jmp) Modified on 06/23/2003 (Entered: 06/23/2003)
06/20/2003	319	APPENDIX filed by class counsel Stowell & Friedman, Ltd. to plaintiffs' motion for replacement of class counsel [314-1], [314-2], and motion for relief [314-3] (Attachments); with response; Notice. (jmp) (Entered: 06/23/2003)
06/20/2003	320	DECLARATION of Linda D. Friedman (Attachments) (RESTRICTED). (jmp) (Entered: 06/23/2003)
07/01/2003	321	MOTION by plaintiff for leave to file instant consolidated reply in excess of fifteen pages to class counsel's and Merrill Lynch's responses to second amended petition four days late (Attachment); Notice. (lc) (Entered: 07/03/2003)
07/02/2003	322	CONSOLIDATED REPLY by plaintiffs to all responses to the second amended petition [319-1], [318-1] (Attachments). (lc)

		(Entered: 07/03/2003)
07/02/2003	323	MINUTE ORDER of 7/2/03 by Hon. Ruben Castillo : Petitioners' motion for leave to file instanter consolidated reply in excess of fifteen pages to class counsel's and Merrill Lynch's responses to second amended petition four days late is granted [321-1]. Mailed notice by judge's staff (lc) (Entered: 07/03/2003)
07/08/2003	324	MINUTE ORDER of 7/8/03 by Hon. Ruben Castillo : Status hearing held. Petitioner's second amended petition for replacement of class counsel [314-1] and disgorgement of all attorneys fees paid to class counsel [314-2] is denied for the reasons stated in open court. Petitioner's request for other relief as may be required to do justice to the class [314-3] is granted to the extent that the Court will allow Mr. Plotkin to bring further class concerns to the Court's attention on a timely basis. Mailed notice (lc) (Entered: 07/09/2003)
07/31/2003	325	MOTION by unknown Stowell & Friedman to supplement the record (Attachment); Notice. (lc) (Entered: 08/05/2003)
08/04/2003	326	MINUTE ORDER of 8/4/03 by Hon. Ruben Castillo : Motion by class counsel to supplement the record is granted [325-1]. The parties do not have to appear for the motion call on 08/05/03. Mailed notice (lc) (Entered: 08/05/2003)
08/07/2003	327	NOTICE OF APPEAL by plaintiff Sonia Ingram from motion minute order [324-1] (Fee Due) (dj) (Entered: 08/08/2003)
08/07/2003	328	DOCKETING STATEMENT by plaintiff Sonia Ingram regarding appeal [327-1] . (dj) (Entered: 08/08/2003)
08/08/2003		TRANSMITTED to the 7th Circuit the short record on appeal [327-1] . Mailed notice to all counsel. (dj) (Entered: 08/08/2003)
08/12/2003		RECEIPT #10213291 for payment of notice of appeal in the amount of \$105.00. (dj) (Entered: 08/13/2003)
08/12/2003	329	ACKNOWLEDGEMENT of receipt of short record on appeal [327-1] USCA 03-3088 (lc) (Entered: 08/13/2003)
08/12/2003	330	CIRCUIT Rule 3(b) Notice (lc) (Entered: 08/13/2003)
08/19/2003	331	TRANSCRIPT of proceedings for the following date(s): 04/08/03, 06/12/03 and 07/08/0 appeal [327-1] before The Honorable Ruben Castillo (331-1 through 331-3). (lc) (Entered: 08/20/2003)

08/19/2003	332	DESIGNATION by plaintiffs of appeal record no. 03-3088 (dj) (Entered: 08/20/2003)
08/26/2003		TRANSMITTED to the 7th Circuit supplement to appeal no. 99-2864 consisting of: Three volumes of transcripts [331-1 through 331-3]. Mailed notice to all counsel. (lc) (Entered: 09/09/2003)
08/28/2003	333	MOTION by plaintiff for leave to supplement the record on appeal (Attachments); Notice. (lc) (Entered: 09/10/2003)
08/29/2003		TRANSMITTED to the 7th Circuit the long record on appeal no. 03-3088 consisting of five volumes of pleadings, nine loose documents, one exhibit and ten transcripts re: appeal [327-1]. Mailed notice to all counsel. (cdh) (Entered: 08/29/2003)
09/09/2003		TRANSMITTED to the 7th Circuit supplement to appeal no. 03-3088 consisting of 1 loose pleading (#291), and 1 vaulted item (#320). Mailed notice to all counsel. (dj) (Entered: 09/09/2003)
09/09/2003	334	MINUTE ORDER of 9/9/03 by Hon. Ruben Castillo : Petitioner-Appellants' motion for leave to supplement the record on appeal is denied [333-1]. Mailed notice (lc) (Entered: 09/10/2003)
09/09/2003	335	DEMAND by plaintiff Sonia Ingram for disclosure. (lc) (Entered: 09/11/2003)
09/09/2003	336	DECLARATION of Sonia A. Ingram of witness list. (lc) (Entered: 09/11/2003)
09/15/2003	337	MOTION by plaintiff Sonia Ingram to compel response to demand for disclosure . (lc) (Entered: 09/16/2003)
09/23/2003	338	STATEMENT by plaintiff Sonia Ingram of claim. (lc) (Entered: 09/23/2003)
10/30/2003	339	MINUTE ORDER of 10/30/03 by Hon. Ruben Castillo : After a carefule review, Calimant Sonia Ingram's motion to compel response to demand for disclosure is granted [337-1]. Any responsive documents should be produced on or before 11/14/03. Mailed notice (lc) (Entered: 10/31/2003)
10/30/2003	340	MOTION by Elaine Marcus to compel third stage hearing (Attachment); Notice. (lc) (Entered: 11/04/2003)
11/03/2003	341	MINUTE ORDER of 11/3/03 by Hon. Ruben Castillo : Claimant, Elaine Marcus's motion to compel third stage hearing [340-1] will be heard on Thursday, 11/6/03 at 9:45a.m. The

		parties do not have to appear for the motion call on 11/04/03. Mailed notice (lc) (Entered: 11/04/2003)
11/05/2003	342	OPPOSITION by defendant Merrill Lynch Pierce to claimant's to motion to compel third stage hearing [340-1]; Notice (lc) (Entered: 11/06/2003)
11/06/2003	343	MINUTE ORDER of 11/6/03 by Hon. Ruben Castillo : Claimant, Elaine Marcu's motion to compel third stage hearing is granted [340-1] for the reasons stated in open court. The hearing should proceed within the next 120 days. Mailed notice (lc) (Entered: 11/07/2003)
11/10/2003	344	EXHIBIT by Elaine Marcus in support of her motion to compel ; Notice. (lc) (Entered: 11/12/2003)
12/30/2003	346	MOTION by defendant Merrill Lynch Pierce regarding third-stage hearings and Neutral, CPR Administrator and Special Master Participation ; Notice. (lc) (Entered: 01/14/2004)
01/12/2004	345	AMENDED MOTION by defendant Merrill Lynch Pierce regarding third-stage hearings and neutral, CPR Administrator and Special Master Patricipation ; Notice. (lc) (Entered: 01/14/2004)
01/13/2004	347	MINUTE ORDER of 1/13/04 by Hon. Ruben Castillo : Any response to Merrill Lynch's amended motion regarding third-stage hearings and Neutral, CPR Administrator and Special Master Participation [345-1] is due on or before 01/22/04. Any reply will be due on or before 01/26/04. The Court will rule on 01/27/04 at 9:45a.m. Parties should file a joint status report on or before 01/26/04. Mailed notice (lc) (Entered: 01/14/2004)
01/22/2004	348	MINUTE ORDER of 1/22/04 by Hon. Ruben Castillo : Defendant's motion regarding hearings and Neutral, CPR Administrator and Special Master Patricipation is denied [345-1] as moot given the filing and pendency of the amended motion regarding third-stage hearings and Neutral, CPR Administrator and Special Master Participation. Mailed notice (lc) (Entered: 01/23/2004)
01/22/2004	349	OPPOSITION by plaintiff Nancy Thomas to defendant's amended motion regarding third-stage hearings and Neutral, CPR Administrator and Special Master Participation [346-1] (Attachment). (lc) (Entered: 01/26/2004)
01/22/2004	350	RESPONSE by defendant Merrill Lynch Pierce to motion regarding third-stage hearings and Neutral, CPR Administrator and Special Master Participation [346-1]; Notice. (lc) (Entered: 01/26/2004)

		01/26/2004)
01/26/2004	351	JOINT STATUS REPORT by the parties; Notice (cdy) (Entered: 01/27/2004)
01/26/2004	352	REPLY by defendant Merrill Lynch Pierce in support of its amended motion regarding third-stage hearings and Neutral, CPR Administrator and Special Master Participation [346-1] (Attachment); Notice. (lc) (Entered: 01/27/2004)
01/27/2004	353	MINUTE ORDER of 1/27/04 by Hon. Ruben Castillo : Parties to file a joint status report on or before 03/15/04. Defendant Merrill Lynch's motion regarding third-stage hearings and Neutral, CPR Administrator and Special Master Participation is granted [346-1]. Mailed notice (lc) (Entered: 01/28/2004)
03/15/2004	354	JOINT STATUS REPORT by parties; Notice. (lc) (Entered: 03/16/2004)
04/22/2004		SCHEDULE set on 4/22/04 by Hon. Ruben Castillo : The parties are requested to file a new status report on or before 5/14/04 which addresses the status of any appeals in this matter as well as the status of all mediation proceedings. Mailed notice (rao) (Entered: 04/22/2004)
05/14/2004	355	JOINT STATUS REPORT by parties; Notice. (lc) (Entered: 05/17/2004)
05/17/2004		SCHEDULE set on 5/17/04 by Hon. Ruben Castillo : After a careful review of the parties' joint status report, the Court commends the parties for their significant progress and hereby requests another similar status report to be filed on or before 7/30/04. Mailed notice (rao) (Entered: 05/17/2004)
06/14/2004	356	REQUEST by plaintiffs class counsel for review and reversal of the special master's 05/28/04 memorandum and order (Attachments); Notice. (lc) (Entered: 06/16/2004)
06/15/2004	357	MINUTE ORDER of 6/15/04 by Hon. Ruben Castillo : Defendant's response to Class Counsel's request for review and reversal of the Special Master's 05/28/04 memorandum and order [356-1] is due on or before 07/09/04. Plaintiffs' reply will be due on or before 07/23/04. The Court will rule on 08/10/04 at 10:00a.m. The parties do not have to appear for the motion call on 06/22/04. Status hearing set for 8/10/04 at 10:00a.m. Mailed notice (lc) (Entered: 06/16/2004)
06/16/2004	358	MINUTE ORDER of 6/16/04 by Hon. Ruben Castillo : Ruling on Class Counsel's motion for review and reversal of the Special

		Master's 05/28/04 Memorandum Opinion and Order [356-1] reset to 8/3/04 at 10:00a.m. Status hearing re-set for 8/3/04 at 10:00a.m. Mailed notice (lc) (Entered: 06/17/2004)
06/21/2004	359	NOTICE by plaintiff of motion for review and reversal of the special master's 05/28/04 memorandum and order [356-1] (lc) (Entered: 06/25/2004)
07/09/2004	360	RESPONSE by defendant Merrill Lynch Pierce in opposition to class counsel's request for review and reversal of the special master's 05/28/04 memorandum and order [356-1]; Notice. (lc) (Entered: 07/12/2004)
07/13/2004	361	NOTICE by unknown Mary Stowell of second supplemental certificate of service. (lc) (Entered: 07/14/2004)
07/20/2004	362	BRIEF by Judy Weil in support of class counsel's request for review and reversal of the special master's 05/28/04 order [356-1]; Notice. (lc) (Entered: 07/21/2004)
07/20/2004	363	LETTER from the 7th Circuit returning the record on appeal no. 03-3088 consisting of: Five volumes of pleadings, Eleven loose pleadings, Thirteen volumes of transcripts, One volume of exhibits and One in camera material. (lc) (Entered: 07/21/2004)
07/20/2004	364	BILL OF COSTS submitted by Seventy Circuit. (lc) (Entered: 07/21/2004)
07/20/2004	365	OPINION from the 7th Circuit: Argued 02/11/04; Decided 06/15/04. (03-3088) (lc) (Entered: 07/21/2004)
07/20/2004	366	CERTIFIED COPY of order from the 7th Circuit: The judgment of the District Court is Affirmed, with costs in accordance with the decision of this court entered on this date [327-1]. (03-3088) (lc) (Entered: 07/21/2004)
07/22/2004	367	PETITION by W Dan Boone for declaratory judgment and motion to intervene regarding the decision and order of the special master (Attachments). (lc) (Entered: 07/23/2004)
07/23/2004	368	REPLY by plaintiffs class counsel in support of their request to review and reversal of the special master's 05/28/04 memorandum and order [356-1] [356-2] (Attachment); Notice. (lc) (Entered: 07/28/2004)
07/30/2004	369	RESPONSE by defendant Merrill Lynch Pierce in opposition to claimant Judy Weil's brief in support of class counsel's request for review and reversal of the special master's 05/28/04 order [362-1]; Notice. (lc) (Entered: 08/02/2004)

07/30/2004	370	RESPONSE by defendant Merrill Lynch Pierce in opposition to claimant Rosalie H. Field's petition for delcaratory judgment and motion to intervene regarding the decision and order of the special master; Notice. (lc) (Entered: 08/02/2004)
07/30/2004	371	JOINT STATUS REPORT by parties; Notice. (lc) (Entered: 08/02/2004)
08/03/2004	372	MINUTE ORDER of 8/3/04 by Hon. Ruben Castillo : Plaintiff's request for reversal of the Special Master's 05/28/04 order is granted [356-1]. Claimant Rosalie Field's petition for a declaratory judgment [367-1] and motion to intervene [367-2] regarding the order of the special master is denied as moot [367-3]. Claimant Judy Weil's brief in support of class counsel's request, which we construe as a motion to intervene is denied as moot. Parties to file a joint status report on or before 10/15/04. Status hearing held. Entered Memorandum Opinion and Order. Mailed notice (lc) (Entered: 08/04/2004)
08/16/2004	373	NOTICE by counsel Josephy R. Fazio of unavailability (lc) (Entered: 08/17/2004)
11/01/2004	374	MOTION by defendant Merrill Lynch Pierce to bar claimant from re-instituting her abandoned and untimely case in the claims resolution process (Attachments); Notice. (hp) (Entered: 11/05/2004)
11/04/2004	375	MINUTE ORDER of 11/4/04 by Hon. Ruben Castillo : Defendant's motion to bar claimant from re-instituting her abandoned untimely case in the claims resolution process [374-1] is entered and continued to 11/23/04 at 9:45 a.m. The parties do not have to appear for the motion call on 11/16/04. Mailed notice (hp) (Entered: 11/05/2004)
11/22/2004	376	MINUTE ORDER of 11/22/04 by Hon. Ruben Castillo : Merrill Lynch's motion to bar claimant from re-instituting her abandoned and untimely case in the claims resolution process [374-1] is entered and continued to 11/30/04 at 9:45a.m. Mailed notice (lc) (Entered: 11/23/2004)
11/30/2004	377	RESPONSE by Rose Yuster to Merrill Lynch's motion to bar claimant from re-instituting her abandoned and untimely case in the claims resolution process [374-1] (Attachment). (lc) Modified on 12/02/2004 (Entered: 12/02/2004)
11/30/2004	378	MINUTE ORDER of 11/30/04 by Hon. Ruben Castillo : Motion of Merrill Lynch Pierce to bar claimant from re-instituting her abandoned and untimely case in the claims resolution process is

		granted [374-1]. Mailed notice (lc) (Entered: 12/03/2004)
11/30/2004	379	APPLICATION for leave to appear pro hac vice for unknown Rose Yuster by Michael K. Atkins ; Order entered granting leave by Hon. Ruben Castillo (lc) (Entered: 12/06/2004)
12/30/2004	381	MOTION by Class Counsel for review and reversal of the special master's 12/16/04 memorandum and order and for declaratory relief pursuant to the stipulation of settlement; Exhibits. (lxs,) (Entered: 01/18/2005)
12/30/2004	382	NOTICE of Motion by plaintiffs for presentment of MOTION by for order 381 before Honorable Ruben Castillo on 1/11/2005 at 09:00 AM. (lxs,) (Entered: 01/18/2005)
01/11/2005	380	MINUTE entry before Judge Ruben Castillo : Class counsel's motion for review of reversal of the Special Master's December 16, 2004 Memorandum and Order and for Declaratory Relief Pursuant to the Stipulation of Settlement is entered. Defendant to respond to the motion by 01/28/05; Class Counsel to reply by 02/11/05. Ruling will be by mail unless otherwise notified. No appearance is necessary on 01/11/05. (lxs,) (Entered: 01/18/2005)
01/11/2005		Defendant to respond to the motion by 01/28/05; Class Counsel to reply by 02/11/05. Ruling will be by mail unless otherwise notified 381 . (lxs,) (Entered: 01/18/2005)
01/24/2005	383	REQUEST by Class Counsel for rule to show cause and/or enforcement of this court's memorandum opinion and order on collateral estoppel of 8/3/04. (cem,) (Entered: 01/26/2005)
01/24/2005	384	NOTICE of Motion by class counsel Linda D. Friedman for presentment of REQUEST for rule to show cause and/or enforcement of this court's memorandum opinion and order on collateral estoppel of 8/3/04 383 before Honorable Ruben Castillo on 2/1/2005 at 09:45 AM. (cem,) (Entered: 01/26/2005)
01/27/2005	385	MOTION by claimant Margaret Twombly to join in class counsel's motion for a rule to show cause and/or enforcement of this court's August 3, 2004 order (gma,) (Entered: 01/28/2005)
01/27/2005	386	NOTICE of Motion by John A. Dienner, III for presentment of MOTION by claimant Margaret Twombly to join in class counsel's motion for a rule to show cause and/or enforcement of this court's August 3, 2004 order 385 before Honorable Ruben Castillo on 2/1/2005 at 09:00 AM. (gma,) (Entered: 01/28/2005)

01/27/2005	387	MOTION by class counsel for leave to file its revised request for rule to show cause and/or enforcement of this court's memorandum opinion and order on collateral estoppel of August 3, 2004 instanter. (gma,) (Entered: 01/28/2005)
01/27/2005	388	NOTICE of Motion by Linda Debra Friedman for presentment of MOTION by class counsel for leave to file its revised request for rule to show cause and/or enforcement of this court's memorandum opinion and order on collateral estoppel of August 3, 2004 instanter 387 before Honorable Ruben Castillo on 2/1/2005 at 09:45 AM. (gma,) (Entered: 01/28/2005)
01/28/2005	389	MEMORANDMUM by Merrill Lynch Pierce Fenner & Smith, Incorporated. in Opposition to class counsel's motion for review and reversal of the special master's 12/16/04 memorandum and order concerning assessment of cancellation fees 381 ; Notice. (lxs,) (Entered: 02/01/2005)
02/07/2005	390	MINUTE entry before Judge Ruben Castillo: Class Counsel's Motion for Leave to File its Revised Request 388 , Claimant Margaret Twombly's Motion to Join in Class Counsel's Motion for A Rule to Show Cause 385 and Class Counsel's Request for Rule to Show Cause 383 are entered. Merrill Lynch to respond to the Motions by February 11, 2005. Class Counsel and Claimant Twombly to reply by February 25, 2005. Status hearing set on March 1, 2005 at 9:45 a.m. No Appearance is necessary on February 10, 2005. Mailed notice by judge's staff. (srb,) (Entered: 02/07/2005)
02/11/2005	391	RESPONSE by Defendant Merrill Lynch Pierce Fenner & Smith, Incorporated. to class counsel's amended request for rule to show cause and/or enforcement of this court's memorandum opinion and order on collateral estoppel of August 3, 2004; Notice. (mjc,) (Entered: 02/15/2005)
02/11/2005	393	MINUTE entry before Judge Ruben Castillo: Class Counsel and Merrill Lynch's unopposed written request to extend dates on briefing schedule is granted. Class Counsel's Reply in Support of its Motion for Review and Reversal and Merrill Lynch's Response to Class Counsel's Motion for Rule to Show Cause is extended to February 16, 2005. Mailed notice by judge's staff. (srb,) (Entered: 02/17/2005)
02/16/2005	394	REPLY by Class Counsel in Support of it's motion for review and reversal of the special master's December 16, 2004 memorandum and order and for declaratory relief pursuant to the stipulation of settlement 381 ; Notice; Exhibit A. (lcw, Lakisha) Modified on 2/24/2006 (ym,). (Entered: 02/22/2005)

02/16/2005	395	MEMORANDUM by Class Representative and Third-Stage Hearing Claimant Nancy Thomas in Support of Class Counsel's motion for review and reversal of the special master's December 16, 2004 memorandum and order concerning the assessment of cancellation fees; Notice. (lcw, lakisha) (Entered: 02/22/2005)
02/25/2005	396	MOTION by Defendant Merrill Lynch Pierce Fenner & Smith, Incorporated. for leave to file memorandum in opposition instanter (Attachments: # 1 Memorandum in opposition)(gma,) (Entered: 02/28/2005)
02/25/2005	397	NOTICE of Motion by Jerold Sherwin Solovy for presentment of MOTION by Defendant Merrill Lynch Pierce Fenner & Smith, Incorporated. for leave to file memorandum in opposition instanter 396 before Honorable Ruben Castillo on 3/1/2005 at 09:45 AM. (gma,) (Entered: 02/28/2005)
02/25/2005	398	REPLY Memorandum by Plaintiffs in support of motion for rule to show cause; Notice; Exhibits.(lxs,) Modified on 5/26/2006 (ym,). (Entered: 03/01/2005)
03/01/2005	399	MINUTE entry before Judge Ruben Castillo : Status hearing held on 03/01/05 in court and contiued to 03/30/05 at 10:45 by telephone. Oral argument on motions held. Merrill Lynch's motion for leave to file memorandum in opposition instanter 396 is granted. Claimant Margaret Twombly's motion to joint in class counsel's motion for a rule to show cause 385 is granted. Class Counsel's motion for leave to file its revised request for rule to show cause 387 is granted. Request by Class Counsel's for rule to show cause and/or enforcement of this Court's memorandum opinion 383 is denied for reasons stated in open court. Mailed notice (lxs,) (Entered: 03/02/2005)
03/18/2005	400	TRANSCRIPT of proceedings for the following dates: 03/01/05; Before the Honorable Ruben Castillo (lxs,) (Entered: 03/22/2005)
03/28/2005	401	JOINT STATUS Report by parties; Notice.(lxs,) (Entered: 03/30/2005)
03/29/2005	402	CORRECTED JOINT STATUS Report by Angelo Covo, Marybeth Cremin, Joseph Gannotti, Linda Conti; Notice. (lxs,) (Entered: 03/31/2005)
03/30/2005	403	MINUTE entry before Judge Ruben Castillo : Enter Order of Allocation of Settlement Proceeds for Barbara Gleason. Mailed notice (lxs,) (Entered: 03/31/2005)
03/30/2005	404	ORDER OF ALLOCATION OF SETTLEMENT PROCEEDS

		FOR BARBARA GLEASON Signed by Judge Ruben Castillo on 3/30/2005: Mailed notice (lxs,) (Entered: 03/31/2005)
03/30/2005	405	MINUTE entry before Judge Ruben Castillo : Enter Order of Allocation of Settlement Proceeds for Robin Reich. Mailed notice (lxs,) (Entered: 03/31/2005)
03/30/2005	406	ORDER OF ALLOCATION OF SETTLEMENT PROCEEDS FOR ROBIN REICH Signed by Judge Ruben Castillo on 3/30/2005: Mailed notice (lxs,) (Entered: 03/31/2005)
03/30/2005	407	MINUTE entry before Judge Ruben Castillo : Telephone status conference held. Parties to file a joint status report on or before 05/20/05. Enter Order. The NASD is directed to expunge Merrill Lynch's December 1996 U-5 filing and substitute the following language: "voluntary resignation" with no further explanation. Mailed notice (lxs,) (Entered: 03/31/2005)
03/30/2005	408	ORDER Signed by Judge Ruben Castillo on 3/30/2005: Mailed notice (lxs,) (Entered: 03/31/2005)
05/17/2005	409	JOINT STATUS Report by parties; Notice.(lcw,) (Entered: 05/19/2005)
05/23/2005	410	MINUTE entry before Judge Ruben Castillo : After a careful review of the parties 5/17/05 status report, the Court commends the parties and requests a further status report on or before 12/15/2005. Judicial staff mailed notice (rao,) (Entered: 05/23/2005)
12/15/2005	411	STATUS Report <i>Joint Status Report</i> by Stowell & Friedman, Ltd. (Stowell, Mary) (Entered: 12/15/2005)
12/15/2005	412	NOTICE by Stowell & Friedman, Ltd. re status report 411 (Stowell, Mary) (Entered: 12/15/2005)
12/27/2005	413	PETITION by Sonia Ingram <i>To Vacate Arbitration Award And For Other Relief Pursuant To 7.11(10) Of The Stipulation Of Settlement</i> (Attachments: # 1 Exhibit # 2 Exhibit # 3 Exhibit # 4 Exhibit # 5 Exhibit # 6 Exhibit # 7 Exhibit # 8 Exhibit # 9 Exhibit # 10 Exhibit # 11 Exhibit)(Jacobs, John) (Entered: 12/27/2005)
12/27/2005	414	ATTORNEY Appearance for Claimant Sonia Ingram by John G. Jacobs (Jacobs, John) (Entered: 12/27/2005)
12/27/2005	415	NOTICE by Sonia Ingram re petition, 413 <i>Certificate Of Service</i> (Kolton, Bryan) (Entered: 12/27/2005)
12/27/2005	416	ATTORNEY Appearance for Claimant Sonia Ingram by Bryan

		G Kolton (Kolton, Bryan) (Entered: 12/27/2005)
12/27/2005	417	ATTORNEY appearance for plaintiff Sonia Ingram by Bryan G Kolton (cdy,) (Entered: 12/29/2005)
12/30/2005	418	MINUTE entry before Judge Ruben Castillo : Any response to the petition of Sonia Ingram to vacate arbitration award and for other relief pursuant to 7.11(10) of the Stipulation of Settlement 413 will be due on or before 1/30/2006. The Court will rule by mail. Mailed notice (rao,) (Entered: 12/30/2005)
01/03/2006	419	ATTORNEY Appearance for Claimant Sonia Ingram by Jeffrey Grant Brown (Brown, Jeffrey) (Entered: 01/03/2006)
01/03/2006	420	ATTORNEY Appearance for Plaintiff Sonia Ingram by Jeffrey Grant Brown (cdy,) (Entered: 01/05/2006)
01/17/2006	421	MOTION by Defendant Merrill Lynch Pierce Fenner & Smith, Incorporated. to set a briefing schedule (<i>agreed motion to reset briefing schedule</i>) (Attachments: # 1 Text of Proposed Order)(Suskin, Howard) (Entered: 01/17/2006)
01/17/2006	422	NOTICE of Motion by Howard Steven Suskin for presentment of motion by filer to set a briefing schedule 421 before Honorable Ruben Castillo on 1/24/2006 at 09:45 AM. (Suskin, Howard) (Entered: 01/17/2006)
01/20/2006	423	MINUTE entry before Judge Ruben Castillo : Parties' agreed motion to reset briefing schedule 421 is granted. The parties do not have to appear for the motion call on 1/24/2006. Any response to the petition of Sonia Ingram to vacate arbitration award and for other relief pursuant to 7.11(10) of the Stipulation of Settlement 413 is due on or before 3/30/2006. Ingram's reply will be due on or before 4/21/2006. The Court will rule by mail. Mailed notice (rao,) (Entered: 01/20/2006)
03/30/2006	424	MOTION by Defendant Merrill Lynch Pierce Fenner & Smith, Incorporated. TO CONFIRM ARBITRATION AWARD (Attachments: # 1 Text of Proposed Order)(Suskin, Howard) (Entered: 03/30/2006)
03/30/2006	425	NOTICE of Motion by Howard Steven Suskin for presentment of motion for miscellaneous relief 424 before Honorable Ruben Castillo on 4/4/2006 at 09:15 AM. (Suskin, Howard) (Entered: 03/30/2006)
03/30/2006	426	MOTION by Defendant Merrill Lynch Pierce Fenner & Smith, Incorporated. for leave to file <i>OVERSIZED BRIEF INSTANTER</i> (Attachments: # 1 Text of Proposed Order # 2 MERRILL

		LYNCH'S BRIEF IN OPPOSITION TO THE PETITION OF PLAINTIFF SONIA INGRAM TO VACATE ARBITRATION AWARD AND FOR OTHER RELIEF PURSUANT TO 7.11(1) OF THE STIPULATION OF SETTLEMENT AND IN SUPPORT OF ITS MOTION TO CONFIRM ARBITRATION AWARD# 3 Appendix TO BRIEF PART 1# 4 Appendix TO BRIEF PART 2# 5 Appendix TO BRIEF PART 3# 6 Appendix TO BRIEF PART 4)(Suskin, Howard) (Entered: 03/30/2006)
03/30/2006	427	NOTICE of Motion by Howard Steven Suskin for presentment of motion for leave to file,, 426 before Honorable Ruben Castillo on 4/4/2006 at 09:15 AM. (Suskin, Howard) (Entered: 03/30/2006)
03/31/2006	428	MINUTE entry before Judge Ruben Castillo : Defendants' motion to confirm arbitration award 424 and motion for leave to file oversized brief instanter 426 are entered and continued to 4/6/2006 at 09:15 AM. The motion hearing set for 4/5/2006 is vacated. Mailed notice (rao,) (Entered: 03/31/2006)
04/06/2006	429	MINUTE entry before Judge Ruben Castillo : Ingram's reply to Ingram's petition to vacate arbitration award and for other relief pursuant to 7.11(10) of the Stipulation of Settlement 413 is due on or before 5/5/2006. Any response to Defendant Merrill Lynch's motion to confirm arbitration award 424 is due on or before 5/5/2006. The Court will rule by mail. Defendant Merrill Lynch's motion for leave to file oversized brief instanter 426 is granted. Mailed notice (rao,) (Entered: 04/06/2006)
05/05/2006	430	REPLY by Plaintiff Sonia Ingram, Claimant Sonia Ingram <i>To Merrill Lynch's Brief In Opposition To Plaintiff's Petition To Vacate Arbitration Award And Response To Merrill Lynch's Motion To Confirm Arbitration Award</i> (Attachments: # 1 Exhibit Exhibit A)(Kolton, Bryan) (Entered: 05/05/2006)
05/25/2006	431	MINUTE entry before Judge Ruben Castillo : Enter Memorandum Opinion and Order. Sonia Ingram's petition to vacate the arbitration award and for other relief 413 is denied. Merrill Lynch's motion to confirm the arbitration award 424 is denied as moot. Mailed notice (air,) (Entered: 05/30/2006)
05/25/2006	432	MEMORANDUM Opinion and Order Signed by Judge Ruben Castillo on 5/25/2006.Mailed notice(air,) (Entered: 05/30/2006)

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